

Report for Examiner Forest Thompson Jr 09/253057

December 1, 2000 10:41

File 348:European Patents 1978-2000/Dec W01

(c) 2000 European Patent Office

File 349:PCT Fulltext 1983-2000/UB=20001123, UT=20001102

(c) 2000 WIPO/MicroPat

Set Items Description

S1 2845 AUCTION? OR BID OR BIDS OR BIDDING OR TRADING(2N)(SYSTEM?  
? OR SERVIC?)

S2 22 (WHOLESALE? OR TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERC-  
HAN?) (5N) RETAILER?

S3 34 (RETAIL? (5N) WHOLESALE?)

S4 1881 USER? OR PARTICIP? OR MEMBER? OR CUSTOMER? OR CLIENT? OR S-  
UBSCRIB? OR PATRON?

S5 540 CONSUMER? OR BUYER? OR PURCHASER? OR SHOPPER? OR BIDDER?

S6 602 SELLER? OR RESELLER? OR SUPPLIER? OR VENDOR? OR MERCHANT?

S7 1536 DEALER? OR BROKER? OR AGENT? OR MIDDLEM?N OR INTERMEDIAR?

S8 493 TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERCHANT?

S9 7 S3(3W) (S4 OR S5 OR S6 OR S7 OR S8)

S10 1401 (TWO OR THREE OR FIRST OR SECOND OR DIFFERENT OR SEVERAL) -  
(2W)(TYPE? OR KIND? OR GROUP? OR TIER? OR CATEGOR? OR CLASS?)

S11 433 NEW (10W)(OLDER OR FORMER OR EXISTING OR PREVIOUS? OR REGU-  
LAR? OR EARLIER OR ESTABLISH? OR PREFERRED? OR PRIMARY)

S12 123 (S10 OR S11) (3W) (S4 OR S5 OR S6 OR S7 OR S8)

S13 4 MEMBER? (10W) (NONMEMBER? OR NON()MEMBER?)

S14 2 PARTICIPANT? (10W) (NONPARTICIPANT? OR NON()PARTICIPANT?)

S15 1 SUBSCRIBER? (10W) (NONSUBSCRIBER? OR NON()SUBSCRIBER?)

S16 6 S13 OR S14 OR S15

S17 146 S2 OR S9 OR S12 OR S16

S18 114 (DISCRIMINAT? OR DIFFEREN?) (2N)PRICE?

S19 2038 PREFERENC? OR ADVANTAG?

S20 189 DISCOUNT?

S21 2059 S18 OR S19 OR S20

S22 5 (S17(10N)S21)

S23 1 S22(20N)S1

S24 2376 S4 OR S5 OR S6 OR S7 OR S8 OR RETAILER? OR WHOLESALE?

S25 78 S24(20N)S18

S26 28 S25(10N)S1

S27 16 S24(10N)S20(10N)S1 NOT S26

all considered

fuel test  
patents

23/3,IC,K/1 (Item 1 from file: 349)  
DIALOG(R)File 349:PCT Fulltext  
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00739240

**TARGET PRICE SYSTEM FOR COMPETITIVE BID GOODS AND SERVICES**  
**SYSTEME DE PRIX CIBLES DE BIENS OU DE SERVICES OFFERTS DE FACON**  
**CONCURRENTIELLE**

Patent Applicant/Assignee:

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200052605 A1 20000908 (WO 0052605)

Application: WO 2000US5846 20000303 (PCT/WO US0005846)

Priority Application: US 99123345 19990305; US 99122958 19990305; US  
2000178501 20000127

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK

DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR

LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ

TM TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-017/30

Publication Language: English

Filing Language: English

Fulltext Word Count: 17714

Fulltext Availability:

Detailed Description

Detailed Description

... global dimension object," which specifies a grouping variable (like  
size) derived from the attributes of an object.

This operation can be applied to company, account, **bid** or product

objects, and is used in market response modeling for estimating how  
**different types of customers** react to **different prices** . It is  
also used in reporting as it enables the user to analyze results in order  
to understand system and/or customer behavior. Further, the...

?

26/3,IC,K/1 (Item 1 from file: 348)

DIALOG(R)File 348:European Patents

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01213232

**System and method for an efficient dynamic multi-unit auction**

**System und Verfahren fur eine effiziente und dynamische Auktion fur mehrere identische Guter**

**Systeme et methode pour une vente aux encheres efficace et dynamique pour plusieurs biens identiques**

PATENT ASSIGNEE:

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INVENTOR:

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LEGAL REPRESENTATIVE:

Milhench, Howard Leslie et al (33863), R.G.C. Jenkins & Co. 26 Caxton Street, London SW1H 0RJ, (GB)

PATENT (CC, No, Kind, Date): EP 1054336 A2 001122 (Basic)

APPLICATION (CC, No, Date): EP 304195 000518;

PRIORITY (CC, No, Date): US 134666 990518

DESIGNATED STATES: AT; BE; CH; CY; DE; DK; ES; FI; FR; GB; GR; IE; IT; LI; LU; MC; NL; PT; SE

EXTENDED DESIGNATED STATES: AL; LT; LV; MK; RO; SI

INTERNATIONAL PATENT CLASS: G06F-017/60

ABSTRACT WORD COUNT: 129

NOTE:

Figure number on first page: 1

LANGUAGE (Publication,Procedural,Application): English; English; English

FULLTEXT AVAILABILITY:

Available Text	Language	Update	Word Count
SPEC A	(English)	200047	10198
Total word count - document A			10198
Total word count - document B			0
Total word count - documents A + B			10198

...ABSTRACT objects two or more bidders participating in the auction, the auction allowing assignment of objects of the same type to one or more of the **bidders** at **different prices**, the method comprising:

- inputting, into the computer, **bids** from **participating bidders**;
- determining at the computer, based on the **bids**, whether there is at least one object which is desired by only one bidder and,
- in the event there is at least one object...

26/3,IC,K/2 (Item 1 from file: 349)

DIALOG(R)File 349:PCT Fulltext

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00750438

**METHODS AND APPARATUS FOR BROKERING TRANSACTIONS**

**PROCEDE ET DISPOSITIF DE COURTAGE TRANSACTIONNEL**

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200063821 A1 20001026 (WO 0063821)

Application: WO 2000US9180 20000405 (PCT/WO US0009180)

Priority Application: US 99129846 19990416; US 99401119 19990921

Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE

DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC

LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK

SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-017/60

Publication Language: English

Filing Language: English

Fulltext Word Count: 14859

Fulltext Availability:

Detailed Description

Detailed Description

... value to both buyers and sellers. That is, buyers would be getting the product they want for the price they are willing to pay, while **sellers** would be effecting a greater number of sales due to their ability to engage in **price discrimination** .

There are, however, some attendant disadvantages associated with allowing **buyers** to submit non-binding **bids** . For example, if there are no consequences to the buyer for submitting a bid, many bids may be submitted by a single buyer or a...

26/3,IC,K/3 (Item 2 from file: 349)

DIALOG(R)File 349:PCT Fulltext

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00750431

**A SECURITIES TRADING SYSTEM FOR CONSOLIDATION OF TRADING ON MULTIPLE ECNS  
AND ELECTRONIC EXCHANGES**

**SYSTEME DE COMMERCE DES VALEURS MOBILIERES DESTINE A CONSOLIDER DE  
MULTIPLES RESEAUX DE COMMUNICATION ELECTRONIQUE (ECN) ET BOURSES  
ELECTRONIQUES**

Patent Applicant/Assignee:

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200063814 A1 20001026 (WO 0063814)  
Application: WO 2000US10803 20000420 (PCT/WO US0010803)  
Priority Application: US 99296096 19990421

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK  
DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR  
LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ  
TM TR TT TZ UA UG UZ VN YU ZA ZW  
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE  
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG  
(AP) GH GM KE LS MW SD SL SZ TZ UG ZW  
(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-017/60

Publication Language: English

Filing Language: English

Fulltext Word Count: 7907

Fulltext Availability:  
Detailed Description

#### Detailed Description

... However, in an ECN, each bid and offer is a discrete and anonymous order, fully viewable by and accessible to all its members. Accordingly a **broker/dealer member** or for that matter, simply a **member**, may have a number of **bids** and offers at **different prices**, posted on an ECN's central order book. There are no specialist or **dealer intermediaries** for these orders, thus removing third party delays and fees typically associated with traditional exchanges and electronic exchanges. The member controls through its trading computer...

26/3,IC,K/4 (Item 3 from file: 349)  
DIALOG(R)File 349:PCT Fulltext  
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00745524

#### METHOD AND SYSTEM FOR CONDUCTING ELECTRONIC AUCTIONS WITH MULTI-PARAMETER PRICE EQUALIZATION BIDDING

#### PROCEDE ET SYSTEME DE VENTE AUX ENCHERES ELECTRONIQUE AVEC OFFRES PAR EGALISATION DE PRIX A PARAMETRES MULTIPLES

Patent Applicant/Assignee:

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## Patent and Priority Information (Country, Number, Date):

Patent: WO 200058898 A2 20001005 (WO 0058898)  
Application: WO 2000US8522 20000330 (PCT/WO US0008522)  
Priority Application: US 99282157 19990331

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK  
DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR  
LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ  
TM TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-017/60

Publication Language: English

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Fulltext Word Count: 10338

## Fulltext Availability:

Detailed Description

## Detailed Description

... Summary of the Invention

Traditional online auctions focus on price as the sole variable upon which the online competition is based. Price is the sole **bidding** parameter that is provided by the **bidders** and hence is the sole parameter upon which a selection process is made. Relative valuations between **different bid prices** is quick and intuitive.

In a typical business-to-business situation, however, a plurality of parameters are considered in combination with the bidder's price...in the present application.

Traditional online auctions focus on price as the sole variable upon which the online competition is based. Price is the sole **bidding** parameter that is provided by the **bidders** and hence is the sole parameter upon which a selection process is made. Relative valuations between **different bid prices** is quick and intuitive.

In many types of business transactions, price is not the sole parameter upon which a decision is made. For example, in...

26/3,IC,K/5 (Item 4 from file: 349)

DIALOG(R)File 349:PCT Fulltext

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00745490

**METHOD AND SYSTEM FOR CONDUCTING ELECTRONIC AUCTIONS WITH MULTI-CURRENCY BIDDING**

**PROCEDE ET SYSTEME PERMETTANT D'ORGANISER DES ENCHERES ELECTRONIQUES AVEC OFFRES DANS DIVERSES DEVICES**

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200058862 A2 20001005 (WO 0058862)

Application: WO 2000US8343 20000330 (PCT/WO US0008343)

Priority Application: US 99282158 19990331

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK

DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR

LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ

TM TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-017/00

Publication Language: English

Filing Language: English

Fulltext Word Count: 7375

Fulltext Availability:

Detailed Description

Detailed Description

... Summary of the Invention

Traditional online auctions focus on price as the sole variable upon which the online competition is based. Price is the sole **bidding** parameter that is provided by the **bidders** and hence is the sole parameter upon which a selection process is made. Relative valuations between **different bid prices** can be based on a simple comparison of the relative magnitude of the submitted bids.

The present invention creates a market of competition in transactions... example, consider downward-price online industrial auctions. Like most online electronic auctions, the downward-price online industrial auction is typically based upon the submission of **bid prices** by the various **suppliers**. The relative attractiveness of the submitted **bid prices** is predominantly based upon the **difference in price**. Significantly, **bid prices** are conventionally submitted in a common currency (e.g., U.S. dollars). Each of the **bidders** submits a U.S. dollar **bid price** to the **auction** server and receives, from the auction server, U.S. dollar bid prices that have been submitted by their competitors. This commonality enables the buyer as...

26/3,IC,K/6 (Item 5 from file: 349)

DIALOG(R)File 349:PCT Fulltext

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00742420

ONLINE PATENT AND LICENSE EXCHANGE

BOURSE EN LIGNE DE BREVETS D'INVENTION ET DE LICENCES

Patent Applicant/Assignee:

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## Patent and Priority Information (Country, Number, Date):

Patent: WO 200055791 A2 20000921 (WO 0055791)  
Application: WO 2000US6846 20000315 (PCT/WO US0006846)  
Priority Application: US 99124847 19990317; US 99371614 19990810

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK  
DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK  
LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ  
TM TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-017/60

Publication Language: English

Filing Language: English

Fulltext Word Count: 13813

## Fulltext Availability:

Detailed Description

## Detailed Description

... a Non discriminative Auction, because the term Dutch Auction is also  
widely used to describe auctions where the exchange starts with a high  
price and **bids** the price lower while **buyers** have the option of buying  
the items at any time at the current **bid price** . Non **discriminative**  
**auctioning** is widely used by corporations to repurchase their shares  
(though it is referred to as Dutch auction in this context).

A variation of the Non...

26/3,IC,K/7 (Item 6 from file: 349)

DIALOG(R)File 349:PCT Fulltext

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00739253

**A SYSTEM AND METHOD FOR CONDUCTING SECURITIES TRANSACTIONS OVER A COMPUTER  
NETWORK**

**SYSTEME ET PROCEDE DE CONDUITE DE TRANSACTIONS DE VALEURS SUR UN RESEAU  
INFORMATIQUE**

## Patent Applicant/Assignee:

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## Inventor(s):

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KLEIN Andrew D, 70 East 10th Street, New York, NY 10003, US  
BUIST Walter D, 405 Springfield Avenue, Hasbrouck, NJ 07604, US

## Legal Representative:

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200052619 A1 20000908 (WO 0052619)

Application: WO 2000US5150 20000229 (PCT/WO US0005150)

Priority Application: US 99122208 19990301; US 99292552 19990415; US 99292553 19990415

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-017/60

International Patent Class: G06F-017/00

Publication Language: English

Filing Language: English

Fulltext Word Count: 24586

Fulltext Availability:

Detailed Description

Detailed Description

... to negotiate for a better price than the best bid and offer shown in the order book (see FIGS. 5 & 6). At step 2515, the **user** double clicks on the quantity column in the order book at a **price different** from the best **bid** and offer. The application requests the appropriate information from the replica server, and, at step 2520, displays in the negotiations screen (see FIG. 42) a...

26/3,IC,K/8 (Item 7 from file: 349)

DIALOG(R)File 349:PCT Fulltext

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00738061

**METHOD AND SYSTEM CONSTITUTING A VIRTUAL COLLECTIVE ENTITY FOR MARKET-EFFICIENT RETAIL PURCHASE OF GOODS AND SERVICES**

**PROCEDE ET SYSTEME CONSTITUANT UNE ENTITE VIRTUELLE COLLECTIVE POUR L'ACHAT EFFICACE DE BIENS ET DE SERVICES AU DETAIL**

Patent Applicant/Assignee:

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US)

Patent Applicant/Inventor:

AFEYAN Noubar B, One Sunset Ridge, Lexington, MA 02421, US,  
US (Residence), CA (Nationality), (Designated only for: US)

Legal Representative:

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125 High Street, Boston, MA 02110, US

Patent and Priority Information (Country, Number, Date):

Patent: WO 200051048 A2 20000831 (WO 0051048)

Application: WO 2000US4369 20000222 (PCT/WO US0004369)

Priority Application: US 99255294 19990222

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE  
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG  
(AP) GH GM KE LS MW SD SL SZ TZ UG ZW  
(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-017/60

Publication Language: English

Filing Language: English

Fulltext Word Count: 10485

Fulltext Availability:

Detailed Description

Claims

Detailed Description

... equity, debt, or combined debt and equity instruments such as convertible debentures. The auction rules may vary, depending on many circumstances, but often will permit **bidders** to make multiple alternative **bids** for different numbers of shares at **different prices**. It also is contemplated that the **auctioning** procedure may be repeated before or after closure of the offering in response to changing market conditions or for the purchase of over allotments. The...

Claim

... of claim 49 wherein the shares offered comprise a block of shares 2 already publicly traded.

1 53) The method of claim 49 wherein the **auction** rules permit **bidders** to make multiple 2 **bids** for different numbers of shares at **different prices**.

1 54) The method of claim 49 wherein the **auction** rules specify that a commitment of the 2 **bidder** is an irrevocable commitment.

1 55) The method of claim 49 additionally comprising repeating step a and b prior to 2 acceptance of a collective...

26/3,IC,K/9 (Item 8 from file: 349)

DIALOG(R)File 349:PCT Fulltext

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00738060

**INTEGRATED CAPITAL MARKET SYSTEM FOR SMALL ISSUERS, INCLUDING AUCTION  
SYSTEME INTEGRE DE MARCHES DES CAPITAUX POUR PETITS EMETTEURS, AVEC  
ENCHERES**

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## Patent and Priority Information (Country, Number, Date):

Patent: WO 200051047 A2 20000831 (WO 0051047)

Application: WO 2000US3493 20000210 (PCT/WO US0003493)

Priority Application: US 99122144 19990226; US 99275571 19990324; US 99159621 19991014

Designated States: CA IL JP KR SG US

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

Main International Patent Class: G06F-017/60

Publication Language: English

Filing Language: English

Fulltext Word Count: 18141

## Fulltext Availability:

Detailed Description

## Detailed Description

... nears its end, the tick may be widened 416 in order to encourage bidders to reveal their trading interest early and also to prevent late **bidders** from gaining priority over earlier **bidders** for only a small **difference** in **price** .

Returning to Fig. 7, If a **Bid** is not in the acceptable range 422, the Investor may re-bid 424. Indicated auction price and volume are continuously displayed until the auction ends...

26/3,IC,K/10 (Item 9 from file: 349)

DIALOG(R)File 349:PCT Fulltext

(c) 2000 WIPO/MicroPat. All rts. reserv.

00737983

## METHODS AND APPARATUSES FOR ELECTRONIC BIDDING SYSTEMS

## PROCEDES ET APPAREILS DESTINES A DES SYSTEMES ELECTRONIQUES D'OFFRES

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Legal Representative:

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200050970 A2 20000831 (WO 0050970)

Application: WO 2000US4814 20000222 (PCT/WO US0004814)

Priority Application: US 99121458 19990224; US 99410490 19990930; US 99409836 19990930; US 99158582 19991007; US 99161789 19991027

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK  
DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR  
LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ  
TM TR TT TZ UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F



Publication Language: English  
Filing Language: English  
Fulltext Word Count: 28544

Fulltext Availability:  
Detailed Description

### Detailed Description

... 12B provides an alternative more detailed explanation of one embodiment of the invention; Figures 13A illustrates part of a flow diagram of one embodiment of **bidding** state generations that allows for **vendor price differentials** as preferred result criteria; Figures 13B illustrates the remainder of a flow diagram of one embodiment of **bidding** state generations that allows for **vendor price differentials** as preferred result criteria; Figure 14 illustrates a representation of an exemplary data structure useful for pooling **buyer** (s) and **seller** (s) based on lots according to one embodiment of the invention; Figure 15 illustrates a simple example of the data structure of Figure 14 according ...trade and post the results at respective buyer clients 14 and vendor clients 16.

Alternatively, other auction formats may be used. For instance, a progressive **auction** format may be used that awards the orders at **different prices** depending on the quantity level **bid** by each **buyer**. In a progressive **auction**, for example, the lowest **bidder** is awarded the aggregated volume at a final **bid** price after the auction ...one vendor, price differentials per product, etc. With reference to blocks 435 and 465 of Figures 4A-B, in one embodiment of the invention a **buyer** would be able to additionally enter a **price differential** for one **vendor**. This is illustrated in Figure 9D under the label of premium pricing. As described below, these **price differentials** are used during generation of the current **bidding** state.

Figures 13A-B illustrate a flow diagram of one embodiment of **bidding** state generations that allows for **vendor price differentials** as preferred result criteria. The flow diagram of Figures 13A-B is similar to the flow diagram of Figures 7A-B. In particular, blocks 1300...1332, it is determined if there is an eligible preferred vendor.

The term eligible preferred vendor in this embodiment is used to refer to a **vendor** with **bid** (s) that have not yet been processed, which **bid** (s) are within the **price differential** entered by the **buyer** . If not, control passes to block 1335 where the **buyer** is added to the working winning group (compare block 735).

Otherwise, a skip indication is stored to indicate that the buyer is optimistically passing up...

...is successful. In Figure 1313, if the working winning pool is not successful, control passes to block 1366. In block 1366, it is determined if **price differential** (s) were entered for the **vendor** submitting the **bid** of the failing working winning pool. If not, control passes to block 1360. Otherwise, control passes to block 1367. Effectively, this is identifying those situations...product for a given bid bracket to populate the Lot Item Bids 1450, as well as enter the min and max gross sales for the **bid** bracket (see **Bid Bracket** 1440). As another example, a **vendor** could have entered a base **price** for **different vendor** products. This **vendor** could indicate that it wanted multiple tiers, designating

successive min/max gross sales values for the tiers (i.e., a first tier is from \$0 \$X, a second tier is from \$X-\$Y, etc.). The **vendor** could also adjust the base **prices** for the **different** tiers. For example, assuming an embodiment in which the base prices are the default lot item **bids**, a **vendor** could be allowed to adjust a given **bid** bracket by adjusting the total bid on the whole

26/3,IC,K/11 (Item 10 from file: 349)

DIALOG(R)File 349:PCT Fulltext

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00734792

**SYSTEM AND METHOD FOR AN AUTOMATED EXCHANGE**

**SYSTEME ET PROCEDE D'ECHANGE AUTOMATISE**

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200048109 A1 20000817 (WO 0048109)

Application: WO 2000US3594 20000211 (PCT/WO US0003594)

Priority Application: US 99119888 19990212

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK

DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR

LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ

TM TR TT TZ UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-017/60

Publication Language: English

Filing Language: English

Fulltext Word Count: 23776

Fulltext Availability:

Detailed Description

Detailed Description

... g., see order I shown in FIG. 12A). Offers trading at prices different  
from the market price must generally pair with counter offers at a  
**different price**.

Such paired offers ticket "indirectly" through a designated market **middleman** . The **middleman** may be a large financial institution supporting the automated **trading system** 2.

The middleman is a special trader whose sole purpose is to trade in a pair of buy/sell tickets with each offeror of a...

26/3,IC,K/12 (Item 11 from file: 349)  
DIALOG(R)File 349:PCT Fulltext  
(c) 2000 WIPO/MicroPat. All rts. reserv.

00730864

**METHODS AND APPARATUS FOR BROKERING TRANSACTIONS**  
**PROCEDE ET DISPOSITIF DE COURTAGE TRANSACTIONNEL**

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200043851 A2 20000727 (WO 0043851)

Application: WO 2000US1523 20000120 (PCT/WO US0001523)

Priority Application: US 99117118 19990125; US 99265511 19990309

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DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR

LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ

TM TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F

Publication Language: English

Filing Language: English

Fulltext Word Count: 19143

Fulltext Availability:

Detailed Description

Claims

Detailed Description

... value to both buyers and sellers. That is, buyers would be getting the product they want for the price they are willing to pay, while **sellers** would be effecting a greater number of sales due to their ability to engage in **price discrimination** .

There are, however, some attendant disadvantages associated with allowing **buyers** to submit non-binding **bids** . For example, if there are no consequences to the buyer for submitting a bid, many bids may be submitted by a single buyer or a...

Claim

... the subset of the plurality of buyers in accordance with the market information to continue negotiations with each of the subset of the plurality of **buyers** .

74. The method of claim 72 wherein temporarily lowering the ask **price** comprises presenting **different** ask **prices** to selected ones of the subset corresponding to the **bids** associated with the selected ones of the subset.

75. A computer program product for facilitating transactions via a network, comprising:

at least one computer-readable...buyers via the network in accordance with the market information to induce one of acceptance by and further negotiation with each of the plurality of **buyers** .

138. The method of claim 137 wherein temporarily lowering the ask **price** comprises presenting **different** ask **prices** to selected ones of the plurality of **buyers** via the network, the ask price presented to each of the selected **buyers** corresponding to the **bids** associated with the selected buyers.

139. The method of claim 137 wherein selected ones of the plurality of bids are not directed to the seller...

26/3,IC,K/13 (Item 12 from file: 349)

DIALOG(R)File 349:PCT Fulltext

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00728200

**A METHOD FOR TRANSACTING AN ADVERTISEMENT TRANSFER**

**PROCEDE DE TRANSFERT D'UNE ANNONCE PUBLICITAIRE**

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200041105 A2 20000713 (WO 0041105)

Application: WO 99IL708 19991230 (PCT/WO IL9900708)

Priority Application: IL 127889 19981231

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK

DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR

LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ

TM TR TT TZ UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

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Publication Language: English

Filing Language: English

Fulltext Word Count: 12131

Fulltext Availability:  
Detailed Description

Detailed Description

... time by the node/nodes (node in the intermediary architecture and nodes in the distributed architecture) that are members of its network, of the winning **bids** for different profiles in the sites.

0 The **distributor** can choose to change its **price** offers for **different** profiles in different sites in order to change its odds for winning.

0 The **distributor** can run an optimization program that will change the price offers in real time. The optimization factors are the campaign progression and the budget spending...

26/3,IC,K/14 (Item 13 from file: 349)  
DIALOG(R)File 349:PCT Fulltext  
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00725202

**A SYSTEM AND METHOD FOR THE ANALYSIS AND PREDICTION OF ECONOMIC MARKETS  
SYSTEME ET PROCEDE D'ANALYSE ET DE PREVISION DE MODELES ECONOMIQUES**

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200038094 A2 20000629 (WO 0038094)  
Application: WO 99US30640 19991222 (PCT/WO US9930640)  
Priority Application: US 98113502 19981222

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EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS  
LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM  
TR TT UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE  
(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG  
(AP) GH GM KE LS MW SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM  
Main International Patent Class: G06F-017/60  
Publication Language: English  
Filing Language: English  
Fulltext Word Count: 26255  
Fulltext Availability:  
Detailed Description

#### Detailed Description

... price stays inside the bid-ask spread, essentially because the spread size is large. Obviously, some regimes of tracking the price are more beneficial for **Dealers** and others for Investors.

With this in mind, we quantified the market's ability to track **prices** by four **different** parameters:

1) the square root of the average squared (**bid** - True Value). We will denote it  $RMS(bid - \text{True Value})$  where RMS means Root-Mean-Square.

2) the square root of the average squared (ask...

26/3,IC,K/15 (Item 14 from file: 349)  
DIALOG(R)File 349:PCT Fulltext  
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00717082

#### INTEGRATED REMOTE WEB AUTHORING SYSTEM

#### SYSTEME INTEGRE DE CONCEPTION D'UN SITE WEB A DISTANCE

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Legal Representative:

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200029976 A1 20000525 (WO 0029976)

Application: WO 99US27219 19991116 (PCT/WO US9927219)

Priority Application: US 98193078 19981116

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ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT

LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT

UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

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International Patent Class: G06F-017/30; G06F-017/60

Publication Language: English

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Fulltext Word Count: 19757

Fulltext Availability:  
Detailed Description

Detailed Description

... World Wide Web which allow you to submit bids to a seller or auctioneer, but do not provide the opportunity to bargain interactively with the **seller** on all the terms. A **bid** submission process is quite **different** from a **price** and terms negotiation process. **Bid** submission systems are usually designed to assist a **seller** in disposing of excess inventory. Hence, some malls and enterprise server applications provide limited electronic commerce, but none provide true multivariate negotiation ability.

Finally, both...

26/3,IC,K/16 (Item 15 from file: 349)  
DIALOG(R)File 349:PCT Fulltext  
(c) 2000 WIPO/MicroPat. All rts. reserv.

00717081

**ITERATIVE BARGAINING SYSTEM**  
**SYSTEME DE NEGOCIATION ITERATIVE**

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200029975 A1 20000525 (WO 0029975)  
Application: WO 99US27224 19991116 (PCT/WO US9927224)  
Priority Application: US 98193077 19981116

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE  
ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP LR LS LT LU LV MD MG  
MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG US UZ  
VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-017/00

Publication Language: English

Filing Language: English

Fulltext Word Count: 20002

Fulltext Availability:  
Detailed Description

Detailed Description

... World Wide Web which allow you to submit bids to a seller or

auctioneer, but do not provide the opportunity to bargain interactively with the **seller** on all the terms. A **bid** submission process is quite **different** from a **price** and terms negotiation process. **Bid** submission systems are usually designed to assist a **seller** in disposing of excess inventory. Hence, some malls and enterprise server applications provide limited electronic commerce, but none provide true multivariate negotiation ability.

Finally, both...

26/3,IC,K/17 (Item 16 from file: 349)  
DIALOG(R)File 349:PCT Fulltext  
(c) 2000 WIPO/MicroPat. All rts. reserv.

00717080

**INTERNATIONAL TRANSACTION PROCESSING SYSTEM**  
**SYSTEME DE TRAITEMENT DE TRANSACTIONS INTERNATIONALES**

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Patent Applicant/Inventor:

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Legal Representative:

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200029974 A1 20000525 (WO 0029974)

Application: WO 99US27221 19991116 (PCT/WO US9927221)

Priority Application: US 98192729 19981116

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ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT

LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT

UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-017/00

Publication Language: English

Filing Language: English

Fulltext Word Count: 19547

Fulltext Availability:

Detailed Description

Detailed Description

... World.Wide Web which allow you to submit bids to a seller or auctioneer, but do not provide the opportunity to bargain interactively with the **seller** on all the terms. A **bid** submission process is quite **different** from a **price** and terms negotiation process. **Bid** submission systems are usually designed to assist a **seller** in disposing of excess inventory. Hence, some malls and enterprise server applications provide limited electronic commerce, but none provide true multivariate



negotiation ability.

Finally, both...

26/3,IC,K/18 (Item 17 from file: 349)  
DIALOG(R)File 349:PCT Fulltext  
(c) 2000 WIPO/MicroPat. All rts. reserv.

00717079

**ELECTRONIC NON-REPUDIATION SYSTEM AND METHOD**  
**SYSTEME ET PROCEDE ELECTRONIQUE DE NON REPUDIATION**

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US)

Patent Applicant/Inventor:

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US (Nationality), (Designated only for: US)  
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FOUCHER Daniel, 195 South Road, Bedford, MA 01730, US, US (Residence),  
US (Nationality), (Designated only for: US)

Legal Representative:

STRETCH Maureen, 26 Charles Street, Natick, MA 01760, US

Patent and Priority Information (Country, Number, Date):

Patent: WO 200029973 A1 20000525 (WO 0029973)

Application: WO 99US27183 19991116 (PCT/WO US9927183)

Priority Application: US 98192979 19981116

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE

ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT

LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT

UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-017/00

Publication Language: English

Filing Language: English

Fulltext Word Count: 19217

Fulltext Availability:

Detailed Description

Detailed Description

... World Wide Web which allow you to submit bids to a seller or  
auctioneer, but do not provide the opportunity to bargain interactively  
with the **seller** on all the terms. A **bid** submission process is quite  
**different** from a **price** and terms negotiation process. **Bid** submission  
systems are usually designed to assist a **seller** in disposing of excess  
inventory. Hence, some malls and enterprise server applications provide  
limited electronic commerce, but none provide true multivariate  
negotiation ability.

Finally, both...

26/3,IC,K/19 (Item 18 from file: 349)

DIALOG(R)File 349:PCT Fulltext  
(c) 2000 WIPO/MicroPat. All rts. reserv.

00717078

**SYSTEM FOR ITERATIVE, MULTIVARIATE NEGOTIATIONS OVER A NETWORK**  
**SYSTEME POUR NEGOCIATIONS ITERATIVES A PLUSIEURS VARIABLES SUR UN RESEAU**

Patent Applicant/Assignee:

TRADE ACCESS INC, 350 Massachusetts Avenue, Cambridge, MA 02139-4182, US,  
US (Residence), US (Nationality), (For all designated states except:  
US)

Patent Applicant/Inventor:

CONKLIN Jeffrey, 41 Rutland Square, Boston, MA 02118, US, US (Residence),  
US (Nationality), (Designated only for: US)  
FOUCHER David, 374 Beacon Street, Somerville, MA 02143, US,  
US (Residence), US (Nationality), (Designated only for: US)  
FOUCHER Daniel, 195 South Road, Bedford, MA 01730, US, US (Residence),  
US (Nationality), (Designated only for: US)

Legal Representative:

STRETCH Maureen, 26 Charles Street, Natick, MA 01760, US

Patent and Priority Information (Country, Number, Date):

Patent: WO 200029972 A1 20000525 (WO 0029972)  
Application: WO 99US27151 19991116 (PCT/WO US9927151)  
Priority Application: US 98192735 19981116

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE  
ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT  
LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT  
UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-017/00

Publication Language: English

Filing Language: English

Fulltext Word Count: 20077

Fulltext Availability:

Detailed Description

Detailed Description

... World Wide Web which allow you to submit bids to a seller or  
auctioneer, but do not provide the opportunity to bargain interactively  
with the **seller** on all the terms. A **bid** submission process is quite  
**different** from a **price** and terms negotiation process. **Bid** submission  
systems are usually designed to assist a **seller** in disposing of excess  
inventory. Hence, some malls and enterprise server applications provide  
limited electronic commerce, but none provide true multivariate  
negotiation ability.

Finally, both...

26/3,IC,K/20 (Item 19 from file: 349)

DIALOG(R)File 349:PCT Fulltext  
(c) 2000 WIPO/MicroPat. All rts. reserv.

00717033

**SPONSORED COMMUNITY SYSTEM AND METHOD**  
**SYSTEME ET PROCEDE DE COMMUNAUTE SPONSORISEE**

## Patent Applicant/Assignee:

TRADE ACCESS INC, 350 Massachusetts Avenue, Cambridge, MA 02139-4182, US,  
US (Residence), US (Nationality), (For all designated states except:  
US)

## Patent Applicant/Inventor:

CONKLIN Jeffrey, 41 Rutland Square, Boston, MA 02118, US, US (Residence),  
US (Nationality), (Designated only for: US )  
FOUCHER David, 374 Beacon Street, Somerville, MA 02143, US,  
US (Residence), US (Nationality), (Designated only for: US )  
FOUCHER Daniel, 195 South Road, Bedford, MA 01730, US, US (Residence),  
US (Nationality), (Designated only for: US )

## Legal Representative:

STRETCH Maureen, 26 Charles Street, Natick, MA 01760, US

## Patent and Priority Information (Country, Number, Date):

Patent: WO 200029923 A2 20000525 (WO 0029923)  
Application: WO 99US27186 19991116 (PCT/WO US9927186)  
Priority Application: US 98193079 19981116

## Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE

ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT  
LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT  
UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

## Main International Patent Class: G06F

Publication Language: English

Filing Language: English

Fulltext Word Count: 19510

## Fulltext Availability:

Detailed Description

## Detailed Description

... World Wide Web which allow you to submit bids to a seller or  
auctioneer, but do not provide the opportunity to bargain interactively  
with the **seller** on all the terms. A **bid** submission process is quite  
**different** from a **price** and terms negotiation process. **Bid** submission  
systems are usually designed to assist a **seller** in disposing of excess  
inventory. Hence, some malls and enterprise server applications provide  
limited electronic commerce, but none provide true multivariate  
negotiation ability.

Finally, both...

26/3,IC,K/21 (Item 20 from file: 349)

DIALOG(R)File 349:PCT Fulltext

(c) 2000 WIPO/MicroPat. All rts. reserv.

00704332

## METHOD AND SYSTEM FOR CONDUCTING ELECTRONIC AUCTIONS

## PROCEDE ET SYSTEME POUR CONDUIRE DES VENTES AUX ENCHERES ELECTRONIQUES

## Patent Applicant/Assignee:

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## Inventor(s):

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 15239 , US  
 STEVENS Robert G, STEVENS, Robert, G. , Apt. 1, 5518 Ellsworth Avenue,  
 Pittsburgh, PA 15232 , US

## Patent and Priority Information (Country, Number, Date):

Patent: WO 0017797 A1 20000330 (WO 200017797)  
 Application: WO 99US21600 19990917 (PCT/WO US9921600)  
 Priority Application: US 98101141 19980918; US 98110846 19981204; US  
 99252790 19990219

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK  
 DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR  
 LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM  
 TR TT TZ UA UG UZ VN YU ZA ZW GH GM KE LS MW SD SL SZ TZ UG ZW AM AZ BY  
 KG KZ MD RU TJ TM AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE  
 BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

Main International Patent Class: G06F-017/60;

Publication Language: English

Filing Language: English

Fulltext Word Count: 20456

Fulltext Availability:

Detailed Description

## Detailed Description

... an online auction can set up such that individual bidders have  
 different limits on the bids that will be accepted during the course of  
 the **auction** . For an upward **auction** , the **seller** may set **different**  
 floor **prices** for **different bidders** . For a downward **auction** ,  
 individual ceiling prices can be established for **bidders** . This avoids  
 the "**buyer** 's (or **seller** 's) regret" associated with the market-wide  
**bid** limitations described above.

date ?

Consider the following example illustrated in Table 9. In this example,  
 the buyer had collected a "Round 1" series of pre-bids...

26/3,IC,K/22 (Item 21 from file: 349)

DIALOG(R)File 349:PCT Fulltext

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00695685

A METHOD AND AN APPARATUS FOR A UNIVERSAL TRADING MARKET DESIGN AND  
 DEPLOYMENT SYSTEM

PROCEDE ET APPAREIL RELATIFS A UN SYSTEME UNIVERSEL DE CONCEPTION ET DE  
 MISE EN OEUVRE DE MARCHES D'ECHANGES

## Patent Applicant/Assignee:

TRADING DYNAMICS INC, TRADING DYNAMICS, INC. , 313 West Evelyn Avenue,  
Mountain View, CA 94041 , US

## Inventor(s):

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WELLMAN Michael, WELLMAN, Michael , 427 Riverview Drive, Ann Arbor, MI  
48104 , US  
EPHRATI Eithan, EPHRATI, Eithan , Apt. C-3, 1575 Tenaka Place, Sunnyvale,  
CA 94087 , US

## Patent and Priority Information (Country, Number, Date):

Patent: WO 0008578 A1 20000217 (WO 200008578)

Application: WO 99US17248 19990729 (PCT/WO US9917248)

Priority Application: US 98131048 19980807; US 99339325 19990623

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE

ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT

LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT

UA UG US UZ VN YU ZA ZW GH GM KE LS MW SD SL SZ UG ZW AM AZ BY KG KZ MD

RU TJ TM AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF

CG CI CM GA GN GW ML MR NE SN TD TG

Main International Patent Class: G06F-017/60;

Publication Language: English

Filing Language: English

Fulltext Word Count: 7004

Fulltext Availability:

Detailed Description

Detailed Description

... auction system.

Information may be communicated to the universal auction system through  
keyboard, a touch screen display, or voice activated system.

## 3. Bid Transformer

A **bid** transformer 155 implements discriminating allocation market  
protocols that may produce **different** effective **prices** . **Discriminat**  
ing allocation market protocols may be based upon the identity of the  
**trader** ("**trader** identity") submitting the **bid** , the quantities  
allocated to a trader identity, or any other condition that the market  
designer designates. Trader identity may be associated with individual  
traders or...As the clearing interval of a call market is reduced, an  
approximate CDA is determined.

16

Clearer 154 may use discriminatory or non-uniform-price **auctions** that  
may allocate identical goods to **traders** at **different** **prices** . For  
example, in pay your-**bid** **auctions** , successful **traders** on one side  
exchange for exactly the amount they **bid** , regardless of terms for other  
successful traders.

Regardless of the allocation policy specified, the invention is capable  
of realizing each by allowing a market designer...

26/3,IC,K/23 (Item 22 from file: 349)

DIALOG(R)File 349:PCT Fulltext

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00642852

**A CONFIGURABLE ELECTRONIC TRADING SYSTEM AND THE METHOD THEREFOR  
SYSTEME DE COMMERCE ELECTRONIQUE CONFIGURABLE ET PROCEDE ASSOCIE**

Patent Applicant/Assignee:

BI Fujun, BI, Fujun, 275 Fu Wai North Street, Beijing 100037, CN

Inventor(s):

LI Ran, LI, Ran, 45 Country Hills Court, Danville, CA 94506, US

BLISS Shaun, BLISS, Shaun, 915 Shorepoint Court &amp;E215, Alameda, CA 94501, US

YAN Hong, YAN, Hong, 268 East Ridge, San Ramon, CA 94583, CN

Patent and Priority Information (Country, Number, Date):

Patent: WO 9926173 A1 19990527

Application: WO 97CN128 19971117 (PCT/WO CN9700128)

Priority Application: WO 97CN128 19971117

Designated States: AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DE DK EE

ES FI GB GE GH HU IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK

MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG US UZ VN

YU ZW GH KE LS MW SD SZ UG ZW AM AZ BY KG KZ MD RU TJ TM AT BE CH DE DK

ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN ML MR NE SN

TD TG

Main International Patent Class: G06F-017/60;

Publication Language: English

Filing Language: English

Fulltext Word Count: 4337

Fulltext Availability:

Detailed Description

Detailed Description

... a number of terms such as: Shipping Time, Quantity, Payment Term, Shipping Destination etc. All these factors affect the unit price.

Therefore, with the conventional **trading system**, the **seller** can not provide offers with **different prices** dependent on changed terms, he has to enter multiple offers, each with different factors and a **different unit price**; and the **buyer** can not get a new price offer from the **seller** instantly after he changes the terms.

Therefore, the conventional electronic **trading system** is not convenient or quite efficient for a user to handle offer entry and output process. When a vendor uses such a system it does...

**26/3,IC,K/24 (Item 23 from file: 349)**

DIALOG(R)File 349:PCT Fulltext

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00636638

**SYSTEMS, METHODS AND COMPUTER PROGRAM PRODUCTS FOR ELECTRONIC TRADING OF  
FINANCIAL INSTRUMENTS****SYSTEMES, METHODES ET PROGRAMMES INFORMATIQUES DESTINES A LA NEGOCIATION  
ELECTRONIQUE D'INSTRUMENTS FINANCIERS**

Patent Applicant/Assignee:

DERIVATIVES NET INC, DERIVATIVES NET, INC., 112 South Tryon Street,  
Charlotte, NC 28284, US

Inventor(s):

MAY R Raymond, MAY, R., Raymond, 1526 Reverdy Oaks Drive, Matthews, NC  
28105, US

Patent and Priority Information (Country, Number, Date):

Patent: WO 9919821 A1 19990422

Application: WO 98US21518 19981013 (PCT/WO US9821518)

Priority Application: US 9762410 19971014

Designated States: AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK  
DK EE ES FI GB GD GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC  
LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL  
TJ TM TR TT UA UG US VZ VN YU ZW GH GM KE LS MW SD SZ UG ZW AM AZ BY  
KG KZ MD RU TJ TM AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE  
BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

Main International Patent Class: G06F-017/60;

Publication Language: English

Filing Language: English

Fulltext Word Count: 33959

Fulltext Availability:

Claims

Claim

... the user via the market interface module 74. The auction module 81 is configured to receive multiple or batch orders on a single instrument at **different price** levels, and in case of a switch **auction**, to receive a interest rate reset risk portfolio from the **user**. The inputted orders or portfolio is sent to the **auction** server 34 at the central processing center 12 where the auction or switch auction, respectively, is performed. The resulting matches are returned to the auction...

X 26/3,IC,K/25 (Item 24 from file: 349)

DIALOG(R)File 349:PCT Fulltext

(c) 2000 WIPO/MicroPat. All rts. reserv.

00536726

**METHOD AND SYSTEM FOR PROCESSING AND TRANSMITTING ELECTRONIC AUCTION INFORMATION**

**PROCEDE ET SYSTEME DE TRAITEMENT ET DE TRANSMISSION D'INFORMATIONS POUR DES ENCHERES ELECTRONIQUES**

Patent Applicant/Assignee:

ONSALE INC, ONSALE, INC. , 1953 Landings Drive, Mountain View, CA 94043 ,  
US

Inventor(s):

FISHER Alan S, FISHER, Alan, S. , 40859 Calido Place, Fremont, CA  
94539, 3633 , US

KAPLAN Samuel Jerrold, KAPLAN, Samuel, Jerrold , 910 West Santa Inez  
Avenue, Hillsborough, CA 94010 , US

Patent and Priority Information (Country, Number, Date):

Patent: WO 9737315 A1 19971009

Application: WO 97US4535 19970319 (PCT/WO US9704535)

Priority Application: US 96623654 19960329; US 96623946 19960329; US  
96624259 19960329

Designated States: AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES  
FI GB GE GH HU IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN  
MW MX NO NZ PL PT RO RU SD SE SG SI SK TJ TM TR TT UA UG US VZ VN YU GH  
KE LS MW SD SZ UG AM AZ BY KG KZ MD RU TJ TM AT BE CH DE DK ES FI FR GB  
GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN ML MR NE SN TD TG

Main International Patent Class: G06F-017/60;

Publication Language: English

Filing Language: English

Fulltext Word Count: 10019

Fulltext Availability:

## Detailed Description

## Detailed Description

... in accordance with their bids once bidding has stopped. Using this format, if there is a plurality of a specific item, the system awards the **merchandise** to the top **bidders**. **Bidders** may **bid** on more than one unit, and different successful **bidders** will, in general, pay **different prices** for an item.

FIG. 9 illustrates the Standard **Auction** format where bid manager 55, shown in FIG. 6, determines which bids to mark as successful or unsuccessful, as shown in step 66 in FIG...price bid.

As with the Dutch Auction format, the highest price bids are awarded the merchandise up to the quantity available of the item being **auctioned**.

However, unlike the Dutch **Auction** format, the system awards the **merchandise** to the successful **bidders** at **different prices** depending on the quantity **bid**. In a preferred embodiment, a successful **bidder** for a single unit of an item is awarded the item at the price of the lowest successful bid for a single unit of the...

26/3,IC,K/26 (Item 25 from file: 349)

DIALOG(R)File 349:PCT Fulltext

(c) 2000 WIPO/MicroPat. All rts. reserv.

00515291

**COMMUNICATIONS SYSTEM USING BETS****SYSTEME DE COMMUNICATION METTANT EN OEUVRE DES PARIS**

Patent Applicant/Assignee:

ROSSIDES Michael T

Inventor(s):

ROSSIDES Michael T

Patent and Priority Information (Country, Number, Date):

Patent: WO 9715362 A1 19970501

Application: WO 96US16815 19961024 (PCT/WO US9616815)

Priority Application: US 95547503 19951024; US 95569883 19951208

Designated States: AL AM AT AU AZ BB BG BR BY CA CH CN CZ DE DK EE ES FI GB

GE HU IL IS JP KP KR KZ LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT

RO RU SD SE SG TJ TM TR TT UA UG UZ VN KE LS MW SD SZ UG AM AZ BY KG KZ

MD RU TJ TM AT DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI

CM GA GN ML MR TD TG

Main International Patent Class: A63F-009/24;

Publication Language: English

Fulltext Word Count: 53544

Fulltext Availability:

Detailed Description

## Detailed Description

... to the payoff odds.

## Auction Methods

Auction methods for Q-bets are the same as those for P-bets except that the definitions of better **price** are **different**. To repeat, the differences are superficial. In an **auction**, the system still selects the best price offer above the **user**'s bottom line. The bottom line in a P-bet was called the minimum price. Rather than say that Jim enters a



minimum price in...

26/3,IC,K/27 (Item 26 from file: 349)  
DIALOG(R)File 349:PCT Fulltext  
(c) 2000 WIPO/MicroPat. All rts. reserv.

00437988

**CONSIGNMENT NODES**

**NoeUD DE CONSIGNATION DE MARCHANDISES**

Patent Applicant/Assignee:

FLEANET INC

Inventor(s):

WOOLSTON Thomas G

Patent and Priority Information (Country, Number, Date):

Patent: WO 9634356 A1 19961031

Application: WO 96US6205 19960426 (PCT/WO US9606205)

Priority Application: US 95427820 19950426; US 95554704 19951107

Designated States: CA RU AT BE CH DE DK ES FI FR GB GR IE IT LU MC NL PT SE

Main International Patent Class: G06F-017/60;

International Patent Class: G06F-009/45; G06F-009/445; G06G-007/52;

Publication Language: English

Fulltext Word Count: 12518

Fulltext Availability:

Detailed Description

Detailed Description

... to generate the sound of a auctioneers voice on the sound blaster, or equivalent board.

Thus, the present invention uses pre-stored sound samples of **different auction prices** and **auctioneer** "string" along aural calls inside the **participant** interface software, and allows the generation of said pre stored sound bites to be invoked by the consignment node driver through the said special protocol...

26/3,IC,K/28 (Item 27 from file: 349)  
DIALOG(R)File 349:PCT Fulltext  
(c) 2000 WIPO/MicroPat. All rts. reserv.

00375969

**REAL-TIME AUTOMATED TRADING SYSTEM**

**SYSTEME DE TRANSACTION AUTOMATIQUE EN TEMPS REEL**

Patent Applicant/Assignee:

MJT HOLDINGS INC

Inventor(s):

LUPIEN William A

MARTIN John E Jr

ALEX Mike N

Patent and Priority Information (Country, Number, Date):

Patent: WO 9506918 A2-A3 19950309

Application: WO 94US9398 19940822 (PCT/WO US9409398)

Priority Application: US 93110666 19930823

Designated States: AM AT AU BB BG BR BY CA CH CN CZ DE DK ES FI GB GE HU JP

KE KG KP KR KZ LU LV MD MG MN MW NL NO NZ PL PT RO RU SD SE SI SK TJ TT

UA UZ VN KE MW BE CH DE DK ES FR GB GR IE IT LU MC NL PT SE BF BJ CF CG

CI CM GA GN ML SN TD TG

Main International Patent Class: G06F-157/00;

Publication Language: English

Fulltext Word Count: 40365

Fulltext Availability:

Detailed Description

Detailed Description

... computer-to-computer interface. Each requires orders to be entered using differing formats, it using different terminology and with different system rules. Each also quotes **different prices** for a A particular security. It is difficult for investors, **traders** and **brokers** to simultaneously use these systems at one time. Each electronic **trading system** requires a different computer screen and input device. Further,, these systems do not allow investors direct access to the specialist on the floor.of the...

?

27/3,IC,K/1 (Item 1 from file: 349)  
DIALOG(R)File 349:PCT Fulltext  
(c) 2000 WIPO/MicroPat. All rts. reserv.

00753788

**INVOICELESS TRADING AND SETTLEMENT METHOD AND SYSTEM**  
**PROCEDE ET SYSTEME DE COMMERCE ET DE REGLEMENT SANS FACTURE**  
Patent Applicant/Assignee:

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AU (Residence), AU (Nationality)

Inventor(s):

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Legal Representative:

MCMASTER OBERIN ARTHUR ROBINSON & HEDDERWICKS, 530 Collins Street,  
Melbourne, VIC 3000, AU

Patent and Priority Information (Country, Number, Date):

Patent: WO 200067167 A1 20001109 (WO 0067167)

Application: WO 2000AU387 20000502 (PCT/WO AU0000387)

Priority Application: AU 99102 19990503

Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE  
DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC  
LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK  
SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-017/60

Publication Language: English

Filing Language: English

English Abstract

...and systems consistent with the present invention overcome the shortcomings of existing trading systems by providing an invoiceless trading system (100) that creates incentives for **customers** to pay **suppliers** within a predetermined period of time, such as a settlement period. Specifically, the invoiceless trading system (100) enables a **customer** to obtain a **discount** on orders placed with **suppliers** in return for an immediate payment (e.g., within 24 hours) by the customer. The supplier receives payment within the predetermined period of time, and...

27/3,IC,K/2 (Item 2 from file: 349)  
DIALOG(R)File 349:PCT Fulltext  
(c) 2000 WIPO/MicroPat. All rts. reserv.

00752884

**SYSTEM AND METHOD FOR PROVIDING AN ELECTRONIC BUSINESS-TO-BUSINESS EXCHANGE FOR BUYERS AND SELLERS**  
**SYSTEME ET PROCEDE ASSURANT DES ECHANGES COMMERCIAUX ELECTRONIQUES ENTRE ACHETEURS ET VENDEURS**  
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 TM TR TT TZ UA UG US UZ VN YU ZA ZW  
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Detailed Description

Detailed Description

... enter an account number or a password when they visit this business  
 exchange website, the present invention, according to one embodiment  
 thereof, also enables a **buyer** to be pre-qualified. For instance, if a  
**member** of a **discount membership** club is using the website of the  
**discount membership** club, the **member** may be prequalified to view and  
**bid** on listings that the **discount membership** club has posted on the  
 business exchange website of the present invention.

For instance, one method for bypassing the entering of passwords or  
 account numbers...

...805 for this purpose. In this embodiment, the discount membership club  
 has a website of its own which is used by its members. The discount  
**membership** club may post listings of items for sale on the business  
 exchange website with the desire that its **members** view and **bid** on the  
 items.

In order for the **members** to access the listing, the **discount**  
**membership** club website employs a click-through banner, comprising a  
 hypertext link to the business exchange website.

Advantageously, the click-through banner of the discount membership...

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00748806

METHOD AND APPARATUS FOR TRACKING CONSUMERS  
 PROCEDE ET DISPOSITIF DE SUIVI DE CONSOMMATEUR

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## Patent and Priority Information (Country, Number, Date):

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SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW  
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE  
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Detailed Description

## Detailed Description

... exceed \$50.

c. Reward base = (aggregate transaction amount threshold). For example,  
offer 5% of on aggregated transaction amounts that exceed \$1000.

3. Discount = (purchase price - **auction bid** price). For example, a  
**shopper** makes a successful **bid** of \$25 on a product that normally  
retails for \$40. The **discount** amount is (\$40 - \$25) = \$15. This handles  
the case of online/offline **auctions**. The **shopper** goes online to **bid**  
on products. The **shopper** then purchases the product offline with their  
registered card at the standard price. The manager recognizes this a  
qualified transaction and gives the **shopper** his/her qualified rebate so  
that the net effect is that he/she ends up paying the much lower **auction**  
or **discounted** price.

4. **Discount** = (purchase price -group **discount** price). For example, a  
**shopper** is part of a group that aggregates their purchasing power to  
obtain a lower price from the retailer on a specific product. In  
aggregated purchasing...

27/3,IC,K/4 (Item 4 from file: 349)

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00747100

METHOD AND APPARATUS FOR ELECTRONICALLY PROCESSING TRANSACTIONS FOR  
VARIABLY PRICED GOODS AND SERVICES, AND THE PRODUCTION OR AVAILABILITY  
PLANNING OF SAME

PROCEDE ET SYSTEME DE TRAITEMENT ELECTRONIQUE DE TRANSACTIONS DE BIENS ET  
DE SERVICES A PRIX VARIABLES, ET PLANIFICATION DE LA PRODUCTION OU DE  
LA MISE EN MARCHÉ DESDITS BIENS ET SERVICES

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SL TJ TM TR TT TZ UA UG VN YU ZA ZW

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Detailed Description

Detailed Description

... interact in real time to transient fluctuations in supply and demand  
to increase the efficiency of these markets and the utilization of  
available resources of **sellers**. For example, a **seller** could review  
unsatisfied **buyer bids** and respond to specific "below market" **bids**  
with specific "below market" "**discount**" asks. For another example, a  
hotel on a given Friday morning, when it becomes clear that it is not  
going to be filled for the...

27/3,IC,K/5 (Item 5 from file: 349)

DIALOG(R)File 349:PCT Fulltext

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00745522

METHOD AND SYSTEM FOR CONDUCTING ELECTRONIC AUCTIONS WITH NET PRESENT VALUE  
BIDDING

PROCEDE ET SYSTEME DE CONDUITE DE VENTES AUX ENCHERES ELECTRONIQUES PAR  
L'INTERMEDIAIRE D'OFFRES A VALEUR ACTUELLE NETTE

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Patent and Priority Information (Country, Number, Date):

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Priority Application: US 99282156 19990331

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LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ  
TM TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

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Detailed Description

Claims

Detailed Description

... NPV bid information for the lot of products to the auction server. The bid information for each year is used to determine a total NPV **bid**. The total NPV **bid** represents a sum of a series of payments over multiple contract years, which are **discounted** to a present value using a predefined **discount** rate or rates.

NPV **bid** information received from all of the **participating suppliers** is fed back to each of the **participating suppliers**. The receipt of the NPV bid information enables each of the **participating suppliers** to view a relative comparison of all multi-year **bids** generated by all of the **participating suppliers**.

Because the time value of money is considered, a **bidder** offering steeper **discounts** in the early years of a multiple year contract will look relatively more attractive than a bidder whose discounts are offered in later years. The...

...at the sites of the coordinator and the potential suppliers on which the client and server components operate.

Fig. 5 illustrates an example of a **user** interface that can be used to define a multi-year **bid** using annual percentage **discounts** below the base year **bid**. Fig. 6 illustrates the calculation of a total net

present value bid using the base year bid, the quantity, and the annual-percentage discounts.

Fig...the time value of money.

As noted, this valuation can be based upon the buyer's projected cost of capital.

In the present invention, the **supplier** -defined multi-year cash flow pattern is translated to a net present value based upon a **buyer** -defined **discount** rate. This **buyer** defined **discount** rate is typically provided to the **auction** server for use during the **auction** process.

The use of the **buyer** -defined **discount** rate is reflected in the calculations illustrated in Fig. 6. These calculations are based upon the multi-year **bidding** parameters that were defined in the example **user** interface of Fig. 5. Columns 610, 620, 630, and 640 of the table of Fig. 6 include the information of columns 510, 520, 530, and...the multi-year contract directly.

After the multi-year cash flow pattern data is generated in columns 651 654, it is converted into a NPV **bid** . This conversion requires a **discount** rate to be defined. In one embodiment, the **discount** rate is defined by the **buyer** . In an alternative embodiment, the **discount** rate is defined by the **auction** server. In the example calculations of the Table of Fig. 6, an 8% **discount** rate is assumed. The **discount** rate can be set separately for each year. The discount rate can also be set separately for each lot.

The NPV calculation is performed for...values and submit to the auction server component only the final calculated NPV bid for comparison at the server.

Upon receipt of the multi-year **bidding** parameters from the **supplier** , the **auction** server calculates the total NPV **bid** using the multi-year **bidding** parameters and the **discount** rate. The total NPV **bid** is used to effect a relevant comparison between the **bids** of the **participating suppliers** . The total NPV bid is then fed back to the **suppliers** so that they can determine their relative position within the **auction** for that lot of products.

Note that it is not important whether **bidders** are aware of the exact **discount** rate(s) **r** used to calculate NPV. Figs. 7 and 8 illustrate the use of the total NPV **bid** information at the supplier computer. The NPV bid history graph of Fig. 7 is a graphical illustration of the value and timing of the NPV...

#### Claim

... which are discounted to a present value using a predefined discount rate structure; and (c) transmitting net present value bid information to a plurality of **bidders** , said net present value bid information enabling a plurality of **bidders** to view a relative comparison of net present value **bids** submitted by a plurality of **bidders** .

2. The method of claim 1, further comprising the step of receiving a predefined **discount** rate structure from a **buyer** .

3. The method of claim 1, wherein step (a) comprises the step of receiving multi-segment **bidding** parameters, said multi-segment bidding



parameters defining a pattern of payments over a plurality of contract term segments.

4. The method of claim 3, wherein...

...a bidder for the lot; means for generating a net present value bid value using said net present value bid information, said net present value **bid** value representing a sum of a series of payments over a plurality of contract term segments which are **discounted** to a present value using a predefined **discount** rate structure; and means for transmitting net present value **bid** information to a plurality of **bidders**, said net present value **bid** information enabling a plurality of **bidders** to view a relative comparison of net present value bids submitted by a plurality of bidders.

8. The system of claim 7, wherein said received...specification of a pattern of payments over a plurality of contract term segments, said pattern of payments over a plurality of contract term segments being **discounted** to present value using a predefined **discount** rate structure; (b) transmitting net present value **bid** information to an **auction** server; (c) receiving net present value **bid** information representative of the net present value bids of other **bidders**; (d) displaying a relative comparison of net present value bids submitted to the auction server; and (e) generating a modified net present value bid based...specification of a pattern of payments over a plurality of contract term segments, said pattern of payments over a plurality of contract term segments being **discounted** to a present value using a predefined **discount** rate structure; (b) transmitting, by said first **bidder**, first net present value **bid** information to an **auction** server; (c) generating, by said auction server, a net present value bid value using said first net present value bid information, said net present value...

27/3,IC,K/6 (Item 6 from file: 349)

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00742384

**E-COMMERCE METHOD AND SYSTEM FOR ONLINE OPPORTUNISTIC AUCTIONS IN COMMERCIAL SECONDARY MARKETS**

**PROCEDE ET SYSTEME DE COMMERCE ELECTRONIQUE POUR ENCHERES OPPORTUNISTES EN LIGNE SUR DES MARCHES COMMERCIAUX SECONDAIRES**

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TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW  
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Detailed Description

Detailed Description

... various stratification levels may add a delta to the price or otherwise take the received information into account with their personalized pricing models. The actual **bidding** and **discounting** strategies are left to the **participating agents** : human **buyers** and **sellers** , computerized trading programs and the electronic sub-exchange manager. The **auction participants** are provided flexibility to compute portfolio prices and then modulate them to show a visible price that may be different from the actual computed price...

27/3,IC,K/7 (Item 7 from file: 349)

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00739240

**TARGET PRICE SYSTEM FOR COMPETITIVE BID GOODS AND SERVICES**

**SYSTEME DE PRIX CIBLES DE BIENS OU DE SERVICES OFFERTS DE FACON CONCURRENTIELLE**

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Detailed Description

#### Detailed Description

... pricing uses several measures of revenue and profit. See Contribution,  
Expected; Contribution, Marginal; Revenue, Gross; and Revenue, List.

"Revenue, Gross": All revenue received from the **customer** , i.e. the  
price that was offered and accepted (gross revenue = list price (1 -  
**discount** ) quantity).

"Revenue, List": The revenue that would be received if a **bid** were won  
without offering any **discount** (list revenue = list price quantity).

"Strategic Objectives": Business requirements established by senior  
management to promote long-term corporate growth, possibly at the expense  
of near...the art or could be retrieved in real-time from external  
systems.

Once the bid is costed, then an equivalent competitor net price for the  
**bid** is calculated.

This is the price the competitor(s) would charge to this **customer** after  
any **discounting** has occurred. The list prices for competitor products  
are preferably maintained in the product model, but an appropriate  
discounting mechanism must be applied to the...to the end customers  
through OEM's (like Volvo/GM) that manufacture trucks. Since most of the  
trucks are assembled by OEM's for end **customers** , Meritor has to figure  
out the **discounts** to offer end **customers** .

In the example below, a **bid** is tendered to the Trinity Steel account by  
Meritor Heavy Vehicle systems. The following customer segments are  
defined by the user of target pricing:

INPUTS...do not need to compute costs for competitors.

The competitor net price (CNP) model used in target pricing estimates the  
prices competitors will offer to **customers** , including negotiated  
**discounts** . Logically, with all other factors being equal, the lower the  
competitor net price, the lower the target **bid** price will have to be to  
ensure the same probability of success. Conversely, the higher the  
competitor net ...the method calculates a minimum price, target and  
maximum price.

Internally, prices are computed as percentage discounts relative to list price, but the target pricing **user** can choose to display them as absolute (cash) amounts, absolute (cash) **discounts**, or price ratios relative to a competitor net price.

The target pricing **user** must gather all **bid** and account information necessary to calculate win probabilities. Examples of additional parameters or factors are: products, options and quantity ordered; list price and quantity for...

27/3,IC,K/8 (Item 8 from file: 349)

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00733740

**METHOD AND APPARATUS FOR CREATING AND MANAGING GROUPS FOR INCREASING BUYING POWER ON THE WORLD WIDE WEB**

**PROCEDE ET APPAREIL UTILES POUR CREER ET GERER DES GROUPES EN VUE D'AUGMENTER LE POUVOIR D'ACHAT SUR LE WEB**

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TM TR TT TZ UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

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Detailed Description

Detailed Description

... s) can either search for active groups of interest (step 401) or receive automatic notification each time a relevant group is formed (step 403). The **vendor** (s) can submit a **bid** at any time (step 405). **Bids** which are submitted before the formation of the group can specify pre-negotiated prices and **discounts** if the group attains a certain

size. Group **members** are notified of the best **bids** (step 407) and may use such information in making individual commitment decisions (step 413).

Vendors may review bids submitted by other vendors and/or review...

27/3,IC,K/9 (Item 9 from file: 349)  
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00730941

**METHOD AND SYSTEM FOR LOW VOLUME BUYERS TO AGGREGATE PURCHASING POWER  
PROCEDE ET SYSTEME PERMETTANT A DE PETITS ACHETEURS DE METTRE EN COMMUN  
LEUR POUVOIR D'ACHAT**

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Patent and Priority Information (Country, Number, Date):

Patent: WO 200043928 A2 20000727 (WO 0043928)

Application: WO 2000US1456 20000121 (PCT/WO US0001456)

Priority Application: US 99116920 19990122; US 99348812 19990707

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE  
ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT  
LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT  
UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-017/60

Publication Language: English

Filing Language: English

Fulltext Word Count: 4721

Fulltext Availability:

Detailed Description

English Abstract

...units cost \$60 per unit. Another example of a volume discount is the price paid by each buyer is the total value demanded by the **vendor** divided equally between all interested **buyers**. **Buyers** then aggregate online to take advantage of these volume **discounts**. Unlike an **auction** model, where more **buyers** mean higher prices, here more **buyers** lead to lower prices.

Detailed Description

... information in a Sale Database; d) a Bid Process Manager to store bid information in a Bid Database; and e) a Sale manager to match **bid** information and sale information and generate orders for the product. Preferably, the system provides that the **vendor** employ a volume **discount** price schedule. The system allows **buyers** to place and withdraw **bids** after the Start Time of the sale. After the Hold Time,

only new bids and increased bids are allowed. Ideally, both the buyers and the...for a specific product being bid on and not necessarily for all the products being offered at any given time. For a specific product, the **bidding buyer** has an opportunity for volume **discounts** based on how many other people **bid** for the product. Next, there is no **intermediary** or other entity that ...buyer who may buy multiple units to take advantage of the volume discount. This approach to e-commerce does not address how a low volume **buyer** can take advantage of the volume **discount** without having to personally buy more units of the product. In another example, the Internet has popularized **auction** sites. Typically, multiple **buyers** bid competitively against each other for a given product. Under this model, the aggregation of buyers actually increases prices. In contrast, the invention described here...

...five percent discount for 1000 tons of product over three years and a ten percent discount for 2000 pounds of product over three years.

The **vendor** may further require a minimum tonnage requirement over a specified time period to **bid**, and **discounts** may vary based on any of the variables - such as amount, time to delivery, or price. Thus, the corresponding structures, materials, acts, and equivalents of...

27/3,IC,K/10 (Item 10 from file: 349)

DIALOG(R)File 349:PCT Fulltext

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00726656

**APPARATUS AND METHOD FOR A FLEXIBLE-PRODUCT VOUCHER**

**APPAREIL ET PROCEDE RELATIFS A DES BONS POUR PRODUIT FLEXIBLE**

Patent Applicant/Assignee:

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US (Residence), US (Nationality), (For all designated states except:  
US)

Patent Applicant/Inventor:

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US (Residence), US (Nationality), (Designated only for: US)  
TEDESCO Daniel E, Apartment 6, 192 Park Street, New Canaan, CT 06840, US,  
US (Residence), US (Nationality), (Designated only for: US)

Legal Representative:

SANTISI Steven M, Walker Digital Corporation, Intellectual Property  
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Patent and Priority Information (Country, Number, Date):

Patent: WO 200039721 A1 20000706 (WO 0039721)  
Application: WO 99US23799 19991012 (PCT/WO US9923799)  
Priority Application: US 98221104 19981228

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE

ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT  
LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT  
UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Main International Patent Class: G06F-017/60

Publication Language: English

Filing Language: English

Fulltext Word Count: 7656

Fulltext Availability:

## Detailed Description

## Detailed Description

... seats is through Internet auctions. This method is flexibility-based, but is, however, unattractive and burdensome to buyers. Auctions have traditionally been a vehicle for **sellers** to extract the best (highest priced) demand from a market. As such, **buyers** may not get a very substantial **discount**, or any **discount** at all, on the retail price. Further, **auctions** require continuous competition between **buyers** until the close of the **auction**. This may be burdensome to **buyers** in that they must be actively involved in a potentially fruitless communication with the auction service to see if their price has been surpassed by...

27/3,IC,K/11 (Item 11 from file: 349)

DIALOG(R)File 349:PCT Fulltext

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00720396

**METHOD AND APPARATUS FOR FACILITATING BUYER-DRIVEN PURCHASE ORDERS ON A COMMERCIAL NETWORK SYSTEM**

**PROCEDE ET APPAREIL PERMETTANT DE FACILITER DES ORDRES D'ACHAT EMIS PAR UN ACHETEUR DANS UN SYSTEME DE RESEAU COMMERCIAL**

Patent Applicant/Inventor:

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US (Residence), US (Nationality)

Legal Representative:

CHAU Frank, F. Chau & Associates, LLP, Suite 501, 1900 Hempstead  
Turnpike, East Meadow, NY 11554, US

Patent and Priority Information (Country, Number, Date):

Patent: WO 200033271 A2 20000608 (WO 0033271)

Application: WO 99US28507 19991202 (PCT/WO US9928507)

Priority Application: US 98203843 19981202

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE

ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT

LU LV MD MG MK MN MW NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT

UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

Main International Patent Class: G07F-019/00

International Patent Class: G06F-017/60

Publication Language: English

Filing Language: English

Fulltext Word Count: 18062

Fulltext Availability:

Detailed Description

## Detailed Description

... processor. Thus the central processor provides a meeting place for such agents to congregate and aggregate. The central controller 200 could then create a super **agent** -18 that would be used to find the most competitive **bid** for the pool.

In one embodiment of the present invention **buyers** could indicate a minimum **discount** off the maximum offer price provided by the central controller 200 that a buyer would be willing to accept. The seller would then be notified...

27/3,IC,K/12 (Item 12 from file: 349)  
DIALOG(R)File 349:PCT Fulltext  
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00712349

**METHOD AND APPARATUS FOR NEGOTIATING USING AN ELECTRONIC COMMUNICATION NETWORK**  
**PROCEDE ET APPAREIL PERMETTANT DE NEGOCIER L'UTILISATION D'UN RESEAU DE COMMUNICATIONS ELECTRONIQUE**

Patent Applicant/Assignee:

UNIVERSITY OF BRISTOL, UNIVERSITY OF BRISTOL , Senate House, Tyndall  
Avenue, Bristol BS8 1TH , GB

Inventor(s):

VULKAN Nir, VULKAN, Nir , Pembroke Grove Cottage, Pembroke Grove,  
Clifton, Bristol BS8 3DA , GB

Patent and Priority Information (Country, Number, Date):

Patent: WO 0025242 A1 20000504 (WO 200025242)

Application: WO 99GB3528 19991025 (PCT/WO GB9903528)

Priority Application: GB 9823321 19981023

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK  
DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR  
LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ  
TM TR TT TZ UA UG US UZ VN YU ZA ZW GH GM KE LS MW SD SL SZ TZ UG ZW AM  
AZ BY KG KZ MD RU TJ TM AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL  
PT SE BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

Main International Patent Class: G06F-017/60;

Publication Language: English

Filing Language: English

Fulltext Word Count: 11142

Fulltext Availability:

Detailed Description

Detailed Description

... buy from A rather than B, will depend on how A and B react. For example, it will not be wise to lose the "valued **customer** " status, for a small, one-off **discount** . Similarly, how much to **bid** in an **auction** will normally depend on how much the other **buyers** are likely to **bid** . These types of speculations can considerably increase the complexity of making buying decisions.

Multi-attributes: Most business-to-business transactions depend not only on price...

27/3,IC,K/13 (Item 13 from file: 349)  
DIALOG(R)File 349:PCT Fulltext  
(c) 2000 WIPO/MicroPat. All rts. reserv.

00708114

**METHOD AND APPARATUS FOR AUCTIONS WITH AUTOMATIC MATCHING**  
**PROCEDE ET APPAREIL DE VENTE AUX ENCHERES AVEC MISE EN CORRESPONDANCE AUTOMATIQUE**

Patent Applicant/Assignee:

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US

ISAAC Jeffrey, ISAAC, Jeffrey , 105 Windsor Circle, Washington Township,  
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Inventor(s):



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US  
ISAAC Jeffrey, ISAAC, Jeffrey , 105 Windsor Circle, Washington Township,  
NJ 07675 , US

## Patent and Priority Information (Country, Number, Date):

Patent: WO 0021013 A1 20000413 (WO 200021013)  
Application: WO 99US23260 19991006 (PCT/WO US9923260)  
Priority Application: US 98103276 19981006

Designated States: AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES  
FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU  
LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA  
UG UZ VN YU ZW GH GM KE LS MW SD SL SZ TZ UG ZW AM AZ BY KG KZ MD RU TJ  
TM AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI  
CM GA GN GW ML MR NE SN TD TG

Main International Patent Class: G06F-017/60;

Publication Language: English

Filing Language: English

Fulltext Word Count: 20318

## Fulltext Availability:

Detailed Description  
Claims

## Detailed Description

... trade terms.

Having an electronic trading system, comprising one or more electronic trading exchanges, automatic matching and a first risk parameter by which a first **trader** can specify an amount of **discounting** to be applied to an offer by at least one other **trader** on the system. Further providing an electronic **trading system** with any combination of: i) the first risk parameter being a credit risk parameter, ii) the first risk parameter being a slow pay parameter; or...include (but are not limited to) the following:

(i) New orders are submitted.

(ii) A bid is increased.

(iii) An ask is decreased.

(iv) A **trader** increases a credit line, interest rate for **bids** /purchases, or deferred delivery **discount** for asks/sales.(v) A **trader** increases a budget or reduces a budget requirement factor.(vi) A **trader** reduces a credit risk factor, credit risk factor multiplier, credit expansion factor, deferred delivery **discount** for **bids** /purchases, interest rate for asks/sales, minimum credit requirement factor, arbitrage markup, or handling fee.(vii) A **trader** removes or changes a superior account selection.

(viii) A trader's trading hours begin or a trading halt or suspension ends.

SUBSTITUTE SHEET (RULE 26...single unit present value bid that the buyer is willing to make, multiplied by the quantity of the trade, without applying any settings of the **buyer** . Modified Bid 628 (\$82,764.00) represents the value of the group of 8 items to the **buyer** .

Specifically, Periodic **Bid** 627 has been modified by Cumulative Quantity Modifier, Lot Size Premium, Account **Bid** Modifier and Deferred Delivery 3o **Discount** in order to produced Modified **Bid** 628. Sub-total 629 (\$74, 896.58) represents the value to the **buyer** of the commitment to sell by the particular seller that is on the other side of the transaction represented by this Purchase SUBSTITUTE SHEET (RULE 26) Analysis report. Specifically, sub-total 629 has been modified by the following settings, which have been set by the **buyer** for the particular **seller** : Credit Expansion **Discount** , Credit Risk **Discount** and Slow Delivery **discount** . Net Periodic **Bid** 620 is simply sub-total 629 adjusted for handling fees.

"Total Purch" 626 (\$72,000.00) represents what the seller is willing to sell the...Hidden" "Standing"

iii) "Periodic"

iv) "Now or Never"

The following Bid and Ask modifier settings will be provided:

i) "CUMULATIVE QUANTITY MODIFIER" allows for the **trader** to modify his order price as additional pieces are bought (or sold). May be set at a premium or **discount** .

ii) "LOT SIZE **DISCOUNT** " allows the **trader** to increase his **bid** ( **discount** his ask) by a per-item dollar amount for larger quantities.

iii) For either the "CUMULATIVE QUANTITY MODIFIER" or the "LOT SIZE **DISCOUNT**":

a) The...merchandise, e.g. Certified Coins. Each exchange comprises its own order book and its own periodic order file.

"Exchange Bid Modifier" Settings created by the **trader** applicable to all **bids** for items traded in the exchange, usually based on brand. (e.g. **discount** USAir plane tickets for all **bids** /itineraries by 8%).

"Execution" The process whereby Eureka puts a **buyer** and **seller** together.

"Global" Applicable to all accounts and exchanges.

"GTC" Good Till Canceled.

"Halt" A (manually or automatically) self-imposed inactivation of one's own orders...

#### Claim

... 1, further comprising a credit expansion table by which the first trader specifies an amount by which an offer by the at least one other **trader** , which exceeds the credit line of the at least one other **trader** , is **discounted** .

3. The electronic **trading system** of claim 1, further comprising a minimum credit requirement factor by which a first **trader** modifies contra-**trader** credit line utilization for various trades.

4. An electronic trading system, comprising:

one or more electronic trading exchanges; automatic matching; and a first risk parameter by which a first **trader** can specify an amount of **discounting** to be applied to an offer by at least one other **trader** on

the system.

5. The electronic **trading system** of claim 4, wherein the first risk parameter is a credit risk parameter.

6. The electronic trading system of claim 4, wherein the first risk...

27/3,IC,K/14 (Item 14 from file: 349)

DIALOG(R)File 349:PCT Fulltext

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00708113

**ELECTRONIC CATALOG AND SHARED ELECTRONIC TRANSACTION SYSTEM**

**CATALOGUE ELECTRONIQUE ET SYSTEME DE TRANSACTION ELECTRONIQUE PARTAGE**

Patent Applicant/Assignee:

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Inventor(s):

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NIKAS Basil W, NIKAS, Basil, W., 5212 Baltimore Avenue, Bethesda, MD  
20816, US

Patent and Priority Information (Country, Number, Date):

Patent: WO 0021012 A2 20000413 (WO 200021012)

Application: WO 99US23224 19991004 (PCT/WO US9923224)

Priority Application: US 98102967 19981002

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK

DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR

LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM

TR TT TZ UA UG UZ VN YU ZA ZW GH GM KE LS MW SD SL SZ TZ UG ZW AM AZ BY

KG KZ MD RU TJ TM AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

Main International Patent Class: G06F-017/60;

Publication Language: English

Filing Language: English

Fulltext Word Count: 5988

Fulltext Availability:

Detailed Description

Detailed Description

... resale price, as well as catalog number, UPC, unit of measure, etc.

Benchmark pricing, and electronic catalogs developed around live information, generally results in deeper **discounts** for agencies. This is due to the varied commodity structures against which **vendors** are able to **bid**. Rather than provide a single **discount** for commodities, the **vendors** can provide multiple **discounts** against commodities, sub commodities, manufacturer lines or single items. **Bids** can be received against a fair fixed price for the life of the contract, or allowed to float as economic changes occur. In this case...

...used as a benchmark throughout the bid process. Because the information is neutral, containing Universal Product Codes (UPC's) and manufacturer catalog numbers, rather than **vendor** specific SKU numbers, all **vendors** can respond to solicitations with the assurance that they are **bidding** against a level playing field. **Vendors** provide **discounts** against the

manufacturer's list price, rather than the **vendors** ' list price.

This streamlines the process for evaluating **bids** , comparing responses, and awarding contracts; and ultimately saves considerable time and taxpayer dollars.

The electronic catalog becomes a powerful tool for ordering materials and auditing...

27/3,IC,K/15 (Item 15 from file: 349)  
DIALOG(R) File 349:PCT Fulltext  
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00515502

**MANAGING TRANSFERS OF INFORMATION IN A COMMUNICATIONS NETWORK**  
**GESTION DES TRANSFERTS D'INFORMATIONS DANS UN RESEAU DE COMMUNICATION**

Patent Applicant/Assignee:

OPEN MARKET INC

Inventor(s):

ELLIS John R

GIFFORD David K

TREESE Winfield G

Patent and Priority Information (Country, Number, Date):

Patent: WO 9715885 A1 19970501

Application: WO 96US16441 19961016 (PCT/WO US9616441)

Priority Application: US 95548137 19951025

Designated States: JP AT BE CH DE DK ES FI FR GB GR IE IT LU MC NL PT SE

Main International Patent Class: G06F-013/00;

Publication Language: English

Fulltext Word Count: 10411

Fulltext Availability:

Detailed Description

Detailed Description

... inducing advertisers to target their advertisements to consumers for whom the advertisements are likely to be of interest.

An advertising broker server 44 provides each **consumer** with a "personal billboard" 46, which is a Web page only that particular **consumer** can read. Network advertiser servers 48 **bid** for space on each **consumer** 's billboard 46 with offers of cash and coupons for **discounts** on goods and services. Network advertiser servers 48 place ads on billboard 46 customized for the particular consumer, and the advertisements are ordered on the...

27/3,IC,K/16 (Item 16 from file: 349)  
DIALOG(R) File 349:PCT Fulltext  
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00323309

**COMPUTER TRAVEL PLANNING SYSTEM**  
**SYSTEME DE PLANIFICATION DE VOYAGE PAR ORDINATEUR**

Patent Applicant/Assignee:

GARBACK Brent J

Inventor(s):

GARBACK Brent J

Patent and Priority Information (Country, Number, Date):

Patent: WO 9310502 A1 19930527  
Application: WO 92US9536 19921103 (PCT/WO US9209536)  
Priority Application: US 91790351 19911112  
Designated States: AU CA JP AT BE CH DE DK ES FR GB GR IE IT LU MC NL SE  
Main International Patent Class: G06F-015/26;  
Publication Language: English  
Fulltext Word Count: 4901

Fulltext Availability:  
Detailed Description

Detailed Description

... negotiated by the sponsoring organization. Typically, representative from the sponsoring organization will negotiate with various airline carriers, hotels, and rental car companies for group travel **discounts** for a specific venue. Those **vendors** making the lowest **bids** on these services will then be denominated preselected **vendors** , and will be included in the travel policy file 16. Thus, if an upcoming meeting is to be held in Rockefeller Center in New York...

?

File 256:SoftBase:Reviews,Companies&Prods. 85-2000/Nov  
(c)2000 Info.Sources Inc

File 634:San Jose Mercury Jun 1985-2000/Nov 26  
(c) 2000 San Jose Mercury News

File 278:Microcomputer Software Guide 2000/Oct  
(c) 2000 Reed Elsevier Inc.

Set	Items	Description
S1	43668	AUCTION? OR BID OR BIDS OR BIDDING OR TRADING(2N)(SYSTEM? ? OR SERVIC?)
S2	987	(WHOLESALE? OR TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERC- HAN?) (10N) RETAILER?
S3	1023	(RETAIL? (10N) WHOLESALE?)
S4	390946	USER? OR PARTICIP? OR MEMBER? OR CUSTOMER? OR CLIENT? OR S- UBSCRIB? OR PATRON?
S5	82887	CONSUMER? OR BUYER? OR PURCHASER? OR SHOPPER? OR BIDDER?
S6	57730	SELLER? OR RESELLER? OR SUPPLIER? OR VENDOR? OR MERCHANT?
S7	96161	DEALER? OR BROKER? OR AGENT? OR MIDDLEM?N OR INTERMEDIAR?
S8	57078	TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERCHANT?
S9	79	S3(3W) (S4 OR S5 OR S6 OR S7 OR S8)
S10	36375	(TWO OR THREE OR FIRST OR SECOND OR DIFFERENT OR SEVERAL) - (2W)(TYPE? OR KIND? OR GROUP? OR TIER? OR CATEGOR? OR CLASS?)
S11	760669	NEW OR OLDER OR FORMER OR EXISTING OR PREVIOUS? OR REGULAR? OR EARLIER OR ESTABLISH? OR PREFERRED? OR PRIMARY OR VALUED
S12	26125	(S10 OR S11) (3W) (S4 OR S5 OR S6 OR S7 OR S8)
S13	1778	(NONMEMBER? OR NON()MEMBER?)
S14	58	(NONPARTICIPANT? OR NON()PARTICIPANT?)
S15	5377	SUBSCRIBER? OR NONSUBSCRIBER?
S16	7206	S13 OR S14 OR S15
S17	33596	S2 OR S9 OR S12 OR S16
S18	866	(DISCRIMINAT? OR DIFFEREN?) (2N)PRICE?
S19	51129	PREFERENC? OR ADVANTAG?
S20	24327	DISCOUNT? OR PREMIUM
S21	74021	S18 OR S19 OR S20
S22	473	(S17(10N)S21)
S23	5	S22(20N)S1
S24	519721	S4 OR S5 OR S6 OR S7 OR S8 OR RETAILER? AND WHOLESALE?
S25	140	(S24(10N)S18)
S26	3	S25(20N)S1 NOT S23
S27	37	(S24(10N)S20) (20N)S1 NOT (PY>1997 OR S23 OR S26)
S28	18	S27(5N)AUCTION?

sell text  
software

File 15: ABI/Inform(R) 1971-2000/Nov 29  
(c) 2000 Bell & Howell  
File 275: Gale Group Computer DB(TM) 1983-2000/Nov 28  
(c) 2000 The Gale Group  
File 16: Gale Group PROMT(R) 1990-2000/Nov 30  
(c) 2000 The Gale Group  
File 160: Gale Group PROMT(R) 1972-1989  
(c) 1999 The Gale Group  
File 148: Gale Group Trade & Industry DB 1976-2000/Nov 30  
(c) 2000 The Gale Group

Set	Items	Description
S1	145475	AUCTION?
LIMITALL/s1		
S2	7049	DISCOUNT
S3	310	S1(5N)S2
S4	583	DIFFERENT (2N)PRICE?
S5	46	S1(10N) S4
S6	28	PAY? (3N) (DIFFERENT (2W)PRICE?)
S7	7	S1 (30N) S6 NOT S5
S8	1664	DISCRIMINAT?
S9	127	S8(10N)S1 NOT S5
S10	2	S9 (20N) (S2 OR S4)
S11	280	PAY? (3W) (DIFFEREN? OR NONUNIFORM? OR UNUNIFORM?)
S12	17	S1(10N)S11 NOT (S5 OR S10)
S13	14	RD (unique items)
S14	679	WHOLESALE? (5N) RETAILER?
S15	83	S1(15N)S14
S16	9	S14(30N)(DIFFEREN? OR NONUNIFORM? OR UNUNIFORM?)
S17	418	TWO(2W)TIER?
S18	24	S1(10N)S17
S19	20	RD (unique items)
S29	262	MEMBER? (5N)(NONMEMBER? OR NON()MEMBER?)
S30	21	S1(20N) S29
S31	8	S30 NOT PY>1995

full text  
w/PL (I) a

Report for Examiner Forest Thompson Jr 09/253057

December 1, 2000 11:32

File 350:Derwent WPIX 1963-2000/UD,UM &amp;UP=200061

(c) 2000 Derwent Info Ltd

File 347:JAPIO Oct 1976-2000/Jul(UPDATED 001114)

(c) 2000 JPO &amp; JAPIO

Set	Items	Description
S1	1002	AUCTION? OR BID OR BIDS OR BIDDING OR TRADING(2N)(SYSTEM? ? OR SERVIC?)
S2	0	(WHOLESALE? OR TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERCHANT? AND RETAILER?
S3	1	(RETAIL? AND WHOLESALE?)
S4	253	USER? OR PARTICIP? OR MEMBER? OR CUSTOMER? OR CLIENT? OR SUBSCRIB? OR PATRON?
S5	186	CONSUMER? OR BUYER? OR PURCHASER? OR SHOPPER? OR BIDDER?
S6	57	SELLER? OR RESELLER? OR SUPPLIER? OR VENDOR? OR MERCHANT?
S7	97	DEALER? OR BROKER? OR AGENT? OR MIDDLEMAN? OR INTERMEDIAR?
S8	63	TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERCHANT?
S9	0	S3(3W) (S4 OR S5 OR S6 OR S7 OR S8)
S10	19	(TWO OR THREE OR FIRST OR SECOND OR DIFFERENT OR SEVERAL) - (2W)(TYPE? OR KIND? OR GROUP? OR TIER? OR CATEGORY? OR CLASS?)
S11	175	NEW OR OLDER OR FORMER OR EXISTING OR PREVIOUS? OR REGULAR? OR EARLIER OR ESTABLISH? OR PREFERRED? OR PRIMARY OR VALUED
S12	17	(S10 OR S11) (3W) (S4 OR S5 OR S6 OR S7 OR S8)
S13	0	(NONMEMBER? OR NON(PARTICIPANT?)
S14	1	NONPARTICIPANT? OR NON(PARTICIPANT?)
S15	16	SUBSCRIBER? OR NONSUBSCRIBER?
S16	17	S13 OR S14 OR S15
S17	33	S2 OR S9 OR S12 OR S16
S18	2	(DISCRIMINAT? OR DIFFERENT?) (2N)PRICE?
S19	414	PREFERENC? OR ADVANTAG? (NOT N)USE
S20	6	DISCOUNT? OR PREMIUM
S21	415	S18 OR S19 OR S20
S22	21	(S17 AND S21)
S23	21	S22 AND S1
S24	146	IC=G06F-017/60
S25	9	S23 AND S24
S26	457	S4 OR S5 OR S6 OR S7 OR S8 OR RETAILER? OR WHOLESALE?
S27	12	S26 AND (S18 OR S20 OR PREFERENC?)
S28	7	S27 AND S24 NOT S25
S29	3	S20 AND S1 NOT (S25 OR S28)

all considered

now full text  
patents



File 474: New York Times Abs 1969-2000/Nov 30

(c) 2000 The New York Times

File 475: Wall Street Journal Abs 1973-2000/Nov 30

(c) 2000 The New York Times

File 473: Financial Times Abstracts 1998-2000/Nov 30

(c) 2000 The New York Times

Set Items Description

S1 50220 AUCTION? OR BID OR BIDS OR BIDDING OR TRADING(2N)(SYSTEM?  
? OR SERVIC?)

S2 1186 (WHOLESALE? OR TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERC-  
HAN?) AND RETAILER?

S3 1150 (RETAIL? AND WHOLESALE?)

S4 166781 USER? OR PARTICIP? OR MEMBER? OR CUSTOMER? OR CLIENT? OR S-  
UBSCRIB? OR PATRON?

S5 63882 CONSUMER? OR BUYER? OR PURCHASER? OR SHOPPER? OR BIDDER?

S6 16513 SELLER? OR RESELLER? OR SUPPLIER? OR VENDOR? OR MERCHANT?

S7 54400 DEALER? OR BROKER? OR AGENT? OR MIDDLEM?N OR INTERMEDIAR?

S8 30252 TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERCHANT?

S9 126 S3(3W) (S4 OR S5 OR S6 OR S7 OR S8)

S10 6365 (TWO OR THREE OR FIRST OR SECOND OR DIFFERENT OR SEVERAL) -  
(2W)(TYPE? OR KIND? OR GROUP? OR TIER? OR CATEGOR? OR CLASS?)

S11 1017861 NEW OR OLDER OR FORMER OR EXISTING OR PREVIOUS? OR REGULAR?  
OR EARLIER OR ESTABLISH? OR PREFERRED? OR PRIMARY OR VALUED

S12 11884 (S10 OR S11) (3W) (S4 OR S5 OR S6 OR S7 OR S8)

S13 357 (NONMEMBER? OR NON(MEMBER?)

S14 14 NONPARTICIPANT? OR NON(PARTICIPANT?

S15 2308 SUBSCRIBER? OR NONSUBSCRIBER?

S16 2679 S13 OR S14 OR S15

S17 15664 S2 OR S9 OR S12 OR S16

S18 397 (DISCRIMINAT? OR DIFFEREN?) (2N)PRICE?

S19 11852 PREFERENC? OR ADVANTAG? (NOT N)USE

S20 13124 DISCOUNT? OR PREMIUM

S21 25180 S18 OR S19 OR S20

S22 490 (S17 AND S21)

S23 7 S22 AND S1

S24 301344 S4 OR S5 OR S6 OR S7 OR S8 OR RETAILER? AND WHOLESALE?

S25 6 S24 AND S18 AND S1 NOT S23

S26 74 S24 AND S20 AND S1 NOT (PY>1997 OR S23 OR S25)

S27 35 S26 AND AUCTION?

S28 21 S27 AND PRIC?

all considered

non full text  
newspapers

DIALOG

Report for Examiner Forest Thompson Jr 09/253057

December 1, 2000 11:32

File 350:Derwent WPIX 1963-2000/UD,UM &UP=200061

(c) 2000 Derwent Info Ltd

File 347:JAPIO Oct 1976-2000/Jul(UPDATED 001114)

(c) 2000 JPO & JAPIO

Set	Items	Description
S1	1002	AUCTION? OR BID OR BIDS OR BIDDING OR TRADING(2N)(SYSTEM? ? OR SERVIC?)
S2	0	(WHOLESALE? OR TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERC- HAN?) AND RETAILER?
S3	1	(RETAIL? AND WHOLESALE?)
S4	253	USER? OR PARTICIP? OR MEMBER? OR CUSTOMER? OR CLIENT? OR S- UBSCRIB? OR PATRON?
S5	186	CONSUMER? OR BUYER? OR PURCHASER? OR SHOPPER? OR BIDDER?
S6	57	SELLER? OR RESELLER? OR SUPPLIER? OR VENDOR? OR MERCHANT?
S7	97	DEALER? OR BROKER? OR AGENT? OR MIDDLEM?N OR INTERMEDIAR?
S8	63	TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERCHANT?
S9	0	S3(3W) (S4 OR S5 OR S6 OR S7 OR S8)
S10	19	(TWO OR THREE OR FIRST OR SECOND OR DIFFERENT OR SEVERAL) - (2W)(TYPE? OR KIND? OR GROUP? OR TIER? OR CATEGOR? OR CLASS?)
S11	175	NEW OR OLDER OR FORMER OR EXISTING OR PREVIOUS? OR REGULAR? OR EARLIER OR ESTABLISH? OR PREFERRED? OR PRIMARY OR VALUED
S12	17	(S10 OR S11) (3W) (S4 OR S5 OR S6 OR S7 OR S8)
S13	0	(NONMEMBER? OR NON(MEMBER?))
S14	1	NONPARTICIPANT? OR NON(PARTICIPANT?)
S15	16	SUBSCRIBER? OR NONSUBSCRIBER?
S16	17	S13 OR S14 OR S15
S17	33	S2 OR S9 OR S12 OR S16
S18	2	(DISCRIMINAT? OR DIFFEREN?) (2N)PRICE?
S19	414	PREFERENC? OR ADVANTAG? (NOT N)USE
S20	6	DISCOUNT? OR PREMIUM
S21	415	S18 OR S19 OR S20
S22	21	(S17 AND S21)
S23	21	S22 AND S1
S24	146	IC=G06F-017/60
S25	9	S23 AND S24
S26	457	S4 OR S5 OR S6 OR S7 OR S8 OR RETAILER? OR WHOLESALE?
S27	12	S26 AND (S18 OR S20 OR PREFERENC?)
S28	7	S27 AND S24 NOT S25
S29	3	S20 AND S1 NOT (S25 OR S28)

all considered

have full text  
patents

File 348:European Patents 1978-2000/Dec W01

(c) 2000 European Patent Office

File 349:PCT Fulltext 1983-2000/UB=20001123, UT=20001102

(c) 2000 WIPO/MicroPat

Set Items Description

S1 2845 AUCTION? OR BID OR BIDS OR BIDDING OR TRADING(2N)(SYSTEM?  
? OR SERVIC?)

S2 22 (WHOLESALE? OR TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERC-  
HAN?) (5N) RETAILER?

S3 34 (RETAIL? (5N) WHOLESALE?)

S4 1881 USER? OR PARTICIP? OR MEMBER? OR CUSTOMER? OR CLIENT? OR S-  
UBSCRIB? OR PATRON?

S5 540 CONSUMER? OR BUYER? OR PURCHASER? OR SHOPPER? OR BIDDER?

S6 602 SELLER? OR RESELLER? OR SUPPLIER? OR VENDOR? OR MERCHANT?

S7 1536 DEALER? OR BROKER? OR AGENT? OR MIDDLEM?N OR INTERMEDIAR?

S8 493 TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERCHANT?

S9 7 S3(3W) (S4 OR S5 OR S6 OR S7 OR S8)

S10 1401 (TWO OR THREE OR FIRST OR SECOND OR DIFFERENT OR SEVERAL) -  
(2W)(TYPE? OR KIND? OR GROUP? OR TIER? OR CATEGOR? OR CLASS?)

S11 433 NEW (10W)(OLDER OR FORMER OR EXISTING OR PREVIOUS? OR REGU-  
LAR? OR EARLIER OR ESTABLISH? OR PREFERRED? OR PRIMARY)

S12 123 (S10 OR S11) (3W) (S4 OR S5 OR S6 OR S7 OR S8)

S13 4 MEMBER? (10W) (NONMEMBER? OR NON()MEMBER?)

S14 2 PARTICIPANT? (10W) (NONPARTICIPANT? OR NON()PARTICIPANT?)

S15 1 SUBSCRIBER? (10W) (NONSUBSCRIBER? OR NON()SUBSCRIBER?)

S16 6 S13 OR S14 OR S15

S17 146 S2 OR S9 OR S12 OR S16

S18 114 (DISCRIMINAT? OR DIFFEREN?) (2N)PRICE?

S19 2038 PREFERENC? OR ADVANTAG?

S20 189 DISCOUNT?

S21 2059 S18 OR S19 OR S20

S22 5 (S17(10N)S21)

S23 1 S22(20N)S1

S24 2376 S4 OR S5 OR S6 OR S7 OR S8 OR RETAILER? OR WHOLESALE?

S25 78 S24(20N)S18

S26 28 S25(10N)S1

S27 16 S24(10N)S20(10N)S1 NOT S26

all considered

fuel test  
patents

File 146: Washington Post Online 1983-2000/Dec 01  
 (c) 2000 Washington Post  
 File 387: The Denver Post 1994-2000/Nov 30  
 (c) 2000 Denver Post  
 File 471: New York Times Fulltext-90 Day 2000/Dec 01  
 (c) 2000 The New York Times  
 File 492: Arizona Repub/Phoenix Gaz 19862000/Nov 09  
 (c) 2000 Phoenix Newspapers  
 File 494: St Louis Post-Dispatch 1988-2000/Nov 30  
 (c) 2000 St Louis Post-Dispatch  
 File 498: Detroit Free Press 1987-2000/Nov 30  
 (c) 2000 Detroit Free Press Inc.  
 File 630: Los Angeles Times 1993-2000/Nov 30  
 (c) 2000 Los Angeles Times  
 File 631: Boston Globe 1980-2000/Nov 30  
 (c) 2000 Boston Globe  
 File 632: Chicago Tribune 1985-2000/Dec 01  
 (c) 2000 Chicago Tribune  
 File 633: Phil. Inquirer 1983-2000/Nov 30  
 (c) 2000 Philadelphia Newspapers Inc  
 File 638: Newsday/New York Newsday 1987-2000/Nov 29  
 (c) 2000 Newsday Inc.  
 File 640: San Francisco Chronicle 1988-2000/Nov 30  
 (c) 2000 Chronicle Publ. Co.  
 File 641: Denver Rky Mtn News Jun 1989-2000/Nov 30  
 (c) 2000 Scripps Howard News  
 File 702: Miami Herald 1983-2000/Nov 30  
 (c) 2000 The Miami Herald Publishing Co.  
 File 703: USA Today 1989-2000/Nov 29  
 (c) 2000 USA Today  
 File 704: (Portland) The Oregonian 1989-2000/Nov 30  
 (c) 2000 The Oregonian  
 File 713: Atlanta J/Const. 1989-2000/Dec 01  
 (c) 2000 Atlanta Newspapers  
 File 714: (Baltimore) The Sun 1990-2000/Nov 29  
 (c) 2000 Baltimore Sun  
 File 715: Christian Sci. Mon. 1989-2000/Dec 01  
 (c) 2000 Christian Science Monitor  
 File 725: (Cleveland) Plain Dealer Aug 1991-2000/Nov 19  
 (c) 2000 The Plain Dealer  
 File 735: St. Petersburg Times 1989- 2000/Nov 01  
 (c) 2000 St. Petersburg Times  
 File 477: Irish Times 1999-2000/Nov 30  
 (c) 2000 Irish Times  
 File 710: Times/Sun. Times (London) Jun 1988-2000/Nov 30  
 (c) 2000 Times Newspapers  
 File 711: Independent (London) Sep 1988-2000/Nov 30  
 (c) 2000 Newspaper Publ. PLC

full text  
papers

Set	Items	Description
S1	298481	AUCTION? OR BIDDING OR TRADING(2N)(SYSTEM? ? OR SERVIC?)
S2	394	(WHOLESALE? OR TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERC-
		HAN?) (5N) RETAILER?
S3	567	(RETAIL? (5N) WHOLESALE?)
S4	116958	USER? OR PARTICIP? OR MEMBER? OR CUSTOMER? OR CLIENT? OR S-
		UBSCRIB?
S5	74082	CONSUMER? OR BUYER? OR PURCHASER? OR SHOPPER? OR BIDDER?

- S6 28651 SELLER? OR RESELLER? OR SUPPLIER? OR VENDOR? OR MERCHANT?  
 S7 62994 DEALER? OR BROKER? OR AGENT? OR MIDDLEMAN? OR INTERMEDIAR?  
 S8 30174 TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERCHANT?  
 S9 37 S3(3W) (S4 OR S5 OR S6 OR S7 OR S8)  
 S10 12845 (TWO OR THREE OR FIRST OR SECOND OR DIFFERENT OR SEVERAL) -  
 (2W)(TYPE? OR KIND? OR GROUP? OR TIER? OR CATEGORY? OR CLASS?)  
 S11 8044 NEW (10W)(OLDER OR FORMER OR EXISTING OR PREVIOUS? OR REGU-  
 LAR? OR EARLIER OR ESTABLISH? OR PREFERRED? OR PRIMARY)  
 S12 528 (S10 OR S11) (3W) (S4 OR S5 OR S6 OR S7 OR S8)  
 S13 1465 MEMBER? (10W) (NONMEMBER? OR NONMEMBER?)  
 S14 0 PARTICIPANT? (10W) (NONPARTICIPANT? OR NONPARTICIPANT?)  
 S15 5 SUBSCRIBER? (10W) (NONSUBSCRIBER? OR NONSUBSCRIBER?)  
 S16 1469 S13 OR S14 OR S15  
 S17 2412 S2 OR S9 OR S12 OR S16  
 S18 1230 (DISCRIMINATE? OR DIFFERENT?) (2N)PRICE?  
 S19 16697 PREFERENCE? OR ADVANTAGE?  
 S20 15505 DISCOUNT  
 S21 32252 S18 OR S19 OR S20  
 S22 28 (S17(10N)S21)  
 S23 3 S22(20N)S1  
 S24 192955 S4 OR S5 OR S6 OR S7 OR S8 OR RETAILER? OR WHOLESALER?  
 S25 3903 S24(10N)S21  
 S26 616 S25(20N)S1  
 S27 26 S26(5N)S18  
 S28 18 S27 NOT (PY>1998 OR S23)  
 S29 16 S26(5N)(S20(5N)PRICE?)  
 S30 9 S29 NOT (PY>1997 OR S23 OR S28)  
 S31 147 S13(20N)S1  
 S32 92 S31 NOT PY>1997  
 S33 2 S32(10N) PRICE?

*all considered*

DIALOG

Report for Examiner Forest Thompson Jr 09/253057

December 1, 2000 11:32

File 350:Derwent WPIX 1963-2000/UD,UM &UP=200061  
(c) 2000 Derwent Info Ltd  
File 347:JAPIO Oct 1976-2000/Jul(UPDATED 001114)  
(c) 2000 JPO & JAPIO

Set	Items	Description
S1	1002	AUCTION? OR BID OR BIDS OR BIDDING OR TRADING(2N)(SYSTEM? ? OR SERVIC?)
S2	0	(WHOLESALE? OR TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERCHANT?) AND RETAILER?
S3	1	(RETAIL? AND WHOLESALE?)
S4	253	USER? OR PARTICIP? OR MEMBER? OR CUSTOMER? OR CLIENT? OR SUBSCRIB? OR PATRON?
S5	186	CONSUMER? OR BUYER? OR PURCHASER? OR SHOPPER? OR BIDDER?
S6	57	SELLER? OR RESELLER? OR SUPPLIER? OR VENDOR? OR MERCHANT?
S7	97	DEALER? OR BROKER? OR AGENT? OR MIDDLEMAN? OR INTERMEDIAR?
S8	63	TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERCHANT?
S9	0	S3(3W) (S4 OR S5 OR S6 OR S7 OR S8)
S10	19	(TWO OR THREE OR FIRST OR SECOND OR DIFFERENT OR SEVERAL) - (2W)(TYPE? OR KIND? OR GROUP? OR TIER? OR CATEGORY? OR CLASS?)
S11	175	NEW OR OLDER OR FORMER OR EXISTING OR PREVIOUS? OR REGULAR? OR EARLIER OR ESTABLISH? OR PREFERRED? OR PRIMARY OR VALUED
S12	17	(S10 OR S11) (3W) (S4 OR S5 OR S6 OR S7 OR S8)
S13	0	(NONMEMBER? OR NONPARTICIPANT?)
S14	1	NONPARTICIPANT? OR NONPARTICIPANT?
S15	16	SUBSCRIBER? OR NONSUBSCRIBER?
S16	17	S13 OR S14 OR S15
S17	33	S2 OR S9 OR S12 OR S16
S18	2	(DISCRIMINATE? OR DIFFERENCE?) (2N)PRICE?
S19	414	PREFERENCE? OR ADVANTAGE? (NOT N)USE
S20	6	DISCOUNT? OR PREMIUM
S21	415	S18 OR S19 OR S20
S22	21	(S17 AND S21)
S23	21	S22 AND S1
S24	146	IC=G06F-017/60
S25	9	S23 AND S24
S26	457	S4 OR S5 OR S6 OR S7 OR S8 OR RETAILER? OR WHOLESALE?
S27	12	S26 AND (S18 OR S20 OR PREFERENCE?)
S28	7	S27 AND S24 NOT S25
S29	3	S20 AND S1 NOT (S25 OR S28)

all considered

have full text  
patents

1)IALUG

Report for Examiner Forest Thompson Jr 09/253057

December 1, 2000 11:53

File 474:New York Times Abs 1969-2000/Nov 30

(c) 2000 The New York Times

File 475:Wall Street Journal Abs 1973-2000/Nov 30

(c) 2000 The New York Times

File 473:Financial Times Abstracts 1998-2000/Nov 30

(c) 2000 The New York Times

Set Items Description

- S1 50220 AUCTION? OR BID OR BIDS OR BIDDING OR TRADING(2N)(SYSTEM?  
? OR SERVIC?)
- S2 1186 (WHOLESALE? OR TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERC-  
HAN?) AND RETAILER?
- S3 1150 (RETAIL? AND WHOLESALE?)
- S4 166781 USER? OR PARTICIP? OR MEMBER? OR CUSTOMER? OR CLIENT? OR S-  
UBSCRIB? OR PATRON?
- S5 63882 CONSUMER? OR BUYER? OR PURCHASER? OR SHOPPER? OR BIDDER?
- S6 16513 SELLER? OR RESELLER? OR SUPPLIER? OR VENDOR? OR MERCHANT?
- S7 54400 DEALER? OR BROKER? OR AGENT? OR MIDDLEM?N OR INTERMEDIAR?
- S8 30252 TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERCHANT?
- S9 126 S3(3W) (S4 OR S5 OR S6 OR S7 OR S8)
- S10 6365 (TWO OR THREE OR FIRST OR SECOND OR DIFFERENT OR SEVERAL) -  
(2W)(TYPE? OR KIND? OR GROUP? OR TIER? OR CATEGOR? OR CLASS?)
- S11 1017861 NEW OR OLDER OR FORMER OR EXISTING OR PREVIOUS? OR REGULAR?  
OR EARLIER OR ESTABLISH? OR PREFERRED? OR PRIMARY OR VALUED
- S12 11884 (S10 OR S11) (3W) (S4 OR S5 OR S6 OR S7 OR S8)
- S13 357 (NONMEMBER? OR NON()MEMBER?)
- S14 14 NONPARTICIPANT? OR NON()PARTICIPANT?
- S15 2308 SUBSCRIBER? OR NONSUBSCRIBER?
- S16 2679 S13 OR S14 OR S15
- S17 15664 S2 OR S9 OR S12 OR S16
- S18 397 (DISCRIMINAT? OR DIFFEREN?) (2N)PRICE?
- S19 11852 PREFERENC? OR ADVANTAG? (NOT N)USE
- S20 13124 DISCOUNT? OR PREMIUM
- S21 25180 S18 OR S19 OR S20
- S22 490 (S17 AND S21)
- S23 7 S22 AND S1
- S24 301344 S4 OR S5 OR S6 OR S7 OR S8 OR RETAILER? AND WHOLESALE?
- S25 6 S24 AND S18 AND S1 NOT S23
- S26 74 S24 AND S20 AND S1 NOT (PY>1997 OR S23 OR S25)
- S27 35 S26 AND AUCTION?
- S28 21 S27 AND PRIC?

all considered

non full text  
new scraped

Dialog

File 9:Business & Industry(R) Jul/1994-2000/Nov 30  
 (c) 2000 Resp. DB Svcs.  
 File 20:World Reporter 1997-2000/Dec 01  
 (c) 2000 The Dialog Corporation plc  
 File 810:Business Wire 1986-1999/Feb 28  
 (c) 1999 Business Wire  
 File 813:PR Newswire 1987-1999/Apr 30  
 (c) 1999 PR Newswire Association Inc  
 File 636:Gale Group Newsletter DB(TM) 1987-2000/Dec 01  
 (c) 2000 The Gale Group  
 File 623:Business Week 1985-2000/Nov W4  
 (c) 2000 The McGraw-Hill Companies Inc  
 File 621:Gale Group New Prod. Annou.(R) 1985-2000/Dec 01  
 (c) 2000 The Gale Group  
 File 624:McGraw-Hill Publications 1985-2000/Nov 30  
 (c) 2000 McGraw-Hill Co. Inc  
 File 635:Business Dateline(R) 1985-2000/Dec 01  
 (c) 2000 Bell & Howell  
 File 47:Gale Group Magazine DB(TM) 1959-2000/Dec 01  
 (c) 2000 The Gale group  
 File 570:Gale Group MARS(R) 1984-2000/Dec 01  
 (c) 2000 The Gale Group

- S1 456807 AUCTION? OR BIDDING OR TRADING(2N)(SYSTEM? ? OR SERVIC?);LIMITALL/SI  
 S2 2990 (WHOLESALE? OR TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERC-  
 HAN?) (10N) RETAILER?  
 S3 3949 (RETAIL? (10N) WHOLESALE?)  
 S4 216056 USER? OR PARTICIP? OR MEMBER? OR CUSTOMER? OR CLIENT? OR S-  
 UBSCRIB? OR PATRON?  
 S5 151594 CONSUMER? OR BUYER? OR PURCHASER? OR SHOPPER? OR BIDDER?  
 S6 79380 SELLER? OR RESELLER? OR SUPPLIER? OR VENDOR? OR MERCHANT?  
 S7 99334 DEALER? OR BROKER? OR AGENT? OR MIDDLEM?N OR INTERMEDIAR?  
 S8 73833 TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERCHANT?  
 S9 585 S3(3W) (S4 OR S5 OR S6 OR S7 OR S8)  
 S10 19094 (TWO OR THREE OR FIRST OR SECOND OR DIFFERENT OR SEVERAL) -  
 (2W)(TYPE? OR KIND? OR GROUP? OR TIER? OR CATEGOR? OR CLASS?)  
 S11 353702 NEW OR OLDER OR FORMER OR EXISTING OR PREVIOUS? OR REGULAR?  
 OR EARLIER OR ESTABLISH? OR PREFERRED? OR PRIMARY OR VALUED -  
 OR FRANCIS?  
 S12 38658 (S10 OR S11) (3W) (S4 OR S5 OR S6 OR S7 OR S8)  
 S13 696 (NONMEMBER? OR NONMEMBER?)  
 S14 38 (NONPARTICIPANT? OR NONPARTICIPANT?)  
 S15 15711 SUBSCRIBER? OR NONSUBSCRIBER?  
 S16 16397 S13 OR S14 OR S15  
 S17 54188 S2 OR S9 OR S12 OR S16  
 S18 1737 (DISCRIMINAT? OR DIFFEREN?) (2N)PRICE?  
 S19 43060 PREFERENC? OR ADVANTAG?  
 S20 36294 DISCOUNT? OR PREMIUM  
 S21 73956 S18 OR S19 OR S20  
 S22 1487 (S17(10N)S21)  
 S23 232 S22(20N)S1  
 S24 50 S23(10N) (S2 OR S9)  
 S25 1 S24 NOT PY>1998  
 S26 1 S23(10N) (S13 OR S14)  
 S27 2 S23 (20N) (DIFFEREN?) (2N)PRICE?  
 S28 20 S23(10N) S20 NOT (PY>1997)  
 S29 19 RD (unique items)  
 S30 328210 S4 OR S5 OR S6 OR S7 OR S8 OR RETAILER? OR WHOLESALEK?  
 S31 523 S30(20N)((DIFFEREN?) (2N)PRICE?)  
 S32 30 S31 (5N) AUCTION?  
 S33 6 S32 NOT PY>1997

just text  
 NPL(π).

All considered



DIAL OG

Report for Examiner Forest Thompson Jr 09/253057

December 1, 2000 09:35

File 146: Washington Post Online 1983-2000/Dec 01  
(c) 2000 Washington Post  
File 387: The Denver Post 1994-2000/Nov 30  
(c) 2000 Denver Post  
File 471: New York Times Fulltext-90 Day 2000/Dec 01  
(c) 2000 The New York Times  
File 492: Arizona Repub/Phoenix Gaz 1986-2000/Nov 09  
(c) 2000 Phoenix Newspapers  
File 494: St Louis Post-Dispatch 1988-2000/Nov 30  
(c) 2000 St Louis Post-Dispatch  
File 498: Detroit Free Press 1987-2000/Nov 30  
(c) 2000 Detroit Free Press Inc.  
File 630: Los Angeles Times 1993-2000/Nov 30  
(c) 2000 Los Angeles Times  
File 631: Boston Globe 1980-2000/Nov 30  
(c) 2000 Boston Globe  
File 632: Chicago Tribune 1985-2000/Dec 01  
(c) 2000 Chicago Tribune  
File 633: Phil. Inquirer 1983-2000/Nov 30  
(c) 2000 Philadelphia Newspapers Inc  
File 638: Newsday/New York Newsday 1987-2000/Nov 29  
(c) 2000 Newsday Inc.  
File 640: San Francisco Chronicle 1988-2000/Nov 30  
(c) 2000 Chronicle Publ. Co.  
File 641: Denver Rky Mtn News Jun 1989-2000/Nov 30  
(c) 2000 Scripps Howard News  
File 702: Miami Herald 1983-2000/Nov 30  
(c) 2000 The Miami Herald Publishing Co.  
File 703: USA Today 1989-2000/Nov 29  
(c) 2000 USA Today  
File 704: (Portland) The Oregonian 1989-2000/Nov 30  
(c) 2000 The Oregonian  
File 713: Atlanta J/Const. 1989-2000/Dec 01  
(c) 2000 Atlanta Newspapers  
File 714: (Baltimore) The Sun 1990-2000/Nov 29  
(c) 2000 Baltimore Sun  
File 715: Christian Sci. Mon. 1989-2000/Dec 01  
(c) 2000 Christian Science Monitor  
File 725: (Cleveland) Plain Dealer Aug 1991-2000/Nov 19  
(c) 2000 The Plain Dealer  
File 735: St. Petersburg Times 1989- 2000/Nov 01  
(c) 2000 St. Petersburg Times  
File 477: Irish Times 1999-2000/Nov 30  
(c) 2000 Irish Times  
File 710: Times/Sun. Times(London) Jun 1988-2000/Nov 30  
(c) 2000 Times Newspapers  
File 711: Independent(London) Sep 1988-2000/Nov 30  
(c) 2000 Newspaper Publ. PLC

full text  
papers

Set	Items	Description
S1	298481	AUCTION? OR BIDDING OR TRADING(2N)(SYSTEM? ? OR SERVIC?)
S2	394	(WHOLESALE? OR TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERC- HAN?) (5N) RETAILER?
S3	567	(RETAIL? (5N) WHOLESALE?)
S4	116958	USER? OR PARTICIP? OR MEMBER? OR CUSTOMER? OR CLIENT? OR S- UBSCRIB?
S5	74082	CONSUMER? OR BUYER? OR PURCHASER? OR SHOPPER? OR BIDDER?

File 15:ABI/Inform(R) 1971-2000/Dec 01  
 (c) 2000 Bell & Howell  
 File 275:Gale Group Computer DB(TM) 1983-2000/Nov 29  
 (c) 2000 The Gale Group  
 File 16:Gale Group PROMT(R) 1990-2000/Dec 01  
 (c) 2000 The Gale Group  
 File 160:Gale Group PROMT(R) 1972-1989  
 (c) 1999 The Gale Group  
 File 148:Gale Group Trade & Industry DB 1976-2000/Dec 01  
 (c)2000 The Gale Group

Set	Items	Description
S1	377792	AUCTION? OR BIDS OR BIDDING OR TRADING(2N)(SYSTEM? ? OR SE-RVIC?); <b>LIMITALL/S1</b>
S2	3625	(WHOLESALE? OR TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERC-HAN?) (10N) RETAILER?
S3	4198	(RETAIL? (10N) WHOLESALE?)
S4	191240	USER? OR PARTICIP? OR MEMBER? OR CUSTOMER? OR CLIENT? OR S-UBSCRIB? OR PATRON?
S5	133974	CONSUMER? OR BUYER? OR PURCHASER? OR SHOPPER? OR BIDDER?
S6	90418	SELLER? OR RESELLER? OR SUPPLIER? OR VENDOR? OR MERCHANT?
S7	77545	DEALER? OR BROKER? OR AGENT? OR MIDDLEMAN? OR INTERMEDIAR?
S8	76919	TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERCHANT?
S9	586	S3(3W) (S4 OR S5 OR S6 OR S7 OR S8)
S10	25436	(TWO OR THREE OR FIRST OR SECOND OR DIFFERENT OR SEVERAL) - (2W)(TYPE? OR KIND? OR GROUP? OR TIER? OR CATEGOR? OR CLASS?)
S11	278484	NEW OR OLDER OR FORMER OR EXISTING OR PREVIOUS? OR REGULAR? OR EARLIER OR ESTABLISH? OR PREFERRED? OR PRIMARY OR VALUED - OR FRANCIS?
S12	38769	(S10 OR S11) (3W) (S4 OR S5 OR S6 OR S7 OR S8)
S13	849	(NONMEMBER? OR NONMEMBER?)
S14	101	(NONPARTICIPANT? OR NONPARTICIPANT?)
S15	14473	SUBSCRIBER? OR NONSUBSCRIBER?
S16	15327	S13 OR S14 OR S15
S17	53023	S2 OR S9 OR S12 OR S16
S18	3393	(DISCRIMINAT? OR DIFFEREN?) (2N)PRICE?
S19	54698	PREFERENC? OR ADVANTAG?
S20	37838	DISCOUNT? OR PREMIUM
S21	81717	S18 OR S19 OR S20
S22	1745	(S17(10N)S21)
S23	179	S22(20N)S1
S24	40	S23 (5N)(S2 OR S9)
S25	20	RD (unique items)
S26	2669	S10 (3N) (S4 OR S5 OR S6 OR S7 OR S8 OR WHOLESALE? OR RET-AILER?)
<b>S27</b>	1	(S26(10N)S21) (20N)S1
<b>S28</b>	1	S23 (5N)(S13 OR S14)
S29	1	S23(10N)S18 NOT S24
S30	71	S23(10N)S20 NOT S24
S31	31	S30 (5N)AUCTION?
<b>S32</b>	19	RD (unique items)
S33	270066	(S4 OR S5 OR S6 OR S7 OR S8 OR WHOLESALE? OR RETAILER?)
S34	138	(S33(10N)S18)(20N)S1
S35	73	S34(5N)AUCTION? NOT (S24 OR S29 OR S31)
S36	36	S35 NOT PY>1997
<b>S37</b>	33	RD (unique items)

*June text  
NPL (T)*

*all considered*

File 348:European Patents 1978-2000/Dec W01

(c) 2000 European Patent Office

File 349:PCT Fulltext 1983-2000/UB=20001123, UT=20001102

(c) 2000 WIPO/MicroPat

Set	Items	Description
S1	2845	AUCTION? OR BID OR BIDS OR BIDDING OR TRADING(2N)(SYSTEM? ? OR SERVIC?)
S2	22	(WHOLESALE? OR TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERCHANT?) (5N) RETAILER?
S3	34	(RETAIL? (5N) WHOLESALE?)
S4	1881	USER? OR PARTICIP? OR MEMBER? OR CUSTOMER? OR CLIENT? OR SUBSCRIB? OR PATRON?
S5	540	CONSUMER? OR BUYER? OR PURCHASER? OR SHOPPER? OR BIDDER?
S6	602	SELLER? OR RESELLER? OR SUPPLIER? OR VENDOR? OR MERCHANT?
S7	1536	DEALER? OR BROKER? OR AGENT? OR MIDDLEMAN? OR INTERMEDIAR?
S8	493	TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERCHANT?
S9	7	S3(3W) (S4 OR S5 OR S6 OR S7 OR S8)
S10	1401	(TWO OR THREE OR FIRST OR SECOND OR DIFFERENT OR SEVERAL) - (2W)(TYPE? OR KIND? OR GROUP? OR TIER? OR CATEGORY? OR CLASS?)
S11	433	NEW (10W)(OLDER OR FORMER OR EXISTING OR PREVIOUS? OR REGULAR? OR EARLIER OR ESTABLISH? OR PREFERRED? OR PRIMARY)
S12	123	(S10 OR S11) (3W) (S4 OR S5 OR S6 OR S7 OR S8)
S13	4	MEMBER? (10W) (NONMEMBER? OR NON(MEMBER?))
S14	2	PARTICIPANT? (10W) (NONPARTICIPANT? OR NON(PARTICIPANT?))
S15	1	SUBSCRIBER? (10W) (NONSUBSCRIBER? OR NON(SUBSCRIBER?))
S16	6	S13 OR S14 OR S15
S17	146	S2 OR S9 OR S12 OR S16
S18	114	(DISCRIMINAT? OR DIFFEREN?) (2N)PRICE?
S19	2038	PREFERENC? OR ADVANTAG?
S20	189	DISCOUNT?
S21	2059	S18 OR S19 OR S20
S22	5	(S17(10N)S21)
S23	1	S22(20N)S1
S24	2376	S4 OR S5 OR S6 OR S7 OR S8 OR RETAILER? OR WHOLESALE?
S25	78	S24(20N)S18
S26	28	S25(10N)S1
S27	16	S24(10N)S20(10N)S1 NOT S26

all considered

full text  
patents

25/3,K/1 (Item 1 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
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01063364 97-12758

**Deep Discount Network is not for consumers**

Anonymous

Link-Up v12n4 PP: 39 Jul/Aug 1995

ISSN: 0739-988X JRNL CODE: LUP

WORD COUNT: 228

TEXT: The Deep **Discount** Network (DDN) is an electronic marketplace for manufacturers, resellers, and **retailers** of consumer **merchandise** to buy and sell excess, close-out, and new consumer merchandise. It is not a **service** for consumers.

**Trading** in the DDN marketplace requires an IBM-compatible computer, Windows 3.1, and a modem. In most areas of the country, DDN is reached by ...

25/3,K/2 (Item 1 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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07411704 Supplier Number: 62416907 (USE FORMAT 7 FOR FULLTEXT)

**DIGS Inc., soon to be iVideoNow Inc., Selected by Dollarstore.com for Major MultiMedia Marketing Campaign.**

Business Wire, p0271

May 31, 2000

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 913

... conveniently evaluate, buy or sell small and large quantities of general merchandise secured through the corporation's worldwide network of manufacturers and distributors.

The five **discount** sites are as follows: dollarstore.com, the virtual **retail** store; dollarmerchandise.com, the **wholesale** trade's **brokerage**; dollarsurplus.com, the closeout merchandise site; dollaronsale.com, the virtual online large quantity **auction**; and mydollarstore.com, the physical retail store buying service.

DIGS Inc. (iVideoNow(TM) Inc.) designs and develops complete multimedia and Internet communications solutions for corporations...

25/3,K/3 (Item 2 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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06683183 Supplier Number: 55922064 (USE FORMAT 7 FOR FULLTEXT)

**D.G. Jewelry Inc. Selected as an Amazon.com zShops Merchant.**

Business Wire, p0204

Sept 30, 1999

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 535

... e-commerce storefronts online.

D.G. Jewelry Inc. is primarily engaged in the design, merchandising and distribution of stone-set jewelry for department stores, mass **merchants**, catalog showrooms, television shopping networks and other high-volume **retailers** and other major **discounters**.

The company maintains a broad base of customers concentrated in five major jewelry segments: Internet **retailers**, **auctioneers** and other web **merchants**; Department stores such as J.C. Penney and Saks Fifth Avenue; Specialty markets such as QVC Inc., Home Shopping Network, ValueVision International, Shop at Home...

25/3,K/4 (Item 3 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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06605274 Supplier Number: 55630665 (USE FORMAT 7 FOR FULLTEXT)

**D.G. Jewelry Inc. Renames E-commerce Subsidiary NetJewels.com.**

Business Wire, p1239

Sept 1, 1999

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 415

... with D.G. Jewelry.

D.G. Jewelry Inc. is primarily engaged in the design, merchandising and distribution of stone-set jewelry for department stores, mass **merchants**, catalog showrooms, television shopping networks and other high-volume **retailers** and other major **discounters**.

The company maintains a broad base of customers concentrated in five major jewelry segments: Internet **retailers**, **auctioneers** and other web **merchants**; department stores such as J.C. Penney and Saks Fifth Avenue; specialty markets such as QVC Inc., Home Shopping Network, ValueVision International, Shop at Home...

25/3,K/5 (Item 4 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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06525233 Supplier Number: 55300882 (USE FORMAT 7 FOR FULLTEXT)

**D.G. Jewelry Ltd. Announces Agreement With AOL.**

Business Wire, p0480

July 29, 1999

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 514

... Ltd. (formerly D.G. Jewellery of Canada Ltd.) is primarily engaged in the design, merchandising and distribution of stone-set jewelry for department stores, mass **merchants**, catalog showrooms, television shopping networks and other high-volume **retailers** and other major **discounters**.

The company maintains a broad base of customers concentrated in five major jewelry segments: Internet **retailers**, **auctioneers** and other web **merchants**; Department stores such as J.C. Penney and Saks Fifth Avenue; Specialty markets such as QVC Inc., Home Shopping Network, ValueVision International, Shop at Home...

25/3,K/6 (Item 5 from file: 16)

DIALOG(R)File 16:Gale Group PROMT(R)  
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06524141 Supplier Number: 55297408 (USE FORMAT 7 FOR FULLTEXT)

**D.G. Jewelry Ltd. Announces Agreement With AOL Business Editors.**

Business Wire, p0398

July 29, 1999

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 507

... Ltd. (formerly D.G. Jewellery of Canada Ltd.) is primarily engaged in the design, merchandising and distribution of stone-set jewelry for department stores, mass **merchants**, catalog showrooms, television shopping networks and other high-volume **retailers** and other major **discounters**.

The company maintains a broad base of customers concentrated in five major jewelry segments: Internet **retailers**, **auctioneers** and other web **merchants**; Department stores such as J.C. Penney and Saks Fifth Avenue; Specialty markets such as QVC Inc., Home Shopping Network, ValueVision International, Shop at Home...

25/3,K/7 (Item 6 from file: 16)

DIALOG(R)File 16:Gale Group PROMT(R)

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06499147 Supplier Number: 55204800 (USE FORMAT 7 FOR FULLTEXT)

**D.G. Jewellery of Canada Ltd. Shareholders Approve Changing Name to D.G. Jewelry Ltd.; Significant Second Quarter Sales and Earnings Increases Projected.**

Business Wire, p1941

July 20, 1999

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 416

... Zeifman & Company.

D. G. Jewellery of Canada Ltd. is primarily engaged in the design, merchandising and distribution of stone-set jewelry for department stores, mass **merchants**, catalog showrooms, television shopping networks and other high-volume **retailers** and other major **discounters**.

The Company maintains a broad base of customers concentrated in five major jewelry segments: Internet **retailers**, **auctioneers** and other web **merchants**; Department stores such as J.C. Penney and Saks Fifth Avenue; Specialty markets such as QVC Inc., Home Shopping Network, ValueVision International, Shop at Home...

25/3,K/8 (Item 7 from file: 16)

DIALOG(R)File 16:Gale Group PROMT(R)

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06439705 Supplier Number: 55000647 (USE FORMAT 7 FOR FULLTEXT)

**D. G. Jewellery of Canada Ltd. Acquires Majority Interest in XiteJewelry. Com.**

Business Wire, p0126

June 28, 1999

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 379

... oriented consumers."

D.G. Jewellery of Canada Ltd. is primarily engaged in the design, merchandising and distribution of stone-set jewelry for department stores, mass **merchants**, catalog showrooms, television shopping networks and other high-volume **retailers** and other major **discounters**.

The company maintains a broad base of customers concentrated in five major jewelry segments: Internet **retailers**, **auctioneers** and other web **merchants**; department stores such as J.C. Penney and Saks Fifth Avenue; specialty markets such as QVC Inc., Home Shopping Network, ValueVision International, Shop at Home...

25/3,K/9 (Item 8 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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06418676 Supplier Number: 54917910 (USE FORMAT 7 FOR FULLTEXT)  
**D.G. Jewellery of Canada Ltd. Extends Credit Facility With Bank of Nova Scotia.**  
Business Wire, p1309  
June 17, 1999  
Language: English Record Type: Fulltext  
Document Type: Newswire; Trade  
Word Count: 315

... auction sites."

D.G. Jewellery of Canada Ltd. is primarily engaged in the design, merchandising and distribution of stone-set jewelry for department stores, mass **merchants**, catalog showrooms, television shopping networks and other high-volume **retailers** and other major **discounters**.

The company maintains a broad base of customers concentrated in five major jewelry segments: Internet **retailers**, **auctioneers** and other web **merchants**; Department stores such as J.C. Penney and Saks Fifth Avenue; Specialty markets such as QVC Inc., Home Shopping Network, ValueVision International, Shop at Home...

25/3,K/10 (Item 9 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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06396815 Supplier Number: 54828657 (USE FORMAT 7 FOR FULLTEXT)  
**Tech startup makes its bid to ride online auction wave. (Moai Technologies) (Company Profile)**  
Feuerstein, Adam  
San Francisco Business Times, v13, n42, p3(2)  
May 21, 1999  
Language: English Record Type: Fulltext  
Article Type: Company Profile  
Document Type: Magazine/Journal; Tabloid; Trade  
Word Count: 514

... to 60 percent. If that doesn't work, they must hire brokers to do the job. Either way eats into profits. But creating a web **auction** automates the process and gives small and large **discount retailers** access to the **merchandise**. Higher demand for these old shoes translates into better prices, added Ricci.

Moai President and CEO Ann Perlman likes where she sits these days because...

25/3,K/11 (Item 10 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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06390386 Supplier Number: 54806393 (USE FORMAT 7 FOR FULLTEXT)

**D. G. Jewellery of Canada Ltd. Completes Equity Financing.**

Business Wire, p1278

June 7, 1999

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 379

... its markets."

D.G. Jewellery of Canada Ltd. is primarily engaged in the design, merchandising and distribution of stone-set jewelry for department stores, mass **merchants**, catalog showrooms, television shopping networks and other high-volume **retailers** and other major **discounters**.

The company maintains a broad base of customers concentrated in five major jewelry segments: Internet **retailers**, **auctioneers** and other web **merchants**; Department stores such as J.C. Penney and Saks Fifth Avenue; Specialty markets such as QVC Inc., Home Shopping Network, ValueVision International, Shop at Home...

25/3,K/12 (Item 11 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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06384613 Supplier Number: 54785570 (USE FORMAT 7 FOR FULLTEXT)

**D.G. Jewellery Retains Porter, LeVay & Rose.**

Business Wire, p1326

June 3, 1999

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 245

... Berkovits added.

D. G. Jewellery of Canada Ltd. is primarily engaged in the design, merchandising and distribution of stone-set jewelry for department stores, mass **merchants**, catalog showrooms, television shopping networks and other high-volume **retailers** and other major **discounters**.

The Company maintains a broad base of customers concentrated in five major jewelry segments: Internet **retailers**, **auctioneers** and other web **merchants**; Department stores such as J.C. Penney and Saks Fifth Avenue; Specialty markets such as QVC Inc., Home Shopping Network, ValueVision International, Shop at Home...

25/3,K/13 (Item 12 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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06384248 Supplier Number: 54784046 (USE FORMAT 7 FOR FULLTEXT)

**D.G. Jewellery of Canada Ltd. Reports Record First Quarter Earnings.**

Business Wire, p1167



June 3, 1999

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 684

... for us."

D. G. Jewellery of Canada Ltd. is primarily engaged in the design, merchandising and distribution of stone-set jewelry for department stores, mass **merchants**, catalog showrooms, television shopping networks and other high-volume **retailers** and other major **discounters**.

The Company maintains a broad base of customers concentrated in five major jewelry segments: Internet **retailers**, **auctioneers** and other web **merchants**; Department stores such as J.C. Penney and Saks Fifth Avenue; Specialty markets such as QVC Inc., Home Shopping Network, ValueVision International, Shop at Home...

25/3,K/14 (Item 13 from file: 16)

DIALOG(R)File 16:Gale Group PROMT(R)

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06229485 Supplier Number: 54252185 (USE FORMAT 7 FOR FULLTEXT)

**uBid Online Auctions Sparkle With Launch of New Jewelry Category; Popular Internet Auction Site Contracts With D.G. Jewellery Canada Ltd.**

Business Wire, p0331

March 30, 1999

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 706

... Jewellery

D.G. Jewellery of Canada Ltd. is primarily engaged in the design, manufacture, merchandising and distribution of stone-set jewelry for department stores, mass **merchants**, catalog showrooms, television shopping networks and other high-volume **retailers** and other major **discounters**.

D.G. Jewellery maintains a broad base of customers concentrated in five major jewelry segments:

- i) Internet **retailers**, **auctioneers** and other Web **merchants**;
- ii) department stores such as J.C. Penney and Saks Fifth Avenue;
- iii) specialty markets such as QVC Inc., Home Shopping Network,

ValueVision International, Shop...

25/3,K/15 (Item 14 from file: 16)

DIALOG(R)File 16:Gale Group PROMT(R)

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06227937 Supplier Number: 54247274 (USE FORMAT 7 FOR FULLTEXT)

**uBID Selects D.G. Jewellery as Exclusive Jewelry Supplier.**

Business Wire, p1212

March 30, 1999

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 569

... UBID.

D.G. Jewellery of Canada Ltd. is primarily engaged in the design, manufacture, merchandising and distribution of stone-set jewelry for department stores, mass **merchants**, catalogue showrooms, television

shopping networks and other high volume **retailers** and other major **discounters** .

The Company maintains a broad base of customers concentrated in six major jewelry segments: -0-

- i) Internet **retailers** , **auctioneers** and other web **merchants**
- ii) department stores such as J.C. Penney and Saks Fifth Avenue
- iii) specialty markets such as, QVC Inc., Home Shopping Network, ValueVision International, Shop...

25/3,K/16 (Item 15 from file: 16)

DIALOG(R)File 16:Gale Group PROMT(R)

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06226288 Supplier Number: 54240855 (USE FORMAT 7 FOR FULLTEXT)

**D.G. Jewellery March 1999 Sales of On-line Products Have Increased 100% From February 1999.**

Business Wire, p1523

March 29, 1999

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 369

... opportunities."

D.G. Jewellery of Canada Ltd. is primarily engaged in the design, manufacture, merchandising and distribution of stone-set jewelry for department stores, mass **merchants** , catalogue showrooms, television shopping networks and other high volume **retailers** and other major **discounters** .

The Company maintains a broad base of customers concentrated in six major jewelry segments: -0-

- i) Internet **retailers** , **auctioneers** and other web **merchants**
- ii) department stores such as J.C. Penney and Saks Fifth Avenue
- iii) specialty markets such as, QVC Inc., Home Shopping Network, ValueVision International, Shop...

25/3,K/17 (Item 16 from file: 16)

DIALOG(R)File 16:Gale Group PROMT(R)

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06188561 Supplier Number: 54083718 (USE FORMAT 7 FOR FULLTEXT)

**D.G. Jewellery to be Exclusive Jewelry Partner in Go2Net.com Marketplace.**

Business Wire, p1109

March 12, 1999

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 498

... announced.

D.G. Jewellery of Canada Ltd. is primarily engaged in the design, manufacture, merchandising and distribution of stone-set jewelry for department stores, mass **merchants** , catalogue showrooms, television

shopping networks and other high volume **retailers** and other major **discounters** .

The Company maintains a broad base of customers concentrated in six major jewelry segments: -0-

- i) Internet **retailers** , **auctioneers** and other web **merchants**
- ii) department stores such as J.C. Penney and Saks Fifth Avenue
- iii) specialty markets such as, QVC Inc., Home Shopping Network, ValueVision International, Shop...

25/3,K/18 (Item 17 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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06178460 Supplier Number: 54047221 (USE FORMAT 7 FOR FULLTEXT)

**D. G. Jewellery to be Exclusive Jewelry Source On Go2Net.**

Business Wire, p1605

March 8, 1999

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 797

... companies.

D. G. Jewellery of Canada Ltd. is primarily engaged in the design, manufacture, merchandising and distribution of stone-set jewelry for department stores, mass **merchants** , catalogue showrooms, television shopping networks and other high volume **retailers** and other major **discounters** .

The Company maintains a broad base of customers concentrated in six major jewelry segments: -0-

- i) Internet **retailers** , **auctioneers** and other web **merchants**
- ii) department stores such as J.C. Penney and Saks Fifth Avenue
- iii) specialty markets such as, QVC Inc., Home Shopping Network, ValueVision International, Shop...

25/3,K/19 (Item 18 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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06152595 Supplier Number: 53946493 (USE FORMAT 7 FOR FULLTEXT)

**Lycos to Feature D.G. Jewellery Products.**

Business Wire, p1298

Feb 24, 1999

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 623

... Asia.

D.G. Jewellery of Canada Ltd. is primarily engaged in the design, manufacture, merchandising and distribution of stone-set jewelry for department stores, mass **merchants** , catalogue showrooms, television shopping networks and other high volume **retailers** and other major **discounters** .

The Company maintains a broad base of customers concentrated in six major jewelry segments: -0-

- i) Internet **retailers** , **auctioneers** and other web **merchants**
- ii) department stores such as J.C. Penney and Saks Fifth Avenue
- iii) specialty markets such as, QVC Inc., Home Shopping Network, ValueVision International, Shop...

25/3,K/20 (Item 1 from file: 148)

DIALOG(R)File 148:Gale Group Trade & Industry DB

(c)2000 The Gale Group. All rts. reserv.

05580058 SUPPLIER NUMBER: 11375876 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**ComputerLand sells half of sale inventory, plans second auction.**

**(ComputerLand InfoSystems) (Around the Southland)**

Los Angeles Business Journal, v13, n37, p14(1)

Sept 16, 1991

ISSN: 0194-2603

LANGUAGE: ENGLISH

RECORD TYPE: FULLTEXT

WORD COUNT: 170

LINE COUNT: 00013

Amid a historic computer price slump, Los Angeles' largest computer **retailer** held its first-ever **auction** last week and sold **merchandise** listed at \$600,000 for an average 65 percent **discount** , a company official estimated.

The two-day, no-minimum-bid **auction** by ComputerLand InfoSystems at its Torrance headquarters, however, only ate through about one-half of the mountain of inventory it had, said Vice President of...

?

File 9:Business & Industry(R) Jul/1994-2000/Nov 30  
 (c) 2000 Resp. DB Svcs.  
 File 20:World Reporter 1997-2000/Dec 01  
 (c) 2000 The Dialog Corporation plc  
 File 810:Business Wire 1986-1999/Feb 28  
 (c) 1999 Business Wire  
 File 813:PR Newswire 1987-1999/Apr 30  
 (c) 1999 PR Newswire Association Inc  
 File 636:Gale Group Newsletter DB(TM) 1987-2000/Dec 01  
 (c) 2000 The Gale Group  
 File 623:Business Week 1985-2000/Nov W4  
 (c) 2000 The McGraw-Hill Companies Inc  
 File 621:Gale Group New Prod.Annou.(R) 1985-2000/Dec 01  
 (c) 2000 The Gale Group  
 File 624:McGraw-Hill Publications 1985-2000/Nov 30  
 (c) 2000 McGraw-Hill Co. Inc  
 File 635:Business Dateline(R) 1985-2000/Dec 01  
 (c) 2000 Bell & Howell  
 File 47:Gale Group Magazine DB(TM) 1959-2000/Dec 01  
 (c) 2000 The Gale group  
 File 570:Gale Group MARS(R) 1984-2000/Dec 01  
 (c) 2000 The Gale Group

*Dialog*

S1 456807 AUCTION? OR BIDDING OR TRADING(2N)(SYSTEM? ? OR SERVIC?);LIMITALL/SI  
 S2 2990 (WHOLESALE? OR TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERC-  
 HAN?) (10N) RETAILER?  
 S3 3949 (RETAIL? (10N) WHOLESALE?)  
 S4 216056 USER? OR PARTICIP? OR MEMBER? OR CUSTOMER? OR CLIENT? OR S-  
 UBScriB? OR PATRON?  
 S5 151594 CONSUMER? OR BUYER? OR PURCHASER? OR SHOPPER? OR BIDDER?  
 S6 79380 SELLER? OR RESELLER? OR SUPPLIER? OR VENDOR? OR MERCHANT?  
 S7 99334 DEALER? OR BROKER? OR AGENT? OR MIDDLEM?N OR INTERMEDIAR?  
 S8 73833 TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERCHANT?  
 S9 585 S3(3W) (S4 OR S5 OR S6 OR S7 OR S8)  
 S10 19094 (TWO OR THREE OR FIRST OR SECOND OR DIFFERENT OR SEVERAL) -  
 (2W)(TYPE? OR KIND? OR GROUP? OR TIER? OR CATEGOR? OR CLASS?)  
 S11 353702 NEW OR OLDER OR FORMER OR EXISTING OR PREVIOUS? OR REGULAR?  
 OR EARLIER OR ESTABLISH? OR PREFERRED? OR PRIMARY OR VALUED -  
 OR FRANCIS?  
 S12 38658 (S10 OR S11) (3W) (S4 OR S5 OR S6 OR S7 OR S8)  
 S13 696 (NONMEMBER? OR NONQMEMBER?)  
 S14 38 (NONPARTICIPANT? OR NONQPARTICIPANT?)  
 S15 15711 SUBSCRIBER? OR NONSUBSCRIBER?  
 S16 16397 S13 OR S14 OR S15  
 S17 54188 S2 OR S9 OR S12 OR S16  
 S18 1737 (DISCRIMINAT? OR DIFFEREN?) (2N)PRICE?  
 S19 43060 PREFERENC? OR ADVANTAG?  
 S20 36294 DISCOUNT? OR PREMIUM  
 S21 73956 S18 OR S19 OR S20  
 S22 1487 (S17(10N)S21)  
 S23 232 S22(20N)S1  
 S24 50 S23(10N) (S2 OR S9)  
 S25 1 S24 NOT PY>1998  
 S26 1 S23(10N) (S13 OR S14)  
 S27 2 S23 (20N) (DIFFEREN?) (2N)PRICE?  
 S28 20 S23(10N) S20 NOT (PY>1997)  
 S29 19 RD (unique items)  
 S30 328210 S4 OR S5 OR S6 OR S7 OR S8 OR RETAILER? OR WHOLESALE?  
 S31 523 S30(20N)((DIFFEREN?) (2N)PRICE?)  
 S32 30 S31 (5N) AUCTION?  
 S33 6 S32 NOT PY>1997

*All considered*

25/3,K/1 (Item 1 from file: 47)  
DIALOG(R)File 47:Gale Group Magazine DB(TM)  
(c) 2000 The Gale group. All rts. reserv.

03232454 SUPPLIER NUMBER: 07448607 (USE FORMAT 7 OR 9 FOR FULL TEXT)  
**World's biggest garage sale. (auctions at government agencies to get rid of excess property)**  
Maturi, Richard J.; Blum, Adrienne  
Changing Times, v43, n4, p65(3)  
April, 1989  
CODEN: CNGTA ISSN: 0009-143X LANGUAGE: ENGLISH RECORD TYPE:  
FULLTEXT  
WORD COUNT: 1462 LINE COUNT: 00115

... your top figure firmly in mind to prevent offering more than you intended.

The Glazers used the financial misfortunes of Baby Talk Inc. to their **advantage**. At an Internal Revenue Service **auction** of Baby Talk's **merchandise** -the **retailer** went out of business owing the IRS money-the couple purchased baby clothes, a musical lamp and a rocking chair, an for \$161. "Based on...  
?

26/3,K/1 (Item 1 from file: 20)  
DIALOG(R)File 20:World Reporter  
(c) 2000 The Dialog Corporation plc. All rts. reserv.

11708999 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**iBonZai.com Introduces the "Reverse Auction"; Small And Large Businesses  
Can Sell Products and Receive National Publicity**

BUSINESS WIRE

June 27, 2000

JOURNAL CODE: WBWE LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 522

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... reduce and hope to purchase a product before anyone else.

Buyers can see how many competitors they have who are waiting for the product to **discount** .

Members and **non -members** can participate in the **auction** . However, members have the **advantage** of using iBonZai Bucks, which gives them an even better value.

"This is definitely a new profit center for us," stated Raddatz.  
"iBonZai.com anticipates...

?

27/8,K/1 (Item 1 from file: 20)

DIALOG(R)File 20:(c) 2000 The Dialog Corporation plc. All rts. reserv.

03304010

**French T-bills auction makes way for Dutch system**

SECTION TITLE: MONEY MARKETS

October 31, 1998

WORD COUNT: 437

DESCRIPTORS: Bonds; Market News

COUNTRY NAMES/CODES: India (IN)

REGIONS: Asia; South Asia

SIC CODES/DESCRIPTIONS: 6019 (Central Reserve Depository NEC)

... auctions we have changed the auction system," sources closely tracking the issue said. Money market sources feel by replacing the existing system with a uniform **price** auction system, the central bank is trying to reduce 'the winner's curse' which is holding back active bidders from **bidding** aggressively. Under the **existing** French **auction** system, **bidders** have to pay a **premium** to the central bank which is the **difference** between the **price** quoted by the bidder and the cut-off yield fixed by the central bank. "Most players do not want to run the risk of buying...

27/8,K/2 (Item 1 from file: 624)

DIALOG(R)File 624:(c) 2000 McGraw-Hill Co. Inc. All rts. reserv.

00847731

**TVA, ENRON BIG WINNERS AT EPA ALLOWANCE AUCTION; SPOT PRICE REMAINS HIGH AT \$110**

March 28, 1997

Word Count: 804 \*Full text available in Formats 5, 7 and 9\*

COMPANY NAMES (DIALOG GENERATED): Emissions Marketing Association ; Enron Capital & Trade Resources ; EPA ; Natural Resources Group ; U S Environmental Protection Agency ; Wisconsin Electric

TEXT:

...previous EPA auctions were in markets that were on a downward slope, and players saw them as a place to buy allowances for below-market **prices**. This year was **different**, Ertel said, adding, ``You're not going to get a \$5-\$10/ton **discount** in the **auction** anymore.''

Bid interest was up strongly from **previous** years, with **buyers** seeking a total of about 1.9 million allowances. Bids for Phase-One allowances totaled almost 1.3 million, with unsuccessful bids above \$100 submitted...

?



29/3,K/1 (Item 1 from file: 9)

DIALOG(R)File 9:Business & Industry(R)  
(c) 2000 Resp. DB Svcs. All rts. reserv.

01750591 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**RANCHO CORDOVA, CALIF., FIRM RIDES THE ELECTRONIC INVESTING WAVE**

**(Study predicts that on-line accounts will capture \$525 bil or 8.5% of the market by 2001; ETrade Securities reports 3rd-quarter data)**

Sacramento Bee , p N/A

January 30, 1997

DOCUMENT TYPE: Regional Newspaper ISSN: 0890-5738 (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 1168

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...Gomez mentioned American Express, which recently launched an on- line investing service, and Dean Witter, which last month acquired Lombard Institutional Brokerage, a small San Francisco discount broker with a highly regarded electronic trading system .

ETrade officials say they are aware of the increasing competitive environment but remain confident their company will continue to grow and be able to hold...

29/3,K/2 (Item 2 from file: 9)

DIALOG(R)File 9:Business & Industry(R)  
(c) 2000 Resp. DB Svcs. All rts. reserv.

01335251 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**CARRIERS LOOK TO DBS FOR QUICK VIDEO FIX**

**(FCC to auction off rights to 27 satellite slots in high demand for DBS services; DBS subscribers to rise to 7 mil by 1999)**

America's Network, v 99, n 22, p 16+

November 15, 1995

DOCUMENT TYPE: Journal ISSN: 1075-5292 (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 830

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...Group has projected today's 1.2 million DBS subscribers will balloon to 7 million by 1999. If a heavy hitter like MCI wins the auction , that number could even go higher "because of marketing muscle. They would definitely market DBS to all existing customers and offer special discounts . If they could also finance the customer's purchase of the dish, that would help the market enormously."

Although video entertainment may be the biggest...

29/3,K/3 (Item 1 from file: 813)

DIALOG(R)File 813:PR Newswire

(c) 1999 PR Newswire Association Inc. All rts. reserv.

1145502

NYW068

**A-T Financial and Preferred Technology Inc. Introduce Attitude Online Trading System**

DATE: August 27, 1997 13:22 EDT WORD COUNT: 781

...active stock and option investor a powerful, fast and economical trading tool."

In order to take advantage of this dynamic on-line stock and option trading system, a client needs to open a brokerage account with Preferred Technology, Inc. Traders opening an account will receive low discount stock (\$15 per trade minimum) and option (\$19.95 per option trade minimum) commission rates. Investors should also note that their stock orders for both...

29/3,K/4 (Item 2 from file: 813)  
DIALOG(R)File 813:PR Newswire  
(c) 1999 PR Newswire Association Inc. All rts. reserv.

0989062 NYW057  
**Thomson MarketEdge Releases First Ever Subscriber Survey - Uncovering Investment Trends of On-Line Investment Users**

DATE: August 28, 1996 12:16 EDT WORD COUNT: 512

...trades per year,  
12% average fewer than 5 trades per year, and 3% of subscribers average 10-40 trades per year.

78% of the MarketEdge subscribers reportedly utilize a broker with the majority using discount brokers and on line trading services. The age profile of subscribers varied significantly with 41% in the 46-64 age bracket, 32% 36-45 years old, 18-35 years old comprise 19% of the subscriber base...

29/3,K/5 (Item 1 from file: 636)  
DIALOG(R)File 636:Gale Group Newsletter DB(TM)  
(c) 2000 The Gale Group. All rts. reserv.

03758257 Supplier Number: 48132115 (USE FORMAT 7 FOR FULLTEXT)

**How to keep them keen**

Cards International, n187, pN/A

Nov 19, 1997

Language: English Record Type: Fulltext

Document Type: Newsletter; Trade

Word Count: 753

... business from the other six who were not, thus enabling them to considerably increase their turnover. Consequently, merchants were only too keen to join.

A bidding process is organised every three years which involves each merchant, whether an existing member or a prospective candidate, revealing how much discount they are prepared to offer with the top four merchants out of ten winning.

"A loyalty programme has to offer people something they want, and...

29/3,K/6 (Item 2 from file: 636)  
DIALOG(R)File 636:Gale Group Newsletter DB(TM)  
(c) 2000 The Gale Group. All rts. reserv.

02571329 Supplier Number: 45191513 (USE FORMAT 7 FOR FULLTEXT)  
**Mercury One-2-One kicks-off GBP 5 million Christmas promotional offensive**  
M2 Presswire, pN/A  
Dec 7, 1994  
Language: English Record Type: Fulltext  
Document Type: Newswire; Trade  
Word Count: 878

... of sale material. In addition the 'Ring the World for Free'  
promotion features on-pack on all Christmas stock.

With free calls on Christmas Day **bidding** to attract new customers,  
Mercury One-2- One is also offering **existing customers** cheaper calls  
and call charge **discounts** to encourage greater use of the One-2-One  
service through the festive season.

Customers on the PersonalCall tariff will benefit from cheaper early  
evening...

29/3,K/7 (Item 3 from file: 636)  
DIALOG(R)File 636:Gale Group Newsletter DB(TM)  
(c) 2000 The Gale Group. All rts. reserv.

01370080 Supplier Number: 41699957 (USE FORMAT 7 FOR FULLTEXT)  
**LEAD STORY #1: QUOTRON'S F/X TRADER POPULAR, BUT LACKS LIQUIDITY THREE  
MONTHS AFTER ITS LAUNCH**  
FX Week, v1, n26, pN/A  
Nov 30, 1990  
Language: English Record Type: Fulltext  
Document Type: Magazine/Journal; Trade  
Word Count: 1170

... liquidity is to be expected given the system's relative youth, but  
it also makes it that much harder to lure new participants, and without  
**new participants** activity will likely remain modest.

As a result, many users **discount** the possibility of F/X Trader ever  
becoming the de facto foreign exchange **trading system**. Quotron has  
maintained that F/X Trader is aimed at displacing TTS as the alternative  
foreign exchange trading system, that Reuters isn't the target...

29/3,K/8 (Item 4 from file: 636)  
DIALOG(R)File 636:Gale Group Newsletter DB(TM)  
(c) 2000 The Gale Group. All rts. reserv.

01133065 Supplier Number: 40893214 (USE FORMAT 7 FOR FULLTEXT)  
**DEALERS BACK CHATS WHILE FEILDING RFP FOR DATA SERVICES**  
Micro Ticker Report, v4, n13, pN/A  
August 7, 1989  
Language: English Record Type: Fulltext  
Document Type: Magazine/Journal; Trade  
Word Count: 953

... based on their combined purchasing power. It calls for a creative cost-effective solution. That solution could be a new information service or just a **discount** from **existing suppliers** .

At the same time, Chapdelaine & Co., whose automated **trading system** for U.S. government securities (CHATS) has been retooled for the exclusive use of primary and aspiring dealers, will offer a new source of interdealer ...

29/3,K/9 (Item 1 from file: 624)  
DIALOG(R)File 624:McGraw-Hill Publications  
(c) 2000 McGraw-Hill Co. Inc. All rts. reserv.

00847731

**TVA, ENRON BIG WINNERS AT EPA ALLOWANCE AUCTION; SPOT PRICE REMAINS HIGH AT \$110**

Utility Environment March 28, 1997; Pg 1; Vol. 328, No. 7

Journal Code: UER ISSN: 1503-9379

Word Count: 804 \*Full text available in Formats 5, 7 and 9\*

TEXT:

... place to buy allowances for below-market prices. This year was different, Ertel said, adding, ``You're not going to get a \$5-\$10/ton **discount** in the **auction** anymore.''

Bid interest was up strongly from **previous** years, with **buyers** seeking a total of about 1.9 million allowances. Bids for Phase-One allowances totaled almost 1.3 million, with unsuccessful bids above \$100 submitted...

29/3,K/10 (Item 1 from file: 635)  
DIALOG(R)File 635:Business Dateline(R)  
(c) 2000 Bell & Howell. All rts. reserv.

0698417 96-55762

**Investors trade shares and grab data on-line**

Halverson, Guy

Christian Science Monitor (Boston, MA, US) p9

PUBL DATE: 960430

WORD COUNT: 831

DATELINE: New York, NY, US, Middle Atlantic

TEXT:

...The software usually costs between \$30 and \$50. You then dial up the company directly, using your software, or go through a designated on-line **service** .

**Trading** commissions for **discount** brokers are usually the same on-line as for **regular customers** , but a few firms, including Schwab Quick & Reilly give **discounts** for trading on-line.

A survey of **discount** brokers, and the electronic options they offer, is available for \$4 through the AAIL, at 625 North Michigan Ave., Suite 1900, Chicago, IL, 60611. The...

29/3,K/11 (Item 2 from file: 635)  
DIALOG(R)File 635:Business Dateline(R)

(c) 2000 Bell & Howell. All rts. reserv.

0689571 96-46846

**Brokerages prepare for Internet share trading**

Siklos, Richard

Financial Post-Toronto (Toronto, ONT, Canada) p3

PUBL DATE: 960327

WORD COUNT: 516

DATELINE: Toronto, ONT, Canada, Ontario

TEXT:

...Practically every brokerage house here in New York City is [looking at] online trading," Netscape chairman Jim Clark told a conference yesterday.

This week, San Francisco -based **discount broker** Charles Schwab Corp. began testing a system on the World Wide Web, the first real-time **trading system** by a major investment house to run on the Net.

One of its main upstart rivals, an online brokerage called E-Trade Group Inc., launched...

29/3,K/12 (Item 3 from file: 635)

DIALOG(R) File 635:Business Dateline(R)

(c) 2000 Bell & Howell. All rts. reserv.

0118867 90-01495

**Blue Cross/Blue Shield Leaner but Profitable**

Schondelmeyer, Brent

Kansas City Business Journal (Kansas City, MO, US), V8 N14 s1 p1

PUBL DATE: 891218

WORD COUNT: 1,500

DATELINE: Kansas City, MO, US

TEXT:

...economic clout throughout the year. It extracted better terms from hospitals by reducing the number of hospitals in existing managed care networks, then set up **bidding** wars among hospitals wishing to be included in new HMO and PPO networks.

An HMO charges a fixed monthly **premium** and provides care regardless of the care given to a **subscriber**. A PPO involves physicians and hospitals providing **discounts** off the customary charges in consideration for greater patient volumes.

Its most controversial move came in setting up Preferred-Care Blue, which Blue Cross put...

29/3,K/13 (Item 4 from file: 635)

DIALOG(R) File 635:Business Dateline(R)

(c) 2000 Bell & Howell. All rts. reserv.

0118287 90-00913

**Nantucket Owners Resort to Auction in an Attempt to Unload Properties**

Davis, Paul

The Providence Journal-Bulletin (Providence, RI, US), V17 N293 sB p9  
PUBL DATE: 891208  
WORD COUNT: 483  
DATELINE: Nantucket, MA, US

TEXT:

...local builders asked Latham to help sell their homes and lots.  
Latham added other properties to the list after visiting the island, he said.

Increasingly, **New** England builders and **sellers** are using **auctions** to sell property fast, often at a **discount**, and tomorrow's sale on Nantucket won't be the only one. The **Auction** Company of America of Miami will try to sell 16 lots and two luxury homes in a Middletown, Conn. subdivision.

Brokers say it's a...

29/3,K/14 (Item 5 from file: 635)  
DIALOG(R)File 635:Business Dateline(R)  
(c) 2000 Bell & Howell. All rts. reserv.

0086903 89-10598

**Area Companies Form Lasting Relationships With Auditors**

Frey, Susan  
The Business Journal-San Jose (San Jose, CA, US), V7 N45 s1 p17  
PUBL DATE: 890227  
WORD COUNT: 1,082  
DATELINE: San Jose, CA, US

TEXT:

...of a company's revenues, Dunbar said.

Auditors and companies usually negotiate on a price each year. But if a company decides to open up **bidding** for an auditing firm, auditors often will offer **discounts** to attract the **new customer**, Rice said.

Auditors typically come in at a low price, giving discount fees the first year or two or three," Rice said. "The first-year...

29/3,K/15 (Item 6 from file: 635)  
DIALOG(R)File 635:Business Dateline(R)  
(c) 2000 Bell & Howell. All rts. reserv.

0034422 87-13124

**Cencom Seeks Acquisitions: Southern Properties Could Cost \$90 Million**

Jacobson, Gianna  
St Louis Business Journal (St Louis, MO, US), V7 N43 s1 p1A  
PUBL DATE: 870720  
WORD COUNT: 605  
DATELINE: Chesterfield, MO, US

TEXT:

...through limited partnerships, as it has in the past, or borrow from

a bank, he said.

Cable companies such as Cencom bring in revenues from **subscribers** , installment fees and **premium** channels such as HBO and Showtime.

Several other companies also are **bidding** on the Adam properties, Babcock said.

"We have visited the properties and looked at the system, but I don't know what's happening as...

**29/3,K/16 (Item 7 from file: 635)**  
DIALOG(R)File 635:Business Dateline(R)  
(c) 2000 Bell & Howell. All rts. reserv.

0011011 86-01692

**Deregulation Binds Banks**

DuBois, Carolyn

Boston Business Journal (Boston, MA, US), V5 N48 s1 p1

PUBL DATE: 860127

WORD COUNT: 1,054

DATELINE: Boston, MA, US

TEXT:

...so far, their brokerage ventures have been unprofitable ones.

A necessary evil

Bank of Boston, Bank of New England, Shawmut and BayBanks offer the budget-**trading service** , with BayBanks extending it exclusively to customers. The four, which **previously** offered **discount brokerage** as an in-house service, are now in various stages of opening new subsidiaries specifically for that purpose. Each has been granted extensions until June ...

**29/3,K/17 (Item 1 from file: 47)**  
DIALOG(R)File 47:Gale Group Magazine DB(TM)  
(c) 2000 The Gale group. All rts. reserv.

04448392 SUPPLIER NUMBER: 17943776 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**Taking the low road. (low-cost distribution; includes related article) (excerpt from 'Value Migration: Strategies to Preempt the Markets of Tomorrow')**

Slywotzky, Adrian J.

Sales & Marketing Management, v148, n1, p53(5)

Jan, 1996

ISSN: 0163-7517

LANGUAGE: English

RECORD TYPE: Fulltext; Abstract

WORD COUNT: 2956

LINE COUNT: 00244

... For Growth

One of the most striking examples of growing customer sophistication and timely development of a low-cost distribution business design is in

financial **services** . While **trading** stocks and bonds for individuals used to be the exclusive turf of full-service brokerages, San **Francisco** -based **discount broker** Charles Schwab & Company Inc. has given Main Street access to Wall Street--at a very low cost to the individual. Since 1990, Schwab's shareholder...

**29/3,K/18 (Item 2 from file: 47)**

DIALOG(R)File 47:Gale Group Magazine DB(TM)

(c) 2000 The Gale group. All rts. reserv.

02665055 SUPPLIER NUMBER: 03706360 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**Bargaining for a 4x4. (buying a used 4WD)**

Opre, Tom

Outdoor Life, v175, p40(2)

April, 1985

CODEN: OULIB

ISSN: 0030-7076

LANGUAGE: ENGLISH

RECORD TYPE:

FULLTEXT

WORD COUNT: 1209 LINE COUNT: 00087

... DPDS' free booklet How To Buy Surplus Personal Property. It's available from the DPDS, Dept. OL, Box 1370, Federal Center, Battle Creek, MI 49016.

**Discounting** the military, just where will you find used 4x4s? **New** car **dealers** consistently offer the best ones. The worst trade-ins are shunted right to local auto **auctions** . What remains is being sold by a guy with a high overhead, a service department to support, and perhaps a warranty to honor on what...

**29/3,K/19 (Item 1 from file: 570)**

DIALOG(R)File 570:Gale Group MARS(R)

(c) 2000 The Gale Group. All rts. reserv.

01424544 Supplier Number: 44571543

**Auction Magazine List Names 55,000 Subscribers**

DM News, v16, n13, p28

April 4, 1994

ISSN: 0194-3588

Language: English Record Type: Abstract

Document Type: Magazine/Journal; Trade

ABSTRACT:

Venture Communications List Marketing is handling the **subscribers** ' file for '**Auction** ' magazine, which tells consumers how to purchase **discount** computers, furnishings, vehicles, and other merchandise at **auctions** across the US, as well as how to buy repossessed/foreclosed homes from the government. There are 55,382 names of subscribers as well as...

?



**33/3,K/1 (Item 1 from file: 623)**

DIALOG(R)File 623:Business Week

(c) 2000 The McGraw-Hill Companies Inc. All rts. reserv.

00738919

(USE FORMAT 7 FOR FULLTEXT)

**GOING ONCE. GOING TWICE. CYBERSOLD!**

Edward C. Baig

EDITED BY AMY DUNKIN

Business Week, August 11, 1997, Number 3539, Pg 98

JOURNAL CODE: BW

SECTION HEADING: Personal Business: ONLINE

WORD COUNT: 1,316

...TEXT: to snatch a product.

In the far more common Yankee auction, a number of identical items are put up for sale simultaneously, with the highest **bidders** capturing the goods when the **auction** closes--meaning people could theoretically bid **different prices** and still get the same item. To draw people in, products often carry an alluring minimum bid--say, \$199 for a Pentium notebook computer. ``We...

**33/3,K/2 (Item 1 from file: 624)**

DIALOG(R)File 624:McGraw-Hill Publications

(c) 2000 McGraw-Hill Co. Inc. All rts. reserv.

00870515

**GOING ONCE. GOING TWICE. CYBERSOLD!**

Business Week August 11, 1997; Pg 98; Number 3539

Journal Code: BW ISSN: 0007-7135

Section Heading: Personal Business: ONLINE

Word Count: 1,316 \*Full text available in Formats 5, 7 and 9\*

BYLINE:

Edward C. Baig

EDITED BY AMY DUNKIN

TEXT:

...to snatch a product.

In the far more common Yankee auction, a number of identical items are put up for sale simultaneously, with the highest **bidders** capturing the goods when the **auction** closes--meaning people could theoretically bid **different prices** and still get the same item. To draw people in, products often carry an alluring minimum bid--say, \$199 for a Pentium notebook computer. ``We...

**33/3,K/3 (Item 2 from file: 624)**

DIALOG(R)File 624:McGraw-Hill Publications

(c) 2000 McGraw-Hill Co. Inc. All rts. reserv.

00749153

**PJM UTILITY TO AUCTION OFF 50 MW OF TRANSMISSION FOR APRIL, MAY, JUNE**

Power Markets Week March 11, 1996; Pg 12; Vol. 16, No. 3

Journal Code: PMW ISSN: 1078-9820

Section Heading: LATE-BREAKING NEWS

Word Count: 159 \*Full text available in Formats 5, 7 and 9\*

TEXT:

... PJM import capacity for the months April, May and June. The capacity will be offered in the form of contemporaneous buy-sell agreements (CBSA), and **prices** will be **different** for peak and off-peak periods. TFS will conduct a ``Dutch-style'' **auction**, in which all winning **bidders** get the lowest clearing price.

Bids are due at the TFS Power Division between 9 a.m. and noon on Tuesday, March 12; (212) 425...

**33/3,K/4 (Item 1 from file: 635)**  
DIALOG(R)File 635:Business Dateline(R)  
(c) 2000 Bell & Howell. All rts. reserv.

0328219 92-77393

**Treasury Opens Bond Experiment**

Hilzenrath, David S.

The Washington Post (Washington, DC, US) sC p1

PUBL DATE: 920923

WORD COUNT: 604

DATELINE: Washington, DC, US

TEXT:

...highest price at which there are enough bids to sell all the bonds.

The traditional, more complicated system that has been in place allows different **bidders** to pay **different prices** in the same **auction**. The Treasury begins by filling the order of the highest **bidder** and moves to lower **bidders**, awarding the securities to each buyer at the price that buyer bid.

Treasury officials said they hope the Dutch auction will save U.S. taxpayers...

**33/3,K/5 (Item 1 from file: 47)**  
DIALOG(R)File 47:Gale Group Magazine DB(TM)  
(c) 2000 The Gale group. All rts. reserv.

04259157 SUPPLIER NUMBER: 16907894 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**Making a profit on your leased car. (Money Mechanix) (includes related information on lease options and purchasing information on specific models) (Column)**

Gavin, Roger

Home Mechanix, v91, n795, p16(2)

May, 1995

DOCUMENT TYPE: Column ISSN: 8755-0423 LANGUAGE: ENGLISH

RECORD TYPE: FULLTEXT; ABSTRACT

WORD COUNT: 850 LINE COUNT: 00064

... values disagree by a wide margin, even in the same region. However, be sure you aren't comparing apples to oranges by consulting guides that **price** cars in **different** conditions or for different markets. For instance, some guides feature carefully detailed cars that command high prices at **dealer auctions**. Others reflect sales reported by dealers for reconditioned cars that are sold with warranties.

Another way to determine the current value of your car is...

33/3,K/6 (Item 2 from file: 47)  
DIALOG(R)File 47:Gale Group Magazine DB(TM)  
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02731198 SUPPLIER NUMBER: 03888377 (USE FORMAT 7 OR 9 FOR FULL TEXT)  
**Personal planner. (lump sum pension payments, tax saving tips, buying  
antiques)**  
Financial World, v154, p35(4)  
Aug 7, 1985  
CODEN: FIWOA ISSN: 0015-2064 LANGUAGE: ENGLISH RECORD TYPE:  
FULLTEXT  
WORD COUNT: 3761 LINE COUNT: 00276

... retail antique prices.

For example? Last year, Phillips sold a late-Georgian emerald ring  
for less than \$400. Shortly afterward, a well-known antique jewelry **dealer**  
featured a similar ring for \$2,400. This **price difference** is quite  
large, but by no means very rare. It is quite common to see a **dealer** buy  
a piece at **auction** and place it in his window a week or two later at  
double or triple the price he paid.

You're talking about very tempting...

?

Dialog

Report for Examiner Forest Thompson Jr 09/253057

December 1, 2000 15:07

File 15:ABI/Inform(R) 1971-2000/Dec 01

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File 275:Gale Group Computer DB(TM) 1983-2000/Nov 29

(c) 2000 The Gale Group

File 16:Gale Group PROMT(R) 1990-2000/Dec 01

(c) 2000 The Gale Group

File 160:Gale Group PROMT(R) 1972-1989

(c) 1999 The Gale Group

File 148:Gale Group Trade & Industry DB 1976-2000/Dec 01

(c)2000 The Gale Group

Set Items Description

- S1 377792 AUCTION? OR BIDS OR BIDDING OR TRADING(2N)(SYSTEM? ? OR SE-  
RVIC?); **LIMITALL/S1**
- S2 3625 (WHOLESALE? OR TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERC-  
HAN?) (10N) RETAILER?
- S3 4198 (RETAIL? (10N) WHOLESALE?)
- S4 191240 USER? OR PARTICIP? OR MEMBER? OR CUSTOMER? OR CLIENT? OR S-  
UBSCRIB? OR PATRON?
- S5 133974 CONSUMER? OR BUYER? OR PURCHASER? OR SHOPPER? OR BIDDER?
- S6 90418 SELLER? OR RESELLER? OR SUPPLIER? OR VENDOR? OR MERCHANT?
- S7 77545 DEALER? OR BROKER? OR AGENT? OR MIDDLEM?N OR INTERMEDIAR?
- S8 76919 TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERCHANT?
- S9 586 S3(3W) (S4 OR S5 OR S6 OR S7 OR S8)
- S10 25436 (TWO OR THREE OR FIRST OR SECOND OR DIFFERENT OR SEVERAL) -  
(2W)(TYPE? OR KIND? OR GROUP? OR TIER? OR CATEGOR? OR CLASS?)
- S11 278484 NEW OR OLDER OR FORMER OR EXISTING OR PREVIOUS? OR REGULAR?  
OR EARLIER OR ESTABLISH? OR PREFERRED? OR PRIMARY OR VALUED -  
OR FRANCIS?
- S12 38769 (S10 OR S11) (3W) (S4 OR S5 OR S6 OR S7 OR S8)
- S13 849 (NONMEMBER? OR NON()MEMBER?)
- S14 101 (NONPARTICIPANT? OR NON()PARTICIPANT?)
- S15 14473 SUBSCRIBER? OR NONSUBSCRIBER?
- S16 15327 S13 OR S14 OR S15
- S17 53023 S2 OR S9 OR S12 OR S16
- S18 3393 (DISCRIMINAT? OR DIFFEREN?) (2N)PRICE?
- S19 54698 PREFERENC? OR ADVANTAG?
- S20 37838 DISCOUNT? OR PREMIUM
- S21 81717 S18 OR S19 OR S20
- S22 1745 (S17(10N)S21)
- S23 179 S22(20N)S1
- S24 40 S23 (5N)(S2 OR S9)
- S25 20 RD (unique items)
- S26 2669 S10 (3N) (S4 OR S5 OR S6 OR S7 OR S8 OR WHOLESALE? OR RET-  
AILER?)
- S27** 1 (S26(10N)S21) (20N)S1
- S28** 1 S23 (5N)(S13 OR S14)
- S29 1 S23(10N)S18 NOT S24
- S30 71 S23(10N)S20 NOT S24
- S31 31 S30 (5N)AUCTION?
- S32** 19 RD (unique items)
- S33 270066 (S4 OR S5 OR S6 OR S7 OR S8 OR WHOLESALE? OR RETAILER?)
- S34 138 (S33(10N)S18)(20N)S1
- S35 73 S34(5N)AUCTION? NOT (S24 OR S29 OR S31)
- S36 36 S35 NOT PY>1997
- S37** 33 RD (unique items)

all considered

27/3,K/1 (Item 1 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
(c) 2000 Bell & Howell. All rts. reserv.

01158176 98-07571

**Management of information systems outsourcing: A bidding perspective**

Chaudhury, A; Nam, Kichan; Rao, H Raghav

Journal of Management Information Systems: JMIS v12n2 PP: 131-159 Fall 1995

ISSN: 0742-1222 JRNL CODE: JMI

WORD COUNT: 10226

...TEXT: bid higher than what she would have done if her competitor's cost structures were similar to her's.

When several bidders participate in the **bidding** process, they can be classified into **two categories**: the incumbent **vendor** (which we call vendor A), which has an inherent **advantage** over other bidders; and the rival or challenging vendors (which we call vendor B and vendor C). Vendors B and C have a greater possibility...

28/3,K/1 (Item 1 from file: 148)

DIALOG(R)File 148:Gale Group Trade & Industry DB  
(c)2000 The Gale Group. All rts. reserv.

04833431 SUPPLIER NUMBER: 08876162 (USE FORMAT 7 OR 9 FOR FULL TEXT)  
**OECD Council meets at ministerial level. (Organization for Economic  
Cooperation and Development)**  
OECD Observer, n165, pA1(7)  
August-Sept, 1990  
ISSN: 0029-7054 LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT  
WORD COUNT: 6699 LINE COUNT: 00573

... shared with those countries of central and eastern Europe who wish  
to do so, as well as with developing countries as appropriate. These  
exchanges have **advantages** both for OECD and **non -member** countries.

**Open Multilateral Trading System**

The open multilateral **trading system** confronts a combination of  
great opportunities and challenges. Trade is buoyant and contributes  
significantly to the favourable economic climate now prevailing. Increasing  
global interdependence offers...

?

29/3,K/1 (Item 1 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
(c) 2000 Bell & Howell. All rts. reserv.

01691837 03-42827

**Managing Public Debt: Index-Linked Bonds in Theory and Practice**  
Wheeler, Graeme  
Finance & Development v35n3 PP: 53-54 Sep 1998  
ISSN: 0015-1947 JRNL CODE: FID  
WORD COUNT: 636

...TEXT: of taxation and inflation affect overall investor returns? What is the best way to sell these bonds? Should they be tapped, or should they be **auctioned** through **discriminatory price** or uniform price **auctions** ? Is it necessary to use **primary dealers** ? How does one establish critical mass for these instruments, given the reticence of the funds management industry to establish separate asset classes and benchmarks? How...  
?

**32/3,K/1 (Item 1 from file: 15)**

DIALOG(R)File 15:ABI/Inform(R)

(c) 2000 Bell &amp; Howell. All rts. reserv.

02072888 53801389

**From bricks to clicks**

Aitken, Christopher

Financial Executive v16n3 PP: 20-22 May/Jun 2000

ISSN: 0895-4186 JRNL CODE: FEX

WORD COUNT: 2145

...TEXT: or sellers all over the world, making offers to specific customers or groups of customers. Buyers and sellers also can customize information; perhaps offering a **discount** to an **established**, high-volume **customer**. Unlike an **auction**, an online exchange such as e-Steel lets companies bargain back and forth. Responding to a bid, a seller might offer steel with a slightly...

**32/3,K/2 (Item 2 from file: 15)**

DIALOG(R)File 15:ABI/Inform(R)

(c) 2000 Bell &amp; Howell. All rts. reserv.

01750756 04-01747

**The development of capital markets in Central Asia**

Anonymous

Financial Market Trends (France) n71 PP: 135-173 Nov 1998

ISSN: 0378-651X JRNL CODE: FMT

WORD COUNT: 17184

...TEXT: in the standard manner. 12 As in Azerbaijan, T-Bills are par value securities with no coupons, and are sold in the primary market via **auction** at a **discount**. **Primary dealers** buy them from the Central Bank, which acts on behalf of the Ministry of Finance. All the **auctions**, trading, clearing, and settlement, take place on the Republican Currency Exchange (RCE). The Central Bank is the co-owner of the RCE. There is one...

**32/3,K/3 (Item 3 from file: 15)**

DIALOG(R)File 15:ABI/Inform(R)

(c) 2000 Bell &amp; Howell. All rts. reserv.

01719925 03-70915

**Hungarian debt management**

Anonymous

Central European v8n7 PP: 94-98 Sep 1998

ISSN: 0962-2543 JRNL CODE: CEE

WORD COUNT: 2600

...TEXT: dealer contract and all other requirements stipulated by Government Debt Management Agency, including a good reputation.

One of the basic responsibilities and exclusive rights of **primary dealers** is to actively participate in the issue of Government Bonds, **discount** treasury bills and retail instruments publicly offered since January 3, 1996, by regularly **bidding** at **auctions** and participating in subscriptions.

In every half-year period, each dealer is required to buy, on its own or on



its clients' account, at least...

**32/3,K/4 (Item 4 from file: 15)**  
DIALOG(R)File 15:ABI/Inform(R)  
(c) 2000 Bell & Howell. All rts. reserv.

01617388 02-68377

**Bidder profitability under uniform price auctions and systematic reopenings: The case of Italian Treasury bonds**

Scalia, Antonio

Journal of Fixed Income v7n4 PP: 47-61 Mar 1998

ISSN: 1059-8596 JRNL CODE: JFX

...ABSTRACT: and not statistically different from zero. Market average price discounts in the reopenings are also not significantly different from zero; they are affected inversely by **auction** participation, bidders' competition, and information dispersion. No individual **primary dealer** is able to capture a positive and significant price **discount**.

**32/3,K/5 (Item 5 from file: 15)**  
DIALOG(R)File 15:ABI/Inform(R)  
(c) 2000 Bell & Howell. All rts. reserv.

01519772 01-70760

**Bonds**

Anonymous

Euromoney The 1997 Guide to Hungary Supplement PP: 8-9 Sep 1997

ISSN: 0014-2433 JRNL CODE: ERM

WORD COUNT: 1639

...TEXT: issued by the government. The system consists of 22 dealers who receive commissions from the government on the purchase of bonds and T-bills. Exclusively **primary dealers** may purchase government bonds, while **auctions of discount** Tbills are open to banks and other brokerage firms. The members of the primary dealer system have been obliged to quote ask and bid prices...

**32/3,K/6 (Item 6 from file: 15)**  
DIALOG(R)File 15:ABI/Inform(R)  
(c) 2000 Bell & Howell. All rts. reserv.

00776975 94-26367

**Bond investment: Reading US bond auctions**

MacRae, Desmond

Global Investor n65 PP: 32-35 Sep 1993

ISSN: 0951-3604 JRNL CODE: GLI

WORD COUNT: 2951

...TEXT: firm may borrow securities for a day at any price, hence the special. Investors should not misread specials.

Nor should investors get too bullish at **auctions** because the government bond market goes down in front of a heavy corporate bond **bidding** calendar. **Primary dealers** have probably already **discounted** it. There can be tremendous technical rallies after big corporate bonds **auctions** simply because the corporate underwriters are covering their shorts. These

rallies fool the unwary into buying. When the shorts are covered, there can be a...

**32/3,K/7 (Item 1 from file: 275)**

DIALOG(R)File 275:Gale Group Computer DB(TM)

(c) 2000 The Gale Group. All rts. reserv.

02446931 SUPPLIER NUMBER: 66686836 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**Holiday Hot Spots - 'Tis the season to spend, and we've scoped out the best sites for buying anything- and everything-on your shopping list.(Industry Trend or Event)**

Tapellini, Donna

Computer Shopper, 160

Dec 1, 2000

ISSN: 0886-0556

LANGUAGE: English

RECORD TYPE: Fulltext

WORD COUNT: 3266

LINE COUNT: 00251

... to follow your purchases at the Airborne Express, Federal Express, UPS, and USPS Web sites.

9. Feeling frugal? Search for your intended gift at an **auction** site. Several merchants sell **new merchandise** at a **discount**.

10. Did we mention that you should order early?

**32/3,K/8 (Item 2 from file: 275)**

DIALOG(R)File 275:Gale Group Computer DB(TM)

(c) 2000 The Gale Group. All rts. reserv.

02312237 SUPPLIER NUMBER: 55129607 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**\*\*\*\*EBay Plans To Enter The "Real" World 07/09/99.**

Newsbytes PM, NA

July 9, 1999

LANGUAGE: English

RECORD TYPE: Fulltext

WORD COUNT: 403

LINE COUNT: 00036

... magazine will be "uniquely eBay... or Ebaysian, as we like to call it."

An annual subscription is \$19.95, although eBay is currently offering charter **subscribers** a 25-percent **discount** through its Website.

Pursglove declined to say how many **subscribers** have signed up to date.

The **auctioneer** is also targeting online collectors offline with the publication of two books. The first, "EBay For Dummies" will hit stores in late summer and will...

**32/3,K/9 (Item 3 from file: 275)**

DIALOG(R)File 275:Gale Group Computer DB(TM)

(c) 2000 The Gale Group. All rts. reserv.

02145390 SUPPLIER NUMBER: 20348726

**What am I bid? (online auctions) (Internet/Web/Online Service Information)**

Miller, Greg

Los Angeles Times, v117, n92, Mon ed, col 1, pD1

March 2, 1998

ISSN: 0458-3035

LANGUAGE: English

RECORD TYPE: Abstract

...ABSTRACT: sites, where bidders vie to outbid each other for just about any good or service, are exploding in popularity. An estimated 1,000 or

more **auction** sites now exist on the Web. Some buy suppliers' excess inventory of **new merchandise** at huge **discounts**, then **auction** the marked-up products to corporate buyers or consumers. Others, such as Ebay and Onsale, match sellers and bidders as in traditional auctions; these charge...

**32/3,K/10 (Item 1 from file: 16)**  
DIALOG(R)File 16:Gale Group PROMT(R)  
(c) 2000 The Gale Group. All rts. reserv.

07295103 Supplier Number: 61875864 (USE FORMAT 7 FOR FULLTEXT)  
**Onvia.com Debuts "Everything for Business" Auctions; Online Auction Offers More than 130 Product Categories to Help Small Businesses Reach Large Markets.**  
Business Wire, p0517  
May 3, 2000  
Language: English Record Type: Fulltext  
Document Type: Newswire; Trade  
Word Count: 491

... alternative selling channel to reach thousands of small businesses we would not have easy access to otherwise," said Scott Kusel, President of The Computer Geeks **Discount** Outlet.

Sellers can also take **advantage** of Onvia.com '**Auctions**' to reach **new customers** without costly marketing and new business campaigns, and they can gain insights into valuable customer demand.

About Onvia.com:

Onvia.com, headquartered in Seattle, WA...

**32/3,K/11 (Item 2 from file: 16)**  
DIALOG(R)File 16:Gale Group PROMT(R)  
(c) 2000 The Gale Group. All rts. reserv.

07294691 Supplier Number: 61872303 (USE FORMAT 7 FOR FULLTEXT)  
**Kennedy-Wilson Makes Strategic Investment in Global Luxury Home-Buying Site Corcoran.com.**  
Business Wire, p1273  
May 3, 2000  
Language: English Record Type: Fulltext  
Document Type: Newswire; Trade  
Word Count: 566

... the site's first outside investor providing both capital and industry expertise. Having sold over \$8 billion in real estate (both residential and commercial) via **auction**, Kennedy-Wilson complements corcoran.com's **existing broker** network with its extensive **premium** buyer's database and strong global brand recognition in the real estate industry.

"After considering several potential strategic and financial investors, we chose Kennedy-Wilson...

**32/3,K/12 (Item 3 from file: 16)**  
DIALOG(R)File 16:Gale Group PROMT(R)  
(c) 2000 The Gale Group. All rts. reserv.

06916481 Supplier Number: 58479859 (USE FORMAT 7 FOR FULLTEXT)

**(2) Company. (Daddy's Junky Music Inc.) (Brief Article) (Company Profile)**

Inc., p63

Nov 15, 1999

Language: English Record Type: Fulltext

Article Type: Brief Article; Company Profile

Document Type: Magazine/Journal; General

Word Count: 954

... 5% to 10% above Daddy's established floor.

Daddy's makes its best margins -- as high as 30% -- on the products its buys specifically to **auction**: closeouts from **regular suppliers** obtained at heavy **discounts**. "Our vendors value this as a way to increase their business with a major retailer, improve sales, and move product that is not selling through..."

**32/3,K/13 (Item 4 from file: 16)**

DIALOG(R)File 16:Gale Group PROMT(R)

(c) 2000 The Gale Group. All rts. reserv.

06550674 Supplier Number: 55396416 (USE FORMAT 7 FOR FULLTEXT)

**Lufthansa to Offer Steep Discounts Via 'Live' Internet Auction Aug. 18/19.**

Business Wire, p0635

August 10, 1999

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 316

... on Aug. 18 and 19, offering U.S. travelers pairs of Economy Class tickets to more than 20 Lufthansa destinations around the world.

In a **previous auction** in June, **buyers** purchased tickets at **discounts** ranging up to 70 percent. Two tickets from San Francisco to Munich, Germany, valued at \$2198, sold for \$1110. A Los Angeles couple purchased \$5164...

**32/3,K/14 (Item 5 from file: 16)**

DIALOG(R)File 16:Gale Group PROMT(R)

(c) 2000 The Gale Group. All rts. reserv.

06494275 Supplier Number: 55184697 (USE FORMAT 7 FOR FULLTEXT)

**FairMarket Provides Auction Services for Dell.**

PR Newswire, p3927

July 19, 1999

Language: English Record Type: Fulltext

Document Type: Newswire; Trade

Word Count: 259

... is one of the most innovative companies in the world and we are extremely pleased to work with them to expand their commerce offering with **auctions**," said Scott Randall, FairMarket founder and CEO. "Their **new** site will offer **shoppers** a convenient, secure way to sell items, buy **discounted** products and bid on systems and computer-related goods from other consumers."

The FairMarket Solution

FairMarket **AuctionPlace** is a comprehensive offering that consists of hosting and support services including fraud prevention, billing and collection, listing, category specification and customer service. FairMarket staff...

32/3,K/15 (Item 6 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
(c) 2000 The Gale Group. All rts. reserv.

05974979 Supplier Number: 53272153 (USE FORMAT 7 FOR FULLTEXT)  
**MASS MEDIA.**  
Communications Daily, v18, n227, pNA  
Nov 25, 1998  
Language: English Record Type: Fulltext  
Document Type: Newsletter; Trade  
Word Count: 1214

... licensee TV Cicade received \$100 million in equity capital from Hicks, Muse, Tate & Furst and Latin American investment firm LAIF. Funds will help firm in **auction** of pay-TV licenses.

----- Encore Media Group said its STARZ! and Encore **premium** channels grew by combined 3.5 million **subscribers** in first 3 quarters of 1998. STARZ! increased 1.7 million to 8.4 million and Encore added 1.8 million to 12.2 million...

32/3,K/16 (Item 7 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
(c) 2000 The Gale Group. All rts. reserv.

04078297 Supplier Number: 45939012 (USE FORMAT 7 FOR FULLTEXT)  
**CARRIERS LOOK TO DBS FOR QUICK VIDEO FIX**  
America's Network, p16  
Nov 15, 1995  
Language: English Record Type: Fulltext  
Document Type: Magazine/Journal; Trade  
Word Count: 816

... Group has projected today's 1.2 million DBS subscribers will balloon to 7 million by 1999. If a heavy hitter like MCI wins the **auction**, that number could go even higher 'because of marketing muscle. They would definitely market DBS to all their **existing customers** and offer special **discounts**. If they could also finance the customer's purchase of the dish, that would help the market enormously.'

Although video entertainment may be the biggest...

32/3,K/17 (Item 1 from file: 148)  
DIALOG(R)File 148:Gale Group Trade & Industry DB  
(c)2000 The Gale Group. All rts. reserv.

08334212 SUPPLIER NUMBER: 17862274 (USE FORMAT 7 OR 9 FOR FULL TEXT)  
**Carriers look to DBS for quick video fix. (direct broadcast satellite)**  
Eby, Deborah  
America's Network, v99, n22, p16(2)  
Nov 15, 1995  
LANGUAGE: English RECORD TYPE: Fulltext; Abstract  
WORD COUNT: 865 LINE COUNT: 00071

... Group has projected today's 1.2 million DBS subscribers will balloon to 7 million by 1999. If a heavy hitter like MCI wins the **auction**, that number could go even higher "because of marketing muscle. They would

definitely market DBS to all their **existing customers** and offer special **discounts** . If they could also finance the customer's purchase of the dish, that would help the market enormously."

Although video entertainment may be the biggest...

**32/3,K/18 (Item 2 from file: 148)**

DIALOG(R)File 148:Gale Group Trade & Industry DB

(c)2000 The Gale Group. All rts. reserv.

06702914 SUPPLIER NUMBER: 14351213 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**DLJ, Carroll McEntee to pay fines settling charges of false bids in Treasury market. (Donaldson Lufkin and Jenrette Securities Corp., Carroll McEntee and McGinley Securities Inc.)**

Davies, Stephen A.; Pesek, William, Jr.

Bond Buyer, v305, n29230, p2(1)

Sept 3, 1993

ISSN: 0732-0469

LANGUAGE: ENGLISH

RECORD TYPE: FULLTEXT

WORD COUNT: 567

LINE COUNT: 00044

... bids.

In other instances, according to the SEC, Pershing acquired excess amounts of securities to fill customer orders that were submitted too late for the **auction** .

**Discount Corp. of New York**, another **primary dealer** that has since been dissolved, was also involved in some of the false **bids** , the SEC said.

SEC officials said the firms' actions were mainly an effort to get around Treasury paperwork requirements that require noncompetitive tenders to list...

**32/3,K/19 (Item 3 from file: 148)**

DIALOG(R)File 148:Gale Group Trade & Industry DB

(c)2000 The Gale Group. All rts. reserv.

02194593 SUPPLIER NUMBER: 03355588 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**Cox Communications reports increases in revenues and earnings for quarter and six months ended June 30, 1984.**

PR Newswire, NYPR110

July 19, 1984

LANGUAGE: ENGLISH

RECORD TYPE: FULLTEXT

WORD COUNT: 549

LINE COUNT: 00080

... 15 percent in operating income. At the end of the quarter, basic subscribers totaled 1,505,000, up 10 percent over a year ago, and **premium service subscribers** numbered 1,588,000, up 13 percent. Mini-tiers, at 173,000, increased 103 percent.

Automobile **auction** revenues were ahead 20 percent over the 1983 second quarter, with operating income increasing 19 percent. Results included two auctions acquired last fall in Phoenix...

?

37/3,K/1 (Item 1 from file: 15)  
DIALOG(R) File 15:ABI/Inform(R)  
(c) 2000 Bell & Howell. All rts. reserv.

01558712 02-09701

**Treasury auctions: What do the recent models and results tell us?**

Nandi, Saikat

Economic Review (Federal Reserve Bank of Atlanta) v82n4 PP: 4-15 Fourth Quarter 1997

ISSN: 0732-1813 JRNL CODE: ECR

WORD COUNT: 8151

...TEXT: Omitted)

Captioned as: CHART 1

**Pre- and Postauction Markets**

The preauction when-issued market and the postauction secondary market are integral parts of the entire **auction** process and may affect the analysis of possible collusion under uniform-price and **discriminatory auctions**. If **bidders** are committed to sell the to-be-auctioned securities in the when-issued market and fail to obtain a sufficient amount of them at the auction, they will have no alternative other than...a different interest rate environment than the discriminatory auctions. Given these conditions, small differences in markups would be difficult to identify.

In terms of foreign **auction** markets, Tenorio (1993) finds that average revenues to the Zambian Treasury decreased after a switch from the uniform-price to the **discriminatory** format due to lower **bidder participation**, a result consistent with the assertions of Friedman (1960). Similarly, Umlauf (1993), in a study of **bidding** in Mexican Treasury **auctions**, finds that bidder profits, as measured by the difference between quantity-weighted average auction yield and yield in the immediate postauction secondary market, dropped substantially...

37/3,K/2 (Item 2 from file: 15)  
DIALOG(R) File 15:ABI/Inform(R)  
(c) 2000 Bell & Howell. All rts. reserv.

01309219 99-58615

**Bond market**

Anonymous

Euromoney The 1996 Guide to Italy Supplement PP: 4-7 Sep 1996

ISSN: 0014-2433 JRNL CODE: ERM

WORD COUNT: 2882

...TEXT: means that BOT certificates are now de facto not issued.

The Italian government securities are issued by means of two auction mechanisms, discriminating and marginal **auctions**. BOTs are issued through discriminating **auctions**. Bidders submit up to 3 **bids** for specific amounts of the T-bills issued at **different** discount **prices**.

**Participants** can also submit non-competitive **bids** which are accepted first. **Bids** which are not excluded are accepted in order of decreasing price (increasing yield) down to the price which just covers the amount offered (last price...

37/3,K/3 (Item 3 from file: 15)  
DIALOG(R) File 15:ABI/Inform(R)  
(c) 2000 Bell & Howell. All rts. reserv.

01276955 99-26351

**Auction format matters: Evidence on bidding behavior and seller revenue**  
Feldman, Robert A; Reinhart, Vincent  
International Monetary Fund Staff Papers v43n2 PP: 395-418 Jun 1996  
ISSN: 0020-8027 JRNL CODE: IMF  
WORD COUNT: 6489

**ABSTRACT:** The importance of **auction** format on **bidding** behavior and **seller** revenue is evaluated, focusing on differences in performance under uniform-price and **discriminatory-price** formats. The analysis is based on a standard benchmark model from which empirically testable hypotheses are derived on the optimal amount of bid shading that...

**TEXT:** Headnote: This paper evaluates the importance of **auction** format on **bidding** behavior and **seller** revenue, focusing on differences in performance under uniform-price and **discriminatory-price** formats. The analysis is based on a standard benchmark model from which empirically testable hypotheses are derived on the optimal amount of bid shading that ...

... in the literature, revenue to the seller is equal under the two major auction formats; and optimal bidders reveal their true valuations in single-price **auctions**. Employing the latter result directly, we use the data on the 10 uniform-price **auctions** to estimate the distribution of **bidder** valuations, which we assume holds in the 35 **discriminatory-price auctions**. We then compare the optimally shaded valuations, as predicted by **bidding** theory, with the actual results of the discriminatory-price auctions.

By and large, we observe lower bids-that is, more bid shading-in the discriminatory...tractability.8

We proceed by deriving an expression for how much an individual bidder would optimally shade his bid below his true valuation under the **discriminatory-price** format. The expected gain ( $n$ ) from **participating** in the **auction** depends on the product of two terms representing, respectively, ( 1 ) the probability that the bid,  $b$ , will win, requiring that it be above the stopout...

... market consensus. Also, in this simple model we assumed that only one item was being sold and that the number of bidders was fixed. Intuitively, **auctioning** multiple items allows a **bidder** to shade more in a **discriminatory-price auction**, because to get one of the  $k$  items for sale, he or she does not have to beat the  $n-1$  other bidders, only  $n$ ...When all bids are considered, the variance is higher under the discriminatory-price format. Inspection of the lower panel of Figure 2 suggests that minimum **bids** at times fell well below those for the uniform-price **auctions**-that is, **participants** at **discriminatory-price auctions** often placed quite low, off-market, **bids**, presumably in the hope of catching a bargain. In the event, no such bargains were evident, and the average variance of winning bids was markedly...which were provided in equations (4) and (5), when  $n = 18.6$  and  $k = 2.03$ . The former value is simply the average number of **participants** in the 35 **discriminatory-price auctions**, while the latter is the multiplicative term that adjusts the variances of the optimally shaded distribution in the upper



panel so that the variances of...

... testing for equality of means and of distributions. Table 3 records summary statistics for this procedure when applied to each of the 35 discriminatory-price **auctions**. These results suggest that theory holds up well, in the sense that **bidders** do, in fact, shade their **bids** in **discriminatory - price auctions**. However, they tend, and by statistically significant amounts, to shade more than would be suggested by the basic theoretical model. In 24 of the 35...

...Because the benchmark model makes the best case for revenue equivalence, bid shading in excess of what the theoretical model implies would suggest that these **discriminatory-price auctions** resulted in less revenue to the **seller** than uniform-price **auctions** would have produced.

Of course, a main reason for rejecting the hypotheses derived from the benchmark model could be that the simplifying assumptions of private...

...or the other produces higher revenues.

#### V. Concluding Remarks

We conclude from our findings that the benchmark model offers useful and empirically valid insights into **bidding** behavior. What is particularly relevant and apparent in this regard is that **auction participants** do, in fact, shade their **bids** under a **discriminatory-price** format, as the basic model would suggest. At least as important, we also provide

**37/3,K/4 (Item 4 from file: 15)**  
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01187272 98-36667

**The SO2 emissions trading program: Cost savings without allowance trades**  
Burtraw, Dallas  
Contemporary Economic Policy v14n2 PP: 79-94 Apr 1996  
ISSN: 1074-3529 JRNL CODE: CPI  
WORD COUNT: 7143

...TEXT: many analysts criticize the EPA's allowance auction as a poorly designed institution that generates prices below those emerging from bilateral trades between utilities. The **auction** design set forth in statute is a **discriminating price**, sealed bid **auction** that provides strategic incentives for **bidders** to underbid their reservation prices (Cason, 1993).

The other institutional explanation involves another of the subtle provisions of Title IV-the "extra" 3.5 million...

**37/3,K/5 (Item 5 from file: 15)**  
DIALOG(R)File 15:ABI/Inform(R)  
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01074052 97-23446

**There's more than one way to sell a security: The Treasury's auction experiment**  
Mester, Loretta J  
Business Review (Federal Reserve Bank of Philadelphia) PP: 3-17 Jul/Aug

1995

ISSN: 0007-7011 JRNL CODE: FRB

WORD COUNT: 7841

...TEXT: Commission.(1) Following one of their recommendations, in September 1992, the Treasury began selling two-year and five-year Treasury notes using a uniform-price **auction**, in which all winning **bidders** pay the same price, rather than a **discriminatory -price auction**, in which winning **bidders** pay what they bid.

The choice of **auction** format is important, since the format can affect the amount of revenue the government will raise in an auction and, therefore, the government's borrowing...of dealers who pool bids and place large orders will be higher.(14)

Rationale for the Experiment. One rationale for switching to a uniform-price **auction** from a **discriminatory -price auction** is that, when **bidders** are risk-neutral, the uniform-price **auction** is less susceptible to the winner's curse. In a **discriminatory -price auction**, a risk neutral **bidder** will tend to shade down her bid more than in a uniform-price **auction** because her bid is also what she pays when she wins; if other bidders estimate the value to be much lower than she does, the...pay this high price. (Recall, she only has to pay the lowest accepted bid.) In other words, bidders bid more aggressively in the uniform-price **auction** than in the **discriminatory -price auction**. In fact, when **bidders** are risk-neutral and only one object is being sold, the price paid by the winner in a uniform-price **auction** is higher on average than the price paid by the winner in a discriminatory-price auction. This theoretical result for auctions of single objects plays...

... object auctions in which bidders desire more than one unit of the item being auctioned, but there has been little analysis of these kinds of **auctions**. As in single-unit **auctions**, a uniform-price **auction** of multiple units will yield greater revenue on average than a **discriminatory - price auction** when each **bidder** just demands a single unit. But this is not generally true when bidders want to win more than one unit. In auctions where bidders demand...

...limits set by the Treasury). In fact, Bikhchandani and Huang (1993) have argued that it might even be easier to sustain collusion in uniform-price **auctions** than in **discriminatory price auctions**.(17)

Manipulation by a single **bidder** is more likely to be a potential problem than collusion by a group of bidders. So long as there are those who need securities but...

...the market, the auction format might have an influence on the ability to (illegally) do so. Bikhchandani and Huang (1993) argue that a uniform-price **auction** is more vulnerable to manipulation than a **discriminatory -price auction**, since in the **discriminatory -price auction** if a **bidder bids** high to corner the market, he has to pay what he bid. This also means it is more costly in discriminatory-price auctions to build...

... authorities switched to a discriminatory-price format with the 43rd auction. The difference between the supply and demand of currency grew so much that the **auctions** had to be suspended after the 68th. Tenorio found that uniform-price **auctions** yielded higher revenues than **discriminatory - price auctions** because there was greater **participation** (as measured by the number of **bids** and the total quantity demanded); had participation been the same in both **auctions**, his results suggest there would have been

no significant difference in revenues. Tenorio also found that it takes a while for bidders to adapt to...

... 1992 to August 1993 using data on all the transactions in the when-issued market executed by Garban, one of the four most active interdealer **brokers** in the U.S. Treasury market. They found that for **discriminatory - price auctions**, the average accepted yield in the **auction** was higher than the average rate in the when-issued market during the half-hour before the auction, but for uniform-price auctions, there was price auctions, volatility increased after the auction. This suggests that more information is released in the when-issued market before the **auction** when the uniform-price format is used than when the discriminatory-price format is used. And it suggests that in **discriminatory - price auctions**, **dealers** are better able to trade strategically, masking their private information (that is, knowledge of their customers' orders) prior to the **auction** and trading on it after the auction, thereby inducing higher volatility in the when-issued rates.(27) The greater level of information released in uniform...

...period fell to about 66 to 67 percent of total private awards from about 69 percent in the June 1991 to August 1992 period when **discriminatory - price auctions** were used; the share to their **customers** rose to 25 to 26 percent from about 21 percent.(29) (Note, however, that these changes aren't statistically significant.) By contrast, the awards to...

... uniform-price auction format has produced higher revenues for the Treasury, they also have not shown that it has resulted in lower revenues than the **discriminatory - price auction**. And there is some evidence that **participation** is higher under the uniform-price format, which might ultimately lead to higher revenues for the Treasury. As the experiment continues and further data are collected, perhaps a more definitive answer can be obtained.

#### Steeper Bids Can Curtail Price Competition in Uniform-Price Multiple-Unit Auction

How might a **discriminatory - price auction** of multiple units generate more revenue for the **seller** than a uniform-price **auction**, even though in **auctions** of single items (with risk-neutral bidders) the opposite revenue ranking occurs? Back and Zender (1993) point out that when bidders demand more than one unit, they can play strategies in uniform-price **auctions** that essentially curtail price competition. The reduced price competition can lead to diminished revenue for the **seller**, making the **discriminatory - price auction** a better choice in multiple-unit **auctions**.

A simple example illustrates this. Suppose there are four martini glasses being sold via a uniform-price auction to two bidders, Nick and Nora, and ... An Exploratory Model of Treasury Bill Markets," Review of Financial Studies, 2 (1989), pp. 311-39.

17 Bikhchandani and Huang (1993) point out that in **discriminatory - price auctions**, any profitable collusive arrangement involves every **bidder** agreeing to bid only at low prices. But then deviating and **bidding** a slightly higher (but still low) price yields a short-term gain. Profitable collusion in the uniform-price auction need not involve all bidders bidding ...

... 23 He focused on one-month peso-denominated zero-coupon securities

called CETES. See Steven R. Umlauf, "An Empirical Study of the Mexican Treasury Bill **Auction** ," Journal of Financial Economics, 33 (1993), pp. 313-40.

24 In the 181 **discriminatory - price auctions** analyzed, aggregate competitive **bidder** profits averaged \$36,000 per **auction** , with the six largest bidders earning over 80 percent of total competitive **auction** profits. But in the 26 uniform-price auctions analyzed, aggregate competitive bidder profits averaged -\$3000 per auction (essentially zero). And the average profits of the...

**37/3,K/6 (Item 6 from file: 15)**  
DIALOG(R)File 15:ABI/Inform(R)  
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00847614 94-97006

**Second price auctions without expected utility**

Neilson, William S

Journal of Economic Theory v62n1 PP: 136-151 Feb 1994

ISSN: 0022-0531 JRNL CODE: IJET.

...ABSTRACT: the number of bidders or changing the reserve price, changes the equilibrium bid function. Finally, the optimal reserve price is dependent on the number of **bidders** , and expected revenue in the 2nd **price auction** is **different** from expected revenue from an ascending bid **auction** . All of these results are different from the corresponding results in expected utility theory. ...

**37/3,K/7 (Item 7 from file: 15)**  
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00844464 94-93856

**Will the information superhighway be the death of retailing?**

Sherman, Stratford

Fortune v129n8 PP: 98-110; Asian 56-64; European 60-68 Apr 18, 1994

ISSN: 0015-8259 JRNL CODE: FOR

WORD COUNT: 3250

...TEXT: boards where people pool their knowledge by candidly discussing their experiences with products. Do that, and watch the mystery and cachet of smoke-and-mirrors **merchandising** evaporate. Products get clearly **differentiated** by quality, **price** , and details of delivery, while selling becomes an **auction** . Says Gates: "There will be an efficiency in this marketplace that people have to wrap their minds around."

The requirements for this Tomorrowland would be...

**37/3,K/8 (Item 8 from file: 15)**  
DIALOG(R)File 15:ABI/Inform(R)  
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00823720 94-73112

**Auctions: Theory and applications**

Feldman, Robert A; Mehra, Rajnish

International Monetary Fund Staff Papers v40n3 PP: 485-511 Sep 1993

ISSN: 0020-8027 JRNL CODE: IMF  
WORD COUNT: 9987

...TEXT: units are auctioned at the same time, this procedure is called a discriminatory auction. The sealed bids are sorted from high to low, and the **auctioned** items are awarded at the highest bid prices until the supply is exhausted. Thus, the **auction** discriminates among **bidders** in the sense that they can pay **different prices** according to the amount they bid (see Figure 1c). (Figure 1c omitted) The terminology "first-price" or "discriminatory" **auction** follows the academic literature. In the financial community--and here is where one source of confusion may arise--this type of auction is referred to...it reveals information about rival bidders' valuations and permits a dynamic updating of an individual bidder's personal valuation. This updating results in more aggressive **bidding**, thereby raising the **seller**'s revenue. A first-price (**discriminatory**) **auction** awards the object to the highest **bidder**. Thus, other **bidders** place a lower value on the object, reducing the profit that the winning bidder can hope for in the resale market. In response, bidders in...

37/3,K/9 (Item 9 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
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00759230 94-08622

**Auctions: A sampling of techniques**  
Feldman, Robert A; Mehra, Rajnish  
Finance & Development v30n3 PP: 32-35 Sep 1993  
ISSN: 0015-1947 JRNL CODE: FID  
WORD COUNT: 3276

...ABSTRACT: are the most familiar type. Starting with a low first bid, the item is sold to the highest bidder. In a Dutch or descending-price **auction**, the price starts high and lowers until a **buyer** claims the item. A first or **discriminatory - price auction** is a sealed-bid **auction** wherein the highest **bidder** is awarded the item. A second or uniform-price **auction** is a sealed-bid **auction** wherein the highest bidder is awarded the item at the second highest price. In a double auction, both sellers and buyers submit bids, and sell...

...TEXT: The sealed bids are sorted from high to low, and items are awarded at: the highest bid prices until the supply is exhausted. Thus, the **auction** discriminates between **bidders** in the sense that they can pay **different prices** according to the amount they bid. Confusion sometimes arises because, in the financial community, this **auction** format is frequently referred to as an English auction; in the United Kingdom, it is called an American auction; it is also referred to as...

37/3,K/10 (Item 10 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
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00750530 93-99751

**Using auctions to allocate and price long-term credit**  
Guasch, J Luis; Glaessner, Thomas  
World Bank Research Observer v8n2 PP: 169-194 Jul 1993  
ISSN: 0257-3032 JRNL CODE: WBA  
WORD COUNT: 11799

...TEXT: The options are not equivalent in many ways, and tradeoffs among them need to be evaluated for the particular circumstances to which they will apply.

\* **Discriminatory price auction** . In **discriminatory price auctions** , **bidders** submit sealed **bids** , and funds are distributed to the highest bidders down to the level of the bid at which funds are exhausted or the floor price--the...

... the sale of U.S. Treasury bills. A discriminatory price auction is called a first-price auction if there is a single item to be **auctioned** .

\* **Uniform price auction** . Uniform price **auctions** work in the same way as **discriminatory price auctions** except that all winning **bidders** pay the same implicit or explicit interest rate--usually the rate just below the cutoff bid or below the lowest accepted bid, whichever is greater...

... with all funds allocated in a single round. In sequential auctions, funds are allocated one winner per round, sequentially through several distinct rounds of **auctions** , until the funds are exhausted. For example, in a sequential, **discriminatory price auction** , the **bidder** who submits the highest interest rate bid is allocated the requested funds in each round. The remaining bidders then submit new sealed bids, and new... problems in auctions, and repayments rates, so far, have been near perfect.

Some fine-tuning is still needed in such areas as criteria for comparing **bids** across heterogeneous products, the selection of floor **prices** for **different** products, and the number of **bids** allowed per **participant** and product. All things considered, however, the **auctioning** of development credit has been a clear and significant improvement over previous methods of allocating credit.

#### RECOMMENDATIONS

To summarize, auctions ought to be considered when...S. Treasury 1992).  
 ORAL OR OPEN VERSUS SEALED-BID AUCTIONS. The open or English auction yields higher expected returns than the sealed-bid, uniform price **auction** , which in turn yields higher expected returns than the sealed-bid, **discriminatory price auction** . Moreover, if **bidders** ' beliefs are correlated or statistically dependent--as is the case for credit **auctions** --particularly if bidders are uncertain about their valuations (as with credit lines), expected revenue for the seller is higher under an open or English auction ...

... the course of an open auction. That opportunity is denied under sealed-bid auctions. The result: more aggressive bidding and higher expected rates under English **auctions** .

SIMULTANEOUS VERSUS SEQUENTIAL **AUCTIONS** . Sequential first-price and second-price **auctions** generally yield greater expected revenues than their simultaneous counterparts, the **discriminatory price** and uniform price **auctions** . The reason: in sequential **auctions** **bidders** are forced at each round to disclose some information to the other bidders. The result: because **auctions** that provide quality information (information affecting bidders' valuations and known to be known by the auctioneer) to bidders before the event generally benefit the seller...

... generate greater expected revenue than their simultaneous counterparts (Milgrom and Weber 1989). (12)

UNIFORM VERSUS DISCRIMINATORY PRICING. Because of the interdependence of valuations in credit **auctions** (affiliated valuations), English **auctions** yield higher expected revenue than uniform price **auctions**, which yield higher expected revenue than sealed-bid, **discriminatory price auctions**. The reason: under **discriminatory price auctions**, bidders pay what they bid, whereas in an English or uniform price **auction**, bidders' payments depend not only on their own bids but also on their private valuation, which affects the bids of others (affiliated valuation). That extra effect tends to increase the slope of the marginal...

...there is no unambiguous ranking among types of auctions in an affiliated valuations

EFFICIENCY. Because they elicit true valuations, English, second-price, and uniform price **auctions** tend generally to result in efficient allocations, with credit allocated to **bidders** with the highest valuations. Under first-price or **discriminatory price bidding**, shading a bid below one's reservation price decreases both the probability of winning and the price to be paid. Under second-price or uniform...

37/3,K/11 (Item 11 from file: 15)  
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00660004 93-09225

**How the U.S. Treasury should auction its debt**

Chari, V V; Weber, Robert J

Federal Reserve Bank of Minneapolis Quarterly Review v16n4 PP: 3-12 Fall 1992

ISSN: 0271-5287 JRNL CODE: FMQ

WORD COUNT: 7109

...TEXT: by the highest rejected bidder. The theory can be extended to cover these situations, and the results are the same: the uniform-price (second-price) **auction** dominates the **discriminatory (first-price) auction**.

Matters are more complicated when **bidders** have demand schedules expressing the number of units they are willing to buy at various prices. While the theory has not been completely developed for...

...neutral. Now let's see what happens if they're risk-averse.

If bidders are risk-averse in the independent private-values context, then the **seller**'s expected revenues are higher in a first-price (**discriminatory**) **auction** than in a second-price (uniform-price) **auction**. The reason is that submitting one's true valuation remains a dominant strategy in the second-(or uniform-) price **auction**. Risk-aversion implies a willingness to pay an actuarially unfair premium to avoid large losses. Thus, in a first-price (**discriminatory**) **auction**, risk-averse **bidders** are willing to pay more than risk-neutral bidders to avoid the large loss from failing to win the object. (See Matthews 1983 for an...amount paid by the bidder (since we have assumed no change in the behavior of other bidders). In contrast, in a first-price or **discriminatory auction**, bidder I now shades his or her bid further down to just above the bid of the next-highest **bidder**. Thus, whenever the **bidder** would have won, a first-price or **discriminatory auction** yields a gain to information and a

second-or uniform-price **auction** does not.

In situations where the bidder would have lost, matters are more complicated. Once bidder 1 acquires the information, that bidder is willing to...

... bidder 1 would have lost without the information and is happy to do so with the information. Since bid-shading is more extreme with first-price ( **discriminatory** ) **auctions** , the probability that some **bidders** will bid higher than **bidder** 1's estimate is smaller in those **auctions** than in second-(or uniform-) price **auctions** . The potential gains to changing the strategy are therefore higher.

Next, consider the case when some bidders' bids are between bidder 1's estimate and...

37/3,K/12 (Item 12 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
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00643637 92-58577

**An Efficient Concurrent Auction Model for Firm Natural Gas Transportation Capacity**

Hogan, William W.

INFOR v30n3 PP: 240-256 Aug 1992

ISSN: 0315-5986 JRNL CODE: IOR

WORD COUNT: 9827

...TEXT: in that priority block.(3) Hence the transportation capacity bid is for volume and reliability, not for just a place in the queue. And the **bidders** would offer and perhaps pay **different prices** for **different** degrees of reliability.

**A CONCURRENT AUCTION BIDDING FRAMEWORK**

The competitive **bidding** model uses priority blocks to deal with uncertainty in pipeline capacity availability. The bids for capacity in the network apply to different paths and reliability...

37/3,K/13 (Item 13 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
(c) 2000 Bell & Howell. All rts. reserv.

00604216 92-19319

**Collectomania**

Anderson, Kim

Insurance Review v53n1 PP: 40-42 Mar 1992

ISSN: 0749-8667 JRNL CODE: III

WORD COUNT: 2284

...TEXT: experienced insurers and appraisers, and each one will give you a different definition of that term. Is 'fair market' what the piece would bring at **auction** ? A depreciated value? Is it the retail price? What is 'fair market' when you're doing business with a **dealer** who purchases at a **different price** than a collector? My experience is that the insurers are no more capable of defining what their own policies say than anyone on the outside...



**37/3,K/14 (Item 1 from file: 275)**

DIALOG(R)File 275:Gale Group Computer DB(TM)  
(c) 2000 The Gale Group. All rts. reserv.

01776536 SUPPLIER NUMBER: 16891196

**Pagers: the wireless alternative. (Tutorial)**

Pepper, Jon

PC Laptop Computers Magazine, v7, n4, p40(4)

April, 1995

DOCUMENT TYPE: Tutorial

ISSN: 1043-1314

LANGUAGE: ENGLISH

RECORD TYPE: ABSTRACT

...ABSTRACT: more convenient, but is a new and immature technology; only a few products are currently on the market. New services are appearing as the government **auctions** bandwidth in the narrowband personal communications services (PCS) spectrum. There are few differences among pagers from **different vendors**. **Prices** for service vary widely and may be flat rate or based on the length of messages.

**37/3,K/15 (Item 2 from file: 275)**

DIALOG(R)File 275:Gale Group Computer DB(TM)  
(c) 2000 The Gale Group. All rts. reserv.

01526786 SUPPLIER NUMBER: 12356868 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**Treasury automates as Salomon settles scandal. (U.S. Treasury's Bureau of Public Debt accepts electronic bid submissions, Salomon Brothers fined \$290 million for bid violations)**

Wall Street & Technology, v9, n11, p6(2)

July, 1992

ISSN: 1060-989X

LANGUAGE: ENGLISH

RECORD TYPE: FULLTEXT; ABSTRACT

WORD COUNT: 544 LINE COUNT: 00042

... auction, sometimes called a Dutch auction, in which all bidders pay the same price for a given security. Under the present multiple-price, sealed-bid **auction**, everyone pays a **different price**, and some **bidders** pay a higher price than the consensus price. One superior method, according to the report, may be an ascending-price, open auction system, which will...

**37/3,K/16 (Item 1 from file: 16)**

DIALOG(R)File 16:Gale Group PROMT(R)  
(c) 2000 The Gale Group. All rts. reserv.

05085213 Supplier Number: 47465414 (USE FORMAT 7 FOR FULLTEXT)

**TERRESTRIAL:FCC**

Mobile Communications Report, v11, n12, pN/A

June 16, 1997

Language: English Record Type: Fulltext

Document Type: Newsletter; Trade

Word Count: 280

(USE FORMAT 7 FOR FULLTEXT)

TEXT:

...think tank held briefing recently to explain researchers' view that auctions have driven decision-making on standards and other issues from FCC

process to marketplace. **Auctions** have "privatized decisions previously made by the Commission," said Pitsch, FCC staff **member** in 1980s. He attributed **price differences** between more costly PCS C-block and earlier A- and B-blocks to fact that C-block licensees were offered more favorable financial terms, which...

37/3,K/17 (Item 2 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
(c) 2000 The Gale Group. All rts. reserv.

05074787 Supplier Number: 47450114 (USE FORMAT 7 FOR FULLTEXT)  
**FCC ACTIVITIES:Despite concerns about licensees' financial problems**  
Warren's Telecom Regulation Monitor, v2, n23, pN/A  
June 9, 1997  
Language: English Record Type: Fulltext  
Document Type: Newsletter; Trade  
Word Count: 281

(USE FORMAT 7 FOR FULLTEXT)

TEXT:

...tank held briefing June 5 to explain researchers' view that auctions have driven decision-making on standards and other issues from FCC process to marketplace. **Auctions** have "privatized decisions previously made by the Commission," said Pitsch, FCC staff **member** in 1980s. He attributed **price differences** between more costly PCS C-block and earlier A- and B-blocks to fact that C-block licensees were offered more favorable financial terms, which...

37/3,K/18 (Item 3 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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05072654 Supplier Number: 47446967 (USE FORMAT 7 FOR FULLTEXT)  
**TELEPHONY: Despite concerns about licensees' financial problems, FCC's auction process is far superior to other spectrum assignment methods such as lotteries and comparative hearings because it's procompetitive, deregulatory and more efficient, Hudson Institute senior researchers Thomas Duesterberg and Peter Pitsch conclude in new report.**  
Communications Daily, v17, n109, pN/A  
June 6, 1997  
Language: English Record Type: Fulltext  
Document Type: Newsletter; Trade  
Word Count: 280

(USE FORMAT 7 FOR FULLTEXT)

TEXT:

...think tank held briefing Thurs. to explain researchers' view that auctions have driven decision-making on standards and other issues from FCC process to marketplace. **Auctions** have "privatized decisions previously made by the Commission," said Pitsch, FCC staff **member** in 1980s. He attributed **price differences** between more costly PCS C-block and earlier A- and B-blocks to fact that C-block licensees were offered more favorable financial terms, which...

37/3,K/19 (Item 4 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)

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03829421 Supplier Number: 45473440

**Surprise surge in May COE prices**

Business Times (Singapore), p2

April 14, 1995

Language: English Record Type: Abstract

Document Type: Newspaper; Trade

**ABSTRACT:**

...is "surprising" despite controls on car loans and measures to curb speculation. The Automobile Association cited that partial blame should be placed on distributors. These **distributors** guarantee fixed prices for COEs and will absorb the **difference** if COE **prices** are higher and give rebates if premiums are lower. These encouraged car purchases. Another factor is the present **auction** system which employed the "lowest successful bid method". The May COE price for the medium car category (1,001-1,600cc) was S\$ 50,288...

**37/3,K/20 (Item 1 from file: 160)**

DIALOG(R)File 160:Gale Group PROMT(R)

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00723012

**The FCC has rejected RCA Americom's Sotheby Parke Bernet auction, ruling that as a common carrier, RCA could not discriminate against customers by charging different prices for leasing the same bird.**

Advertising Age February 1, 1982 p. 83

**37/3,K/21 (Item 1 from file: 148)**

DIALOG(R)File 148:Gale Group Trade & Industry DB

(c)2000 The Gale Group. All rts. reserv.

10172599 SUPPLIER NUMBER: 20423337 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**Auctioning conservation contracts: a theoretical analysis and an application.**

Latacz-Lohmann, Uwe; Hamsvoort, Carel Van der

American Journal of Agricultural Economics, v79, n2, p407(12)

May, 1997

ISSN: 0002-9092 LANGUAGE: English RECORD TYPE: Fulltext; Abstract

WORD COUNT: 7511 LINE COUNT: 00622

... Mathematical Expression Omitted) in the case of risk aversion. This payment scheme serves as reference against which the following schemes will be compared.

1. Simple **auction** (uniform bid cap): Farmers submit sealed **bids** to the government prompting the amount of payment needed for **participation**. The winning **bidders** receive the payment stated in their **bids** (**discriminatory first-price**, sealed-bid **auction**). Within this variant two scenarios are considered:

1a. Targeting enrollment: The government accepts **bids**, starting with the lowest bid, until the budget is exhausted. This implies that the government's objective is to maximize enrollment with a limited amount...

**37/3,K/22 (Item 2 from file: 148)**

DIALOG(R)File 148:Gale Group Trade & Industry DB

(c)2000 The Gale Group. All rts. reserv.

09659359 SUPPLIER NUMBER: 19435093 (USE FORMAT 7 OR 9 FOR FULL TEXT)  
**A price for every customer. (price discrimination) (Mastering Management, part 14)**

Kamshad, Kimya

Financial Post, pM12(2)

Feb 1, 1997

ISSN: 0015-2021 LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 1774 LINE COUNT: 00137

... not lost by charging too-high a price to customers who would not be willing to pay as much.

One strategy to achieve first-degree **price discrimination** is to sell to the highest **bidders** through sealed-bid **auctions**. The **auction** approach is best-suited for situations where the volume of sales is low (usually clue to scarcity of the product), where there are many potential ...

**37/3,K/23 (Item 3 from file: 148)**

DIALOG(R)File 148:Gale Group Trade & Industry DB

(c)2000 The Gale Group. All rts. reserv.

09487364 SUPPLIER NUMBER: 18318759 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**Auction rules under scrutiny. (sulfur dioxide pricing system)**

Coal & Synfuels Technology, v17, n13, p5(1)

April 1, 1996

ISSN: 0883-9735 LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 333 LINE COUNT: 00028

EPA is considering changing the **auction** to a single price **auction** with each **bidder** paying the market clearing price. The current system is a **price discriminating auction**, where **bidders** determine the bid price.

The proposed changes are in response to a critical General Accounting Office Report last year (C&ST 1/16/95) which...

**37/3,K/24 (Item 4 from file: 148)**

DIALOG(R)File 148:Gale Group Trade & Industry DB

(c)2000 The Gale Group. All rts. reserv.

09367106 SUPPLIER NUMBER: 19210084 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**Commodity price dynamics and anticipated shocks.**

Lai, Ching-chong; Hu, Shih-wen; Wang, Vey

American Journal of Agricultural Economics, v78, n4, p982(9)

Nov, 1996

ISSN: 0002-9092 LANGUAGE: English RECORD TYPE: Fulltext; Abstract

WORD COUNT: 5022 LINE COUNT: 00434

TEXT:

...will exhibit an overshooting following an unanticipated expansion in money stock. Frankel (1986) assumes that there are two types of goods, namely, agricultural products (or "**auction**" commodities) and manufactured products (or "**customer**" commodities), in the domestic economy. These two commodities have **different price** adjustment mechanisms: manufactured product prices adjust sluggishly while agricultural product prices adjust instantaneously. In view of the fact that some empirical evidence (Frankel

and Hardouvelis...

37/3,K/25 (Item 5 from file: 148)  
DIALOG(R)File 148:Gale Group Trade & Industry DB  
(c)2000 The Gale Group. All rts. reserv.

09328958 SUPPLIER NUMBER: 19073441 (USE FORMAT 7 OR 9 FOR FULL TEXT)  
**A comparison of prices brought by English auctions and private negotiations.**  
Lusht, Kenneth M.  
Real Estate Economics, v24, n4, p517(14)  
Winter, 1996  
ISSN: 1080-8620 LANGUAGE: English RECORD TYPE: Fulltext; Abstract  
WORD COUNT: 4860 LINE COUNT: 00422

... None of this is lost on market participants. There is a perception shared by sellers, buyers, and agents alike that in this kind of environment, **auctions** are likely to produce higher prices than private negotiations (Maher 1989b). In addition, the author surveyed eighteen **agents** in Melbourne concerning their estimate of any **price difference** between **auctions** and private sales. All of the **agents** said that **auctions** would produce higher prices, with a mean estimated difference of 11% and a range of 5% - 15%. These perceptions and estimates however, had not been...price. The models were also reestimated using only the 219 "fresh" listings, omitting the 24 previously exposed properties. The results were approximately the same, with **auctions** producing an 8.6% price premium. These outcomes are consistent with theoretical expectations, the expectations from surveys of **buyers** and **sellers**, and are within the range of **price differences** predicted by the survey of **agents**.

The Puzzle of Market Segmentation

There remains the question of why a substantial percentage of sellers chose private listings in this market. A possible explanation...

37/3,K/26 (Item 6 from file: 148)  
DIALOG(R)File 148:Gale Group Trade & Industry DB  
(c)2000 The Gale Group. All rts. reserv.

08695620 SUPPLIER NUMBER: 18319244 (USE FORMAT 7 OR 9 FOR FULL TEXT)  
**N.J. thrift eyes unusual buyback to trim capital and lure buyers. (Pulse Bancorp, South River, NJ, wants to buy back 1 million shares to attract purchasers) (Brief Article)**  
Rhoads, Christopher  
American Banker, v161, n99, p12(1)  
May 23, 1996  
DOCUMENT TYPE: Brief Article ISSN: 0002-7561 LANGUAGE: English  
RECORD TYPE: Fulltext  
WORD COUNT: 308 LINE COUNT: 00026

... market and would more dramatically affect the stock price, said Samuel J. Malizia, Pulse's lawyer. Brokerage fees would also be large.

A straight Dutch **auction**, which is not allowed in this country, allows the **buyer** to pay **different** share **prices** based on what the **sellers** offer.

37/3,K/27 (Item 7 from file: 148)  
DIALOG(R)File 148:Gale Group Trade & Industry DB

(c)2000 The Gale Group. All rts. reserv.

07942823 SUPPLIER NUMBER: 17088966 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**On the dotted line. (autograph collecting)**

Loudon, Christopher

Financial Post, p26(2)

May 27, 1995

ISSN: 0015-2021

LANGUAGE: ENGLISH

RECORD TYPE: FULLTEXT

WORD COUNT: 2698

LINE COUNT: 00207

... yes, but what are they worth now?' I told him we had only a rough idea; he said that's preposterous. But with so many **dealers** and so many **different prices** realized at **auctions** around the world, it was impossible to keep track.

"Then I found out that Ralph Roberts was not only author of some 25 books, but...

**37/3,K/28 (Item 8 from file: 148)**

DIALOG(R)File 148:Gale Group Trade & Industry DB

(c)2000 The Gale Group. All rts. reserv.

07716814 SUPPLIER NUMBER: 16736195 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**Search at wholesale auto auctions.**

Genesove, David

Quarterly Journal of Economics, v110, n1, p23(27)

Feb, 1995

ISSN: 0033-5533

LANGUAGE: ENGLISH

RECORD TYPE: FULLTEXT; ABSTRACT

WORD COUNT: 9574

LINE COUNT: 00839

... specific to the seller and uncorrelated with the attributes of the car. These may reflect differential search costs, such as the transportation cost between the **seller**'s lot and the **auction**, deviations from the average retail **prices** due to **differences** in local market conditions, or even private information that **sellers** hold. Thus,

(14)  $E[I[\text{where}|y,z] = \text{prob}\{y[\text{greater than}] R[\text{where}|y,z]\}$   
 $= [\Phi]([[\Delta].\text{sub}.0] + [[\Delta].\text{sub}.1]y + [[\Delta]...$

**37/3,K/29 (Item 9 from file: 148)**

DIALOG(R)File 148:Gale Group Trade & Industry DB

(c)2000 The Gale Group. All rts. reserv.

06512295 SUPPLIER NUMBER: 13523366 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**Now is the winter of our dish content. (telecommunications agenda and satellite communications legislation and regulation expected in 1993)**

Manuta, Lou

Satellite Communications, v17, n2, p21(1)

Feb, 1993

ISSN: 0147-7439

LANGUAGE: ENGLISH

RECORD TYPE: FULLTEXT

WORD COUNT: 716

LINE COUNT: 00058

... of primary concern. Also, don't be surprised if further legislation is introduced to refine provisions of the Cable Act, including the right of satellite **distributors** to sue satellite carriers for unlawful programming **price discrimination**. While **user** fees and spectrum **auction** authorization may receive less support from Congressional leadership than in the past, they will likely be brought out into the spotlight should additional funding be...

**37/3,K/30 (Item 10 from file: 148)**

DIALOG(R)File 148:Gale Group Trade & Industry DB  
(c)2000 The Gale Group. All rts. reserv.

05754768 SUPPLIER NUMBER: 11772665 (USE FORMAT 7 OR 9 FOR FULL TEXT)  
**Will auction changes bring more uncertainty? (changing the way the government's debt are sold)**

Tanzy, Kathleen

Futures (Cedar Falls, Iowa), v21, n2, p12(1)

Jan 15, 1992

ISSN: 0746-2468

LANGUAGE: ENGLISH

RECORD TYPE: FULLTEXT

WORD COUNT: 844 LINE COUNT: 00068

... the accepted yields.

This differs from the current multi-price auction system, which allows Treasury to reap revenue benefits from the full spectrum of price **bids** at an **auction**. (Treasury allocates securities beginning with the highest bid until all securities are distributed. **Buyers** pay **different prices** for the same security, based on their bid.)

The advantage of the Dutch **auction** is that, by eliminating the risk of one bidder paying more than other bidders, it encourages more aggressive participation in the auction and, thus, may...

**37/3,K/31 (Item 11 from file: 148)**

DIALOG(R)File 148:Gale Group Trade & Industry DB  
(c)2000 The Gale Group. All rts. reserv.

04841257 SUPPLIER NUMBER: 09019776 (USE FORMAT 7 OR 9 FOR FULL TEXT)  
**Treasury bills. (government of Canada bills)**

Carter, Barbara

Canadian Banker, v97, n6, p64(7)

Nov-Dec, 1990

ISSN: 0822-6830

LANGUAGE: ENGLISH

RECORD TYPE: FULLTEXT

WORD COUNT: 3101 LINE COUNT: 00232

... debt is financed through treasury bills. Not bearing a specific contractual rate of interest, they are sold at a discount with the yield to the **purchaser** based on the **difference** between the **price** paid and the par value if held to maturity. Currently, six provinces also conduct weekly **auctions** for three-month treasury bills, but on average since 1979, treasury bills and short-term paper accounted for only six per cent of their total...

**37/3,K/32 (Item 12 from file: 148)**

DIALOG(R)File 148:Gale Group Trade & Industry DB  
(c)2000 The Gale Group. All rts. reserv.

04143969 SUPPLIER NUMBER: 08113439 (USE FORMAT 7 OR 9 FOR FULL TEXT)  
**Competitive bidding in electricity markets: a survey.**

Rozek, Richard P.

Energy Journal, v10, n4, p117(22)

Oct, 1989

ISSN: 0195-6574

LANGUAGE: ENGLISH

RECORD TYPE: FULLTEXT

WORD COUNT: 8342 LINE COUNT: 00686

... the auctioneer may be a meter that is set initially at a low price. When the meter is turned on, higher prices appear until a **seller** signals that the meter should stop.

(3) **Discriminative auction** (first-price sealed-bid in the case that a single unit is purchased)--each seller submits one or more sealed offers, and the required units are purchased...

**37/3,K/33 (Item 13 from file: 148)**

DIALOG(R)File 148:Gale Group Trade & Industry DB

(c)2000 The Gale Group. All rts. reserv.

02336632 . SUPPLIER NUMBER: 03708679 (USE FORMAT 7 OR 9 FOR FULL TEXT)

**New magic in carpets: with prices down, Oriental rugs are not only for pashas.**

Schurenberg, Eric

Money, v14, p121(5)

April, 1985

ISSN: 0149-4953

LANGUAGE: ENGLISH

RECORD TYPE: FULLTEXT

WORD COUNT: 2599

LINE COUNT: 00188



File 256:SoftBase:Reviews,Companies&Prods. 85-2000/Nov  
(c)2000 Info.Sources Inc

File 634:San Jose Mercury Jun 1985-2000/Nov 26  
(c) 2000 San Jose Mercury News

File 278:Microcomputer Software Guide 2000/Oct  
(c) 2000 Reed Elsevier Inc.

Set	Items	Description
S1	43668	AUCTION? OR BID OR BIDS OR BIDDING OR TRADING(2N)(SYSTEM? ? OR SERVIC?)
S2	987	(WHOLESALE? OR TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERC- HAN?) (10N) RETAILER?
S3	1023	(RETAIL? (10N) WHOLESALE?)
S4	390946	USER? OR PARTICIP? OR MEMBER? OR CUSTOMER? OR CLIENT? OR S- UBSCRIB? OR PATRON?
S5	82887	CONSUMER? OR BUYER? OR PURCHASER? OR SHOPPER? OR BIDDER?
S6	57730	SELLER? OR RESELLER? OR SUPPLIER? OR VENDOR? OR MERCHANT?
S7	96161	DEALER? OR BROKER? OR AGENT? OR MIDDLEM?N OR INTERMEDIAR?
S8	57078	TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERCHANT?
S9	79	S3(3W) (S4 OR S5 OR S6 OR S7 OR S8)
S10	36375	(TWO OR THREE OR FIRST OR SECOND OR DIFFERENT OR SEVERAL) - (2W)(TYPE? OR KIND? OR GROUP? OR TIER? OR CATEGOR? OR CLASS?)
S11	760669	NEW OR OLDER OR FORMER OR EXISTING OR PREVIOUS? OR REGULAR? OR EARLIER OR ESTABLISH? OR PREFERRED? OR PRIMARY OR VALUED
S12	26125	(S10 OR S11) (3W) (S4 OR S5 OR S6 OR S7 OR S8)
S13	1778	(NONMEMBER? OR NON()MEMBER?)
S14	58	(NONPARTICIPANT? OR NON()PARTICIPANT?)
S15	5377	SUBSCRIBER? OR NONSUBSCRIBER?
S16	7206	S13 OR S14 OR S15
S17	33596	S2 OR S9 OR S12 OR S16
S18	866	(DISCRIMINAT? OR DIFFEREN?) (2N)PRICE?
S19	51129	PREFERENC? OR ADVANTAG?
S20	24327	DISCOUNT? OR PREMIUM
S21	74021	S18 OR S19 OR S20
S22	473	(S17(10N)S21)
S23	5	S22(20N)S1
S24	519721	S4 OR S5 OR S6 OR S7 OR S8 OR RETAILER? AND WHOLESALE?
S25	140	(S24(10N)S18)
S26	3	S25(20N)S1 NOT S23
S27	37	(S24(10N)S20) (20N)S1 NOT (PY>1997 OR S23 OR S26)
S28	18	S27(5N)AUCTION?

23/3,K/1 (Item 1 from file: 256)

DIALOG(R) File 256:SoftBase:Reviews,Companies&Prods.

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00125028

DOCUMENT TYPE: Review

**PRODUCT NAMES:** Online Stock Trading (837407); Stock Brokers (830691)

**TITLE:** Power Brokers Race to Trade On International Markets

**AUTHOR:** Trombly, Maria

**SOURCE:** Computerworld, v34 n33 p26(2) Aug 14, 2000

**ISSN:** 0010-4841

**HOME PAGE:** http://www.computerworld.com

**RECORD TYPE:** Review

**REVIEW TYPE:** Product Analysis

**GRADE:** Product Analysis, No Rating

**REVISION DATE:** 20001030

...Sumitomo Bank and plans a Hong Kong service with local partners. Local partnerships are key to DLJdirect, says a spokeswoman, because finding strong brands and **existing client** bases is **advantageous**. These partners know and understand local **trading** regulations and **systems**.

23/3,K/2 (Item 1 from file: 634)

DIALOG(R) File 634:San Jose Mercury

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10538010

**INTERNET MARKETPLACES A BLESSING FOR BUSINESSES BARTER BOOM: EXCHANGES BETWEEN BUSINESSES HAVE BEEN MADE EASIER.**

San Jose Mercury News (SJ) - Monday, February 7, 2000

By: MARLA DICKERSON, Los Angeles Times

Edition: Morning Final Section: Business Monday Page: 16E

Word Count: 1,066

...deal is completed.

Obtaining more bids

Fafard, who is busy opening her women's clothing boutique and art gallery, used the service to obtain six **bids** on business insurance. She chose Armstrong's company, Armstrong Insurance Group, and saved more than half off the annual **premium** quoted by her **existing agent**.

'Let's face it. If I had relied on the Yellow Pages, I would have stopped at three quotes and paid through the nose,' Fafard...

23/3,K/3 (Item 2 from file: 634)

DIALOG(R) File 634:San Jose Mercury

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08538144

**LOVE FOR SALE AT ART INSTITUTE'S VALENTINE AUCTION**

San Jose Mercury News (SJ) - Wednesday, February 7, 1996

Edition: Morning Final Section: Extra 2 Page: 9

Word Count: 272

TEXT:

... noon to 5 p.m., Tuesday through Saturday. The auction will include champagne, coffee and chocolate and last from 6:30 to 9 p.m. **Auction** tickets are \$15 each for institute members and \$20 for non-members; \$25 for member couples and \$35 for **non -member** couples. There is a 20 percent **discount** for absentee bidders and for tickets purchased before Saturday. Tickets may be reserved with a credit card by calling (408) 283-8155. ...

23/3,K/4 (Item 3 from file: 634)

DIALOG(R) File 634:San Jose Mercury

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06256298

**TREASURIES OFFER INVESTORS A SAFE, PROFITABLE PIT STOP**

San Jose Mercury News (SJ) - Thursday, September 12, 1991

By: Associated Press

Edition: Stock Final Section: Business Page: 6F

Word Count: 475

...Yields.

To buy bills through Treasury Direct, investors have to send the Fed a minimum of \$10,000, and then \$5,000 increments, before the **regular auction**. Once big **bidders** such as **primary dealers** and pension funds set the **discount** at the **auction**, the Fed returns a check for the amount of the discount.

At the latest **auction**, six-month bills sold for an average of \$9,727.50. The discount, \$272.50, was returned to the buyer's bank account. In six...

23/3,K/5 (Item 4 from file: 634)

DIALOG(R) File 634:San Jose Mercury

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03605783

**TEMPLES TO ART, PYRAMIDS OF MONEY**

SAN JOSE MERCURY NEWS (SJ) - Sunday, December 28, 1986

By: by Dorothy Burkhart

Edition: Morning Final Section: Arts & Books Page: 18

Word Count: 1863

... The New Painting, '' one of the year's best exhibitions in the United States.

New prices

Also making headlines in 1986 was the booming art **auction** market, propelled partly by Japanese collectors taking **advantage** of the strong yen and the falling dollar, and **new -money buyers** elsewhere. These new big spenders are among those crazily pushing up the prices of art: An unnamed Japanese collector paid \$5.06 million for Piet...  
?

26/3,K/1 (Item 1 from file: 634)  
DIALOG(R) File 634:San Jose Mercury  
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10768019

**E-TAILERS CAN PEG PRICES DEPENDING ON WHO'S BUYING**

San Jose Mercury News (SJ) - Sunday, September 24, 2000  
By: JANET ADAMY, Contra Costa Times  
Edition: Morning Final Section: Personal Technology Page: 1F  
Word Count: 875

... a penny, while the record store on the corner won't bother to change it for less than a \$1 difference.

The popularity of online auction sites such as eBay.com and name-your-price sites such as Priceline.com suggest that many shoppers are comfortable getting a different price than the people who bought before and after them.

Brynjolfsson points out that this type of pricing won't necessarily mean customers will pay more...

26/3,K/2 (Item 2 from file: 634)  
DIALOG(R) File 634:San Jose Mercury  
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08727075

**WHAT NASDAQ RULING MEANS**

San Jose Mercury News (SJ) - Wednesday, August 14, 1996  
By: KATHY KRISTOF column Los Angeles Times  
Edition: Morning Final Section: Business Page: 1C  
Word Count: 895

...terminals.

When you call a broker to buy an NASD share, the broker will check the Nasdaq's electronic pricing system to find quoted buying (bid) or selling (ask) prices for that firm's shares. At any given time, there may be a host of different prices offered by different dealers. Nobody is standing over your trader's shoulder to see which bid was taken - or even if your dealer, if a market maker, sold his company's own shares.

Q How does the dealer profit by manipulating...

26/3,K/3 (Item 3 from file: 634)  
DIALOG(R) File 634:San Jose Mercury  
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07806057

**WAKE UP, SEC, AND SCRUTINIZE NASDAQ DEALS**

San Jose Mercury News (SJ) - Tuesday, November 1, 1994  
By: JAMES J. MITCHELL, Business Editor column  
Edition: Morning Final Section: Business Page: 1E  
Word Count: 489

...counter traders apparently engage in several practices that fatten their wallets at the expense of investors.

THESE practices include having an unnecessarily wide spread between **bid** and ask **prices** , with the **difference** going into **dealers** ' pockets; ignoring Nasdaq rules about offering firm quotes; and failing to report each trade promptly.

The National Association of Securities Dealers, of course, denies these

...  
?

28/3,K/1 (Item 1 from file: 256)

DIALOG(R) File 256:SoftBase:Reviews,Companies&Prods.  
(c)2000 Info.Sources Inc. All rts. reserv.

01469793 DOCUMENT TYPE: Product

**PRODUCT NAME: ProAUCTIONEER 2.81 (469793)**

Taranto & Associates Inc (029394)  
PO Box 6216  
San Rafael, CA 94903 United States  
TELEPHONE: (415) 472-2670

RECORD TYPE: Directory

CONTACT: Sales Department

REVISION DATE: 990609

...Features include: (1) full inventory of lots; (2) commissions and fees;  
(3) immediate access to any item; (4) generates identification labels, (5)  
inventory for multiple **auctions** ; (6) automatic deletion after **auction** ;  
(7) full control of all **bidders** ; (8) **buyer 's premium** by percent or  
dollars; (9) sales tax optional by **bidder** ; (10) invoice form or narrow  
receipt; (11) accept, record and apply deposits; (12) add purchases after  
cashout; (13) full mailing list facility; (14) display on...

28/3,K/2 (Item 1 from file: 634)

DIALOG(R) File 634:San Jose Mercury  
(c) 2000 San Jose Mercury News. All rts. reserv.

09278041

**'SUE' SELLS FOR SUPER SUM: \$8.4 MILLION CSU PARTICIPATES IN T. REX PURCHASE**  
San Jose Mercury News (SJ) - Sunday, October 5, 1997  
By: LOS ANGELES TIMES  
Edition: Morning Final Section: Front Page: 4A  
Word Count: 501

... vice president, said last week, the bidding started at \$500,000. Within  
30 seconds, the price had shot past \$7 million.

Redden, who handled the **auction** duties, proclaimed "'Sold!'" when  
the price hit \$7.6 million. With the **buyer 's premium** paid to the  
**auction** house, the Field group paid a grand total of \$8,362,500.

Hendrickson found the first signs of the skeleton in 1990 on a  
Cheyenne...

28/3,K/3 (Item 2 from file: 634)

DIALOG(R) File 634:San Jose Mercury  
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09231116

**NETWORKS WEIGHING CABLE-STYLE PAY TV**  
San Jose Mercury News (SJ) - Tuesday, August 19, 1997  
By: JON HEALEY, Mercury News Staff Writer  
Edition: Morning Final Section: Front Page: 1A

Word Count: 1,064

...said broadcasters used the promise of high-definition television to help them win the digital frequencies for free rather than having to buy them at **auctions**. The possible shift toward **premium** channels means that **consumers** 'are going to get for free exactly what they've had all along, and share none of the benefits of the new technology,' he said...

**28/3,K/4 (Item 3 from file: 634)**

DIALOG(R)File 634:San Jose Mercury

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08800158

**FREE CHILDREN'S FINGERPRINTING OFFERED TODAY**

San Jose Mercury News (SJ) - Saturday, October 26, 1996

Edition: Morning Final Section: Local Page: 6B

Word Count: 518

...10 a.m. today in the city corporation yard at 1700 Walsh Ave.

Gates will open at 8 a.m. for previewing. Vehicles will be **auctioned** last. A 10 percent **buyer's premium** will be added to the price of all items sold. Cash, cashier's checks or personal checks with a letter of guarantee from a bank...

**28/3,K/5 (Item 4 from file: 634)**

DIALOG(R)File 634:San Jose Mercury

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08798201

**ATLANTA ARTIST'S SHOW TO BENEFIT TIMPANY CENTER**

San Jose Mercury News (SJ) - Thursday, October 24, 1996

Edition: South County Section: Local Page: 5B

Word Count: 614

...10 a.m. Saturday in the city corporation yard at 1700 Walsh Ave.

Gates will open at 8 a.m. for previewing. Vehicles will be **auctioned** last. A 10 percent **buyer's premium** will be added to the price of all items sold. Cash, cashier's checks or personal checks with a letter of guarantee from a bank...

**28/3,K/6 (Item 5 from file: 634)**

DIALOG(R)File 634:San Jose Mercury

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08778051

**NEW BOOK THE LAST WORD IN CHOOSING FINE VINES**

San Jose Mercury News (SJ) - Friday, October 4, 1996

By: JOAN JACKSON, Mercury News Garden Editor

Edition: Peninsula Section: Peninsula Living Page: 11

Word Count: 325

... Garden Center of Shoup Park, 400 University Ave., Los Altos. Charmain Giuliani, a landscape designer, will talk about vines. There will also be a vine **auction** and sale of the book at a **discounted** price with a

**membership** . For information, call (415) 941-6680.

'Successful Vines for the Peninsula,' will be available for \$15.95 at local book stores.

N

**28/3,K/7 (Item 6 from file: 634)**

DIALOG(R)File 634:San Jose Mercury

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08777086

**SOCIETY'S NEW BOOK SNARES INFORMATION ABOUT VINES**

San Jose Mercury News (SJ) - Thursday, October 3, 1996

By: JOAN JACKSON column

Edition: Morning Final Section: Garden Page: 2F

Word Count: 421

... Garden Center of Shoup Park, 400 University Ave., Los Altos. Charmain Giuliani, a landscape designer, will talk about vines. There will also be a vine **auction** and sale of the book at a **discounted** price with a **membership** . For information, call (415) 941-6680.

'Successful Vines for the Peninsula' will be available for \$15.95 at bookstores in the area. No other books...

**28/3,K/8 (Item 7 from file: 634)**

DIALOG(R)File 634:San Jose Mercury

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08610055

**CLASSIFIEDS LO MI, GD DL, BUT PREPARE TO BARGAIN**

San Jose Mercury News (SJ) - Friday, April 19, 1996

By: (Matt Nauman)

Edition: Morning Final Section: Drive Page: 1G

Word Count: 897

... in terms of value and reliability. He compared it to shopping at a department store vs. going to a flea market. Cars often come from **auctions** that only franchised **dealers** can attend.

**INTERNET**

**GROWING SITE OF AUTO ADVERTISING**

MATEJA **discounts** the Internet as a yuppie shopping device, but admits it appeals to a certain segment of the population and that its appeal is growing. The...

**28/3,K/9 (Item 8 from file: 634)**

DIALOG(R)File 634:San Jose Mercury

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07775049

**BIDDERS BEWARE: AUCTION HOUSE NO GENTEEL SPOT**

San Jose Mercury News (SJ) - Saturday, October 1, 1994

By: VALERIE RICE column

Edition: Morning Final Section: Home Page: 1E

Word Count: 947



...opportunity is lost.

(box) Know the lingo and the procedures. "Two times the money" means your winning bid will be doubled. So if you're **bidding** on two chairs and he says "two times the money," your \$100 **bid** is actually for each chair. "

**Buyer 's premium** " is the 10 percent fee you pay on top of your **bid** to the **auction** house, and that doesn't include tax. So remember your bid is actually that much higher. If the auctioneer wants a bid of \$50 and...

28/3,K/10 (Item 9 from file: 634)

DIALOG(R)File 634:San Jose Mercury

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06308190

**DRAVECKY TO SPEAK AT HOSPICE BREAKFAST**

San Jose Mercury News (SJ) - Sunday, November 3, 1991

By: Mary Gottschalk

Edition: Morning Final Section: Living Page: 7L

Word Count: 604

... with a champagne reception and preview at 5:30 p.m. Wednesday at the Mirassou Champagne Cellars, The Novitiate, 300 College Ave., Los Gatos. The **auction** of more than 100 paintings, sculptures and limited edition graphics starts at 7 p.m. The 10 percent **buyer 's premium** will be donated to the San Jose Museum of Art for new acquisitions. Tickets are \$30 in advance, \$35 at the door. Information and reservations...

28/3,K/11 (Item 10 from file: 634)

DIALOG(R)File 634:San Jose Mercury

(c) 2000 San Jose Mercury News. All rts. reserv.

06272068

**GOING ONCE -- HOOKED! -- ON AUCTIONS**

San Jose Mercury News (SJ) - Saturday, September 28, 1991

By: Valerie Rice column

Edition: Morning Final Section: Home Page: 20D

Word Count: 886

... you bid, you can either raise your hand or the number to get the auctioneer's attention. And if you successfully outbid your competitors, the **auctioneer** will need to record your number.

(check) Most **auction** houses charge a 10 percent "**buyer 's premium** " on the total amount of your purchases. So if you buy a \$100 desk, it actually will cost you \$110 -- plus tax.

(check)Get there...

28/3,K/12 (Item 11 from file: 634)

DIALOG(R)File 634:San Jose Mercury

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04557829

**REAL ESTATE AUCTIONS GAIN IN POPULARITY**

SAN JOSE MERCURY NEWS (SJ) - Thursday, July 21, 1988

By: New York Times  
Edition: Morning Final Section: Business Page: 1E  
Word Count: 1229

... is a discount, expressed as a percentage off the latest appraisal, sale price or asking price.

Buyer gets price break

This price break makes an **auction** appealing to the **buyer** .

While it is possible to buy at a **discount** of 50 percent, the typical reduction is roughly 10 to 15 percent.

And despite the perception that **auctions** of residential property are just for investors or developers on the prowl for a good deal, most buyers are ordinary folks.

'The vast majority are...

**28/3,K/13 (Item 12 from file: 634)**  
DIALOG(R)File 634:San Jose Mercury  
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04554044

**CARL'S JR. OWNER AGREES TO BUY 25% OF ITS STOCK**  
SAN JOSE MERCURY NEWS (SJ) - Friday, July 8, 1988  
By: Orange County Register  
Edition: Home Section: Business Page: 14D  
Word Count: 561

... tender offer is one of the best possible investments for the company,' he said, 'and gives shareholders the opportunity to sell their shares at a **premium** above market, and they don't have to pay **broker** fees.'

The offer will be handled as a 'Dutch **auction** .' That means shareholders select a price at which they are willing to sell, and then the company pays the lowest price within the \$21 to...

**28/3,K/14 (Item 13 from file: 634)**  
DIALOG(R)File 634:San Jose Mercury  
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04042993

**PLASTER BUST OF THOMAS JEFFERSON SELLS FOR A RECORD \$2.86 MILLION AT AUCTION**

SAN JOSE MERCURY NEWS (SJ) - Saturday, May 30, 1987  
By: Associated Press  
Edition: Morning Final Section: Front Page: 2A  
Word Count: 324

... of the bust had been estimated at \$400,000 to \$600,000 before the sale. The gavel fell at \$2.6 million, to which the **auction** house added the customary 10 percent **buyer** 's **premium** .

Jefferson was U.S. minister to France from 1785 to 1787 when he became acquainted with sculptor Jean-Antoine Houdon and began frequenting his studio...

**28/3,K/15 (Item 14 from file: 634)**

DIALOG(R)File 634:San Jose Mercury

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04029287

**PAPER SAYS SAFEWAY WILL SELL LIQUOR BARN**

SAN JOSE MERCURY NEWS (SJ) - Friday, April 10, 1987

By: Mercury News Staff and Wire Reports

Edition: Stock Final Section: Business Page: 16E

Word Count: 131

**TEXT:**

... a newspaper reported Thursday. The San Francisco Examiner reported that sources involved in the transaction said the 105-store is in the process of being **auctioned** off to an undisclosed **buyer**. Liquor Barn, a **discount** chain selling wine, beer, liquor and soft drinks, reported sales of about \$330 million in 1986. Felicia Del Campo, a spokeswoman for Oakland-based Safeway...

**28/3,K/16 (Item 15 from file: 634)**

DIALOG(R)File 634:San Jose Mercury

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04029038

**SAFEWAY TO AUCTION OFF LIQUOR BARN, PAPER SAYS**

SAN JOSE MERCURY NEWS (SJ) - Friday, April 10, 1987

By: Mercury News Staff and Wire Reports

Edition: Morning Final Section: Business Page: 16E

Word Count: 133

**TEXT:**

... a newspaper reported Thursday. The San Francisco Examiner reported that sources involved in the transaction said the 105-store is in the process of being **auctioned** off to an undisclosed **buyer**. Liquor Barn, a **discount** chain selling wine, beer, liquor and soft drinks, reported sales of about \$330 million in 1986. Felicia Del Campo, a spokeswoman for Oakland-based Safeway...

**28/3,K/17 (Item 16 from file: 634)**

DIALOG(R)File 634:San Jose Mercury

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03036436

**AUCTION BUYERS GET PRICE DISCOUNTS**

SAN JOSE MERCURY NEWS (SJ) - Saturday, October 5, 1985

Edition: Morning Final Section: Home Page: 12E

Word Count: 200

**AUCTION BUYERS GET PRICE DISCOUNTS**

**28/3,K/18 (Item 17 from file: 634)**

DIALOG(R)File 634:San Jose Mercury

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03035432

**MORE COMPETITION IN OPTIONS**

SAN JOSE MERCURY NEWS (SJ) - Wednesday, October 2, 1985

Edition: Alameda County/PM Section: Business Page: 18C

Word Count: 676

... At the end of the day the Nasdaq 100 index represented a theoretical value of \$22,134, since the index is multiplied by \$100. The **premium** cost to the **buyer** is determined by a continuous **auction** process in the over-the-counter market. Monday, for example, the premium on a 220 October call was \$400 at the close, plus brokerage transaction...  
?

File 15:ABI/Inform(R) 1971-2000/Nov 29

(c) 2000 Bell & Howell

File 275:Gale Group Computer DB(TM) 1983-2000/Nov 28

(c) 2000 The Gale Group

File 16:Gale Group PROMT(R) 1990-2000/Nov 30

(c) 2000 The Gale Group

File 160:Gale Group PROMT(R) 1972-1989

(c) 1999 The Gale Group

File 148:Gale Group Trade & Industry DB 1976-2000/Nov 30

(c)2000 The Gale Group

Set Items Description

S1 145475 AUCTION?

LIMITALL/s1

S2 7049 DISCOUNT

S3 310 S1(5N)S2

S4 583 DIFFERENT (2N)PRICE?

S5 46 S1(10N) S4

S6 28 PAY? (3N) (DIFFERENT (2W)PRICE?)

S7 7 S1 (30N) S6 NOT S5

S8 1664 DISCRIMINAT?

S9 127 S8(10N)S1 NOT S5

S10 2 S9 (20N) (S2 OR S4)

S11 280 PAY? (3W) (DIFFEREN? OR NONUNIFORM? OR UNUNIFORM?)

S12 17 S1(10N)S11 NOT (S5 OR S10)

S13 14 RD (unique items)

S14 679 WHOLESALER? (5N) RETAILER?

S15 83 S1(15N)S14

S16 9 S14(30N)(DIFFEREN? OR NONUNIFORM? OR UNUNIFORM?)

S17 418 TWO(2W)TIER?

S18 24 S1(10N)S17

S19 20 RD (unique items)

S29 262 MEMBER? (5N)(NONMEMBER? OR NON()MEMBER?)

S30 21 S1(20N) S29

S31 8 S30 NOT PY>1995

File 474:New York Times Abs 1969-2000/Nov 30

(c) 2000 The New York Times

File 475:Wall Street Journal Abs 1973-2000/Nov 30

(c) 2000 The New York Times

File 473:Financial Times Abstracts 1998-2000/Nov 30

(c) 2000 The New York Times

Set	Items	Description
S1	50220	AUCTION? OR BID OR BIDS OR BIDDING OR TRADING(2N)(SYSTEM? ? OR SERVIC?)
S2	1186	(WHOLESALE? OR TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERCHANT?) AND RETAILER?
S3	1150	(RETAIL? AND WHOLESALE?)
S4	166781	USER? OR PARTICIP? OR MEMBER? OR CUSTOMER? OR CLIENT? OR SUBSCRIB? OR PATRON?
S5	63882	CONSUMER? OR BUYER? OR PURCHASER? OR SHOPPER? OR BIDDER?
S6	16513	SELLER? OR RESELLER? OR SUPPLIER? OR VENDOR? OR MERCHANT?
S7	54400	DEALER? OR BROKER? OR AGENT? OR MIDDLEMAN OR INTERMEDIAR?
S8	30252	TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERCHANT?
S9	126	S3(3W) (S4 OR S5 OR S6 OR S7 OR S8)
S10	6365	(TWO OR THREE OR FIRST OR SECOND OR DIFFERENT OR SEVERAL) - (2W)(TYPE? OR KIND? OR GROUP? OR TIER? OR CATEGORY? OR CLASS?)
S11	1017861	NEW OR OLDER OR FORMER OR EXISTING OR PREVIOUS? OR REGULAR? OR EARLIER OR ESTABLISH? OR PREFERRED? OR PRIMARY OR VALUED
S12	11884	(S10 OR S11) (3W) (S4 OR S5 OR S6 OR S7 OR S8)
S13	357	(NONMEMBER? OR NON(MEMBER?))
S14	14	NONPARTICIPANT? OR NON(PARTICIPANT?)
S15	2308	SUBSCRIBER? OR NONSUBSCRIBER?
S16	2679	S13 OR S14 OR S15
S17	15664	S2 OR S9 OR S12 OR S16
S18	397	(DISCRIMINAT? OR DIFFEREN?) (2N)PRICE?
S19	11852	PREFERENC? OR ADVANTAG? (NOT N)USE
S20	13124	DISCOUNT? OR PREMIUM
S21	25180	S18 OR S19 OR S20
S22	490	(S17 AND S21)
S23	7	S22 AND S1
S24	301344	S4 OR S5 OR S6 OR S7 OR S8 OR RETAILER? AND WHOLESALE?
S25	6	S24 AND S18 AND S1 NOT S23
S26	74	S24 AND S20 AND S1 NOT (PY>1997 OR S23 OR S25)
S27	35	S26 AND AUCTION?
S28	21	S27 AND PRIC?

all considered

23/3,K/1 (Item 1 from file: 474)

DIALOG(R)File 474:New York Times Abs

(c) 2000 The New York Times. All rts. reserv.

06532000 NYT Sequence Number: 498238930522

**DISCOUNT CORPORATION AGREES TO ACQUISITION**

Bloomberg Business News

New York Times, Col. 3, Pg. 35, Sec. 1

Saturday May 22 1993

**DISCOUNT CORPORATION AGREES TO ACQUISITION**

ABSTRACT:

**Discount** Corp of **New York**, last independent **dealer** of US Government securities, agrees to acquisition **bid** by Zions Bancorp, Utah holding company; graph (S)

COMPANY NAMES: **DISCOUNT** CORP OF NEW YORK; ZIONS BANCORP

23/3,K/2 (Item 2 from file: 474)

DIALOG(R)File 474:New York Times Abs

(c) 2000 The New York Times. All rts. reserv.

06314180 NYT Sequence Number: 405000920726

**ATTENTION MOSCOW SHOPPERS: EVERYTHING'S ON SALE**

New York Times, Col. 1, Pg. 3, Sec. 1

Sunday July 26 1992

ABSTRACT:

...of them in Moscow; Moscow's big lead in privatization stems from city's policy of selling shops to workers at giveaway prices; elsewhere, public **auctions** are favored, although workers get inside track; complicating matters is fact that municipal governments remain owners of commercial buildings, selling inventory and equipment but leasing shops themselves to new proprietors to prevent speculation; imports are flooding into stores, to detriment of plan to stimulate local manufacturing, as **new** owners test **consumer preferences** with wide variety of products; photo (M)

23/3,K/3 (Item 3 from file: 474)

DIALOG(R)File 474:New York Times Abs

(c) 2000 The New York Times. All rts. reserv.

00546216 NYT Sequence Number: 113246741118

**SEC** to hold hearings on its controversial order that would abolish fixed commission rates of stockbrokers by May 1 '75. Order is opposed by virtually entire investment establishment. Reprs of major stock exchs argue that full price competition will kill auction process and drive brokers into dealers mkt where they trade with each other and strike best deal they can with customer. NYSE chmn James J Needham has demanded total discretion in hands of SEC on deadline for ending fixed prices. Integration of nonmembers in over-the-counter mkt into natl stock exch system. Tax credit for stock exch seats if Cong passes legis opening membership to all comers. Maintenance of Rule 394 on preference for exch members. Needham warns that member firms are considering leaving exch if competitive rates come into being on May 1. Has said he would take matter to court. SEC Comr Philip A Loomis Jr claims development of

central mkt would inject competition into indus. Does not agree it would turn auction mkt into dealer mkt. SEC, in 36-page white paper summarizing its stand on fixed commission rates, says that system has heightened conflicts of interest, distorted trading patterns and disadvantaged various classes of public investors. Illus of SEC Chmn Ray D Garrett Jr and NYSE chmn James J Needham (L.)

COLE, ROBERT J

New York Times, Col. 2, Pg. 53

Monday November 18 1974

...stockbrokers by May 1 '75. Order is opposed by virtually entire investment establishment. Reprs of major stock exchs argue that full price competition will kill auction process and drive brokers into dealers mkt where they trade with each other and strike best deal they can with customer. NYSE chmn James J Needham has demanded total discretion in hands of SEC on deadline for ending fixed prices. Integration of nonmembers in over-the-counter mkt into natl stock exch system. Tax credit for stock exch seats if Cong passes legis opening membership to all comers. Maintenance of Rule 394 on preference for exch members. Needham warns that member firms are considering leaving exch if competitive rates come into being on May 1. Has said he would...

...matter to court. SEC Comr Philip A Loomis Jr claims development of central mkt would inject competition into indus. Does not agree it would turn auction mkt into dealer mkt. SEC, in 36-page white paper summarizing its stand on fixed commission rates, says that system has heightened conflicts of interest...

23/3,K/4 (Item 4 from file: 474)

DIALOG(R)File 474:New York Times Abs

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00479159 NYT Sequence Number: 046189740707

Woodward Kingman, deputy asst sec for mortgage credit for HUD and pres of Govt Natl Mortgage Assn, says many US home builders and lenders fail to utilize Fed program aimed at easing problems for new home buyers. Program, known as Tandem Plan, reduces interest rate for new-home mortgages from current market level to 7 3/4%. Under 2d phase of plan, the Govt buys the mortgages from the lenders and periodically auctions them to investors at a discount. Result is that buyer pays 7 3/4% while investor makes 9%. Bankers and builders admit they do not use program because of \$33,000 limit on mortgages and complexity of program. Govt sources say amount is expected to go to \$38,000 shortly. Dr Michael Sumichrast, chief economist of Natl Assn of Home Builders, comments (L.)

TOMASSON, ROBERT E

New York Times, Col. 4, Pg. 1, Sec. 8

Sunday July 7 1974

...HUD and pres of Govt Natl Mortgage Assn, says many US home builders and lenders fail to utilize Fed program aimed at easing problems for new home buyers. Program, known as Tandem Plan, reduces interest rate for new-home mortgages from current market level to 7 3/4%. Under 2d phase of plan, the Govt buys the mortgages from the lenders and periodically auctions them to investors at a discount. Result is that buyer pays 7 3/4% while investor makes 9%. Bankers and builders admit they do not use program because of \$33,000...



23/3,K/5 (Item 5 from file: 474)  
DIALOG(R)File 474:New York Times Abs  
(c) 2000 The New York Times. All rts. reserv.

00405820 NYT Sequence Number: 061160730330

SEC on March 29 unveils 'white paper' to restructure securities mkts with aim of establishing central mkt system that would encompass network of brokers and dealers in offices and on exch floors, linked together by electronic communication; says primary objective of central system is development of strong competition among participants; pledges to take every reasonable step to insure new central mkt system will provide welcome environment for small investor; major comm proposals include: regulation to maintain integrity of communications system; regulation of competing mkt makers within system; regulation to insure that system will maintain best auction mkts; new ' auction trading rule' that would provide price priority protection for all public orders throughout system and 'public preference rule'; heart of new central mkt system would be comprehensive communications linkage between mkt centers consisting of composite transaction reptg system and composite quotation system displaying bids and offers of all qualified mkt makers in listed securities; plan would require adoption by all exchs of nonmember access rule permitting discounts to be negotiated up to substantially higher level than 40% of comm rate now in effect)

New York Times, Col. 6, Pg. 1

Friday March 30 1973

...comm proposals include: regulation to maintain integrity of communications system; regulation of competing mkt makers within system; regulation to insure that system will maintain best auction mkts; new ' auction trading rule' that would provide price priority protection for all public orders throughout system and 'public preference rule'; heart of new central mkt system would be comprehensive communications linkage between mkt centers consisting of composite transaction reptg system and composite quotation system displaying bids and offers of all qualified mkt makers in listed securities; plan would require adoption by all exchs of nonmember access rule permitting discounts to be negotiated up to substantially higher level than 40% of comm rate now in effect)

23/3,K/6 (Item 6 from file: 474)  
DIALOG(R)File 474:New York Times Abs  
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00402108 NYT Sequence Number: 057448731219

SEC economist C A Simmons' study of new stocks issued in '71 shows that as of Oct '73 they were down 50%, compared with average NYSE issues which were virtually unchanged; study confirms results of earlier studies showing new issues initially outperform outstanding issues but after several yrs comparative performance is significantly worse; criticism of Wall St approach to new-issue mkt noted; critic C Dowd believes fact that brokers are paid up to 3 times normal commission fees to induce investors to buy new issues results in favoritism under which most promising stocks go to valued customers while less promising issues go to general public; suggests auction method would be fairer; method described; Simmons study attributes very short run superiority of price performance on new issues to 3 factors: large initial premium and its possible short-run stimulation to excess demand; relatively high incidence of extras which may result in artificially high-priced levels; bad news about long-run co prospects subsequent to initial period of mkt buoyancy)

New York Times, Col. 3, Pg. 66

Wednesday December 19 1973

...paid up to 3 times normal commission fees to induce investors to buy new issues results in favoritism under which most promising stocks go to valued customers while less promising issues go to general public; suggests auction method would be fairer; method described; Simmons study attributes very short run superiority of price performance on new issues to 3 factors: large initial premium and its possible short-run stimulation to excess demand; relatively high incidence of extras which may result in artificially high-priced levels; bad news about...

23/3,K/7 (Item 1 from file: 475)  
DIALOG(R)File 475:Wall Street Journal Abs  
(c) 2000 The New York Times. All rts. reserv.

07997397 NYT Sequence Number: 000000981008  
**DAY-TRADING FIRMS FACING TOUGHER RIVALRY, SCRUTINY**  
BUCKMAN, REBECCA  
Wall Street Journal, Col. 3, Pg. 1, Sec. C  
Thursday October 8 1998

ABSTRACT:

More established day-trading firms, which have been thorn in side of **established** Nasdaq **dealers** , are scrambling to cope with competition from scores of new entrants and deep-**discount** Internet brokers amid falling market; illustration, table (M)

COMPANY NAMES: ALL-TECH INVESTMENT GROUP INC; CORNERSTONE SECURITIES INC;  
BROADWAY TRADING LLC; MOMENTUM SECURITIES; ON-LINE INVESTMENT **SERVICES**  
INC; BLOCK **TRADING** INC

?

25/3,K/1 (Item 1 from file: 474)  
DIALOG(R)File 474:New York Times Abs  
(c) 2000 The New York Times. All rts. reserv.

00535020 NYT Sequence Number: 102050741029

Repr Robert H Steele, who has sued US to equalize oil prices in various parts of country, expects Ford Adm to announce price equalization program that could cut more than \$2 off price of bbl of oil for refineries that are dependent on imports on high-priced domestic supplies. Program could also cut as much as 20% off elec bills in New England and other parts of country that do not have oil resources or refineries. Would raise fuel bills in Tex and elsewhere where oil is abundant and relatively cheap. Steele says regulation would go into effect on Dec 1 and could save Conn customers \$100-million yrly on elec bills. Cost of electricity as central campaign issue in Conn between gubernatorial candidates, Repr Ella T Grasso and Steele, noted. Narrowing of price differences in oil will be achieved through system of trading oil with tickets purchased at fixed price of \$5.25 a bbl. Other details of plan noted (M).)

FELLOWS, LAWRENCE

New York Times, Col. 6, Pg. 26  
Tuesday October 29 1974

...in Tex and elsewhere where oil is abundant and relatively cheap. Steele says regulation would go into effect on Dec 1 and could save Conn customers \$100-million yrly on elec bills. Cost of electricity as central campaign issue in Conn between gubernatorial candidates, Repr Ella T Grasso and Steele, noted. Narrowing of price differences in oil will be achieved through system of trading oil with tickets purchased at fixed price of \$5.25 a bbl. Other details of plan noted (M).)...

25/3,K/2 (Item 2 from file: 474)  
DIALOG(R)File 474:New York Times Abs  
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00458707 NYT Sequence Number: 025737740528

Soviet Chem Indus Min Leonid A Kostandov, announcing that min is talking with Amer cos about more than \$500-million in brand new indus projects, says he expects within 2 or 3 wks to sign contract for construction of 1st 2 of 4 sects of huge ammonia-fertilizer complex, Kuybishev, news conf. Indicates that consortium head Occidental Petroleum Corp chmn Dr Armand Hammer no longer has 'inside track' on contract, although 1 of consortium's firms, Bechtel Corp, is still important bidder. Seems to suggest that most prominent bidder is Pullman Corp subsidiary M W Kellogg Co, working in cooperation with Japanese and French concerns. Says huge chem barter negotiated with consortium remains in hands of Hammer and consortium. Claims Kellogg, Bechtel and French co Ensa have put in different bids with differences in prices and tech. Indicates he expects Bechtel to build pipeline from Kuybishev to Odessa even if plant is not constructed. Por. In another development, Soviet Oil Min Valentin D Shashin asserts that much discussed Japanese-Soviet project for constructing oil pipeline in Siberia was never serious negotiation, news conf. Says he does not think there will be any agreements with Western cos for oil and gas explorations involving foreign participation. Says that in future USSR's Eastern Eur allies will have to settle for current Soviet oil deliveries and will have to shop somewhere else for growing needs. Seemingly suggests that USSR has

found own domestic requirements are growing  
New York Times, Col. 1, Pg. 55  
Tuesday May 28 1974

...Occidental Petroleum Corp chmn Dr Armand Hammer no longer has 'inside track' on contract, although 1 of consortium's firms, Bechtel Corp, is still important bidder. Seems to suggest that most prominent bidder is Pullman Corp subsidiary M W Kellogg Co, working in cooperation with Japanese and French concerns. Says huge chem barter negotiated with consortium remains in hands of Hammer and consortium. Claims Kellogg, Bechtel and French co Ensa have put in different bids with differences in prices and tech. Indicates he expects Bechtel to build pipeline from Kuybishev to Odessa even if plant is not constructed. Por. In another development, Soviet Oil explorations involving foreign participation. Says that in future USSR's Eastern Eur allies will have to settle for current Soviet oil deliveries and will have to shop somewhere else...

25/3,K/3 (Item 1 from file: 475)  
DIALOG(R)File 475:Wall Street Journal Abs  
(c) 2000 The New York Times. All rts. reserv.

08073744 NYT Sequence Number: 000000000908

**DECIMALIZATION CUTS WALL STREET PROFIT, NARROWING BID-ASK SPREADS, SAYS STUDY**

CERON, GASTON F

Wall Street Journal, Col. 1, Pg. 6, Sec. C  
Friday September 8 2000

**DECIMALIZATION CUTS WALL STREET PROFIT, NARROWING BID-ASK SPREADS, SAYS STUDY**

ABSTRACT:

...of Memphis concludes that the transition to 'decimalization' from quoting stocks in fractions is cutting into Wall Street's profit margins; the change is narrowing bid -ask spreads, the difference between the price offered to buy a stock and the price offered to sell it (M)

DESCRIPTORS: STOCKS AND BONDS; BROKERS AND BROKERAGE FIRMS; PROFITS  
(INDUSTRY-WIDE); RESEARCH

25/3,K/4 (Item 2 from file: 475)  
DIALOG(R)File 475:Wall Street Journal Abs  
(c) 2000 The New York Times. All rts. reserv.

08055040 NYT Sequence Number: 000000000320

**SAMSUNG MOTORS COULD BE SOLD AT OPEN AUCTION**

LEE, JANE L

Wall Street Journal, Col. 1, Pg. 27, Sec. A  
Monday March 20 2000

**SAMSUNG MOTORS COULD BE SOLD AT OPEN AUCTION**

ABSTRACT:

Samsung Motors Inc (South Korea) may be sold in open auction unless exclusive bidder Renault SA and Samsung's creditors can narrow their differences on price for company (M)

25/3,K/5 (Item 3 from file: 475)

DIALOG(R)File 475:Wall Street Journal Abs  
(c) 2000 The New York Times. All rts. reserv.

01173679 NYT Sequence Number: 011541820309

US Supreme Court agrees to hear appeal by group of Alabama retail pharmacists who claim that 15 major drug manufacturers are selling goods to Univ of Alabama pharmacy at unfairly low bid prices, and that pharmacy is selling these goods to public. Lower courts have ruled that government purchasers of goods are exempt from antitrust law against price discrimination (S.)

WERMIEL, STEPHEN

Wall Street Journal, Col. 2, Pg. 6  
Tuesday March 9 1982

...appeal by group of Alabama retail pharmacists who claim that 15 major drug manufacturers are selling goods to Univ of Alabama pharmacy at unfairly low bid prices, and that pharmacy is selling these goods to public. Lower courts have ruled that government purchasers of goods are exempt from antitrust law against price discrimination (S.)...

25/3,K/6 (Item 4 from file: 475)

DIALOG(R)File 475:Wall Street Journal Abs  
(c) 2000 The New York Times. All rts. reserv.

01078189 NYT Sequence Number: 016843760528

FTC rules Great Atlantic & Pacific Tea Co violated Robinson-Patman Act by obtaining excessively low price on milk purchased by its Chicago division. Enters order barring A&P from receiving or accepting discriminatory prices for milk and dairy products in future. FTC disagrees with finding of its hearing official that A&P illegally misled its milk supplier, Borden Co, by failing to advise Borden that its bid was substantially lower (S.)

Wall Street Journal, Col. 2, Pg. 12  
Friday May 28 1976

...violated Robinson-Patman Act by obtaining excessively low price on milk purchased by its Chicago division. Enters order barring A&P from receiving or accepting discriminatory prices for milk and dairy products in future. FTC disagrees with finding of its hearing official that A&P illegally misled its milk supplier, Borden Co, by failing to advise Borden that its bid was substantially lower (S.)...

28/3,K/1 (Item 1 from file: 474)  
DIALOG(R)File 474:New York Times Abs  
(c) 2000 The New York Times. All rts. reserv.

06772958 NYT Sequence Number: 042269940913  
**TREASURIES END THE DAY LITTLE CHANGED IN PRICE**  
Bloomberg Business News  
New York Times, Col. 1, Pg. 19, Sec. D  
Tuesday September 13 1994

**TREASURIES END THE DAY LITTLE CHANGED IN PRICE**

ABSTRACT:

Treasury **prices** are little changed as investors await report on **consumer prices** ; **price** of 30-year Treasury falls 3/32, on yield of 7.71 percent, up from 7.70 percent on Friday; at yesterday's **auction** , Treasury sells \$11.6 billion in three-month bills at average **discount** rate of 4.61 percent, up from 4.58 percent last week; Treasury graph (Credit Markets column) M)

DESCRIPTORS: FINANCES; GOVERNMENT BONDS; UNITED STATES ECONOMY; **CONSUMER PRICE INDEX; AUCTIONS**

28/3,K/2 (Item 2 from file: 474)  
DIALOG(R)File 474:New York Times Abs  
(c) 2000 The New York Times. All rts. reserv.

06000899 NYT Sequence Number: 044830910715  
**PRESS NOTES: DAILIES FIND LOWER PRICES AT AUCTION**  
JONES, ALEX S  
New York Times, Col. 1, Pg. 8, Sec. D  
Monday July 15 1991

**PRESS NOTES: DAILIES FIND LOWER PRICES AT AUCTION**

ABSTRACT:

Buying and selling of newspapers has all but stopped in last year as prospective **buyers** balk at **premium prices** paid two years ago; photo (M)

DESCRIPTORS: NEWS AND NEWS MEDIA; MERGERS, ACQUISITIONS AND DIVESTITURES; NEWSPAPERS; **PRICES** ; MEDIA BUSINESS PAGE (NYT)

28/3,K/3 (Item 3 from file: 474)  
DIALOG(R)File 474:New York Times Abs  
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05794894 NYT Sequence Number: 179416900629  
**CREDIT MARKETS: TREASURY ISSUES CONTINUE TO GAIN**  
GILPIN, KENNETH N  
New York Times, Col. 4, Pg. 12, Sec. D  
Friday June 29 1990

ABSTRACT:

**Prices** of Treasury securities rise and interest rates drop in moderately active trading; **dealers** say retail **customers** were significant **buyers** ; at yesterday's **auction** , new one-year bills sold at

average **discount** rate of 7.52 percent, down from average rate of 7.65 percent on one-year bills **auctioned** on May 31; graphs; chart on Federal Reserve money aggregates (S)

**28/3,K/4 (Item 4 from file: 474)**  
DIALOG(R)File 474:New York Times Abs  
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05559010 NYT Sequence Number: 058801891029  
**IN THE REGION: LONG ISLAND: IN A SLUGGISH MARKET, PROPERTY AUCTIONS GAIN**  
SHAMAN, DIANA  
New York Times, Col. 1, Pg. 13, Sec. 10  
Sunday October 29 1989

**IN THE REGION: LONG ISLAND: IN A SLUGGISH MARKET, PROPERTY AUCTIONS GAIN**

ABSTRACT:

Property **auction** is gaining favor in today's soft housing market among **buyers**, who see opportunity to get real estate at **discounted prices**, and developer and private parties, who know they can probably sell by specific date; Long Beach, NY, **auction** of Ocean Club condominiums, was first for newly created **auction** division of Merrill Lynch Realty in Stamford, Conn; photos (In the Region: Long Island) (M)

DESCRIPTORS: HOUSING; **AUCTIONS**

**28/3,K/5 (Item 5 from file: 474)**  
DIALOG(R)File 474:New York Times Abs  
(c) 2000 The New York Times. All rts. reserv.

05264513 NYT Sequence Number: 233730880226  
**BILLIONS ARE IN PLAY TODAY IN MEXICO'S BOND SALE**  
BENNETT, ROBERT A  
New York Times, Col. 1, Pg. 5, Sec. 4  
Friday February 26 1988

ABSTRACT:

Bankers speculate on how many banks around world will **bid** for novel Mexican bonds being offered at special **auction** and **prices** at which they will be sold; analysts expect that on average **bidders** will offer **discounts** of between 25 percent and 35 percent on loans that would be exchanged for bonds; origin of program recalled (S)

**28/3,K/6 (Item 6 from file: 474)**  
DIALOG(R)File 474:New York Times Abs  
(c) 2000 The New York Times. All rts. reserv.

04834708 NYT Sequence Number: 214954861101  
**PRICES SLIP ON U.S. SECURITIES**  
MAIDENBERG, H J  
New York Times, Col. 4, Pg. 40, Sec. 1  
Saturday November 1 1986

**PRICES SLIP ON U.S. SECURITIES**

ABSTRACT:

**Prices** of most Government coupon securities ease, while **discount** rates on Treasury bills are largely unchanged; **dealers** say small amount of usual pre-weekend profit taking is sufficient to produce declines because most **buyers** are waiting for next week's Treasury **auctions** of \$29 billion of 3-year notes (S)

28/3,K/7 (Item 7 from file: 474)  
DIALOG(R)File 474:New York Times Abs  
(c) 2000 The New York Times. All rts. reserv.

01240434 NYT Sequence Number: 058870830109

**Ralph Blumenthal describes buyer's market for condominiums in South Florida. Notes as many as 20,000 units are going unsold and some of them are being offered at '79 prices, with further discounts often available to customers paying cash. Indicates many developers are offering favorable financing arrangements and some are even putting empty units up for auction, accepting bids one third to one half off their original prices. Photos (L).)**

BLUMENTHAL, RALPH

New York Times, Col. 2, Pg. 1, Sec. 8

Sunday January 9 1983

**Ralph Blumenthal describes buyer's market for condominiums in South Florida. Notes as many as 20,000 units are going unsold and some of them are being offered at '79 prices, with further discounts often available to customers paying cash. Indicates many developers are offering favorable financing arrangements and some are even putting empty units up for auction, accepting bids one third to one half off their original prices. Photos (L).)...**

DESCRIPTORS: HOUSING; **DISCOUNT** SELLING; APARTMENT HOUSES; MORTGAGES;  
**AUCTIONS** ; CONDOMINIUMS; **PRICES** ; SALES

28/3,K/8 (Item 8 from file: 474)  
DIALOG(R)File 474:New York Times Abs  
(c) 2000 The New York Times. All rts. reserv.

01216151 NYT Sequence Number: 034587830628

**Bond prices plunge and yields rise as securities dealers slash prices in attempt to lure back investors. At weekly Treasury auction, three-month bill rises to discounted rate of 9.09% and six-month bill rises to discounted rate of 9.14%.Graph on six-month Treasury bills (M).)**

EASON, YLA

New York Times, Col. 1, Pg. 11, Sec. 4

Tuesday June 28 1983

**Bond prices plunge and yields rise as securities dealers slash prices in attempt to lure back investors. At weekly Treasury auction, three-month bill rises to discounted rate of 9.09% and six-month bill rises to discounted rate of 9.14%.Graph on six-month Treasury bills (M).)...**

28/3,K/9 (Item 9 from file: 474)  
DIALOG(R)File 474:New York Times Abs  
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01203872 NYT Sequence Number: 022308830109

**Ralph Blumenthal describes buyer's market for condominiums in South Florida. Notes as many as 20,000 units are going unsold and some of them are being offered at '79 prices, with further discounts often available to customers paying cash. Indicates many developers are offering favorable financing arrangements and some are even putting empty units up for auction, accepting bids one third to one half off their original prices. Photos (L).)**

BLUMENTHAL, RALPH

New York Times, Col. 2, Pg. 1, Sec. 8

Sunday January 9 1983

**Ralph Blumenthal describes buyer's market for condominiums in South Florida. Notes as many as 20,000 units are going unsold and some of them are being offered at '79 prices, with further discounts often available to customers paying cash. Indicates many developers are offering favorable financing arrangements and some are even putting empty units up for auction, accepting bids one third to one half off their original prices. Photos (L).)...**

DESCRIPTORS: HOUSING; **DISCOUNT** SELLING; APARTMENT HOUSES; MORTGAGES;  
**AUCTIONS** ; CONDOMINIUMS; **PRICES** ; SALES

28/3,K/10 (Item 10 from file: 474)

DIALOG(R)File 474:New York Times Abs

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01117219 NYT Sequence Number: 066363811001

**Art dealers and auctioneers in London (Eng) settle lawsuit out-of-court. Dealers had been suing Christie's and Sotheby Parke Bernet over disputed buyers' premium that two auction houses instituted in '75 (M).)**

BORDERS, WILLIAM

New York Times, Col. 1, Pg. 21, Sec. 3

Thursday October 1 1981

**Art dealers and auctioneers in London (Eng) settle lawsuit out-of-court. Dealers had been suing Christie's and Sotheby Parke Bernet over disputed buyers' premium that two auction houses instituted in '75 (M).)...**

DESCRIPTORS: ART; SETTLEMENT OF CLAIMS AND LITIGATION; SUITS AND  
LITIGATION; **AUCTIONS** ; **PRICES** ; FINANCES

28/3,K/11 (Item 11 from file: 474)

DIALOG(R)File 474:New York Times Abs

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01117217 NYT Sequence Number: 066361811001

**Art dealers and auctioneers in London (Eng) settle lawsuit out-of-court. Dealers had been suing Christie's and Sotheby Parke Bernet over disputed buyers' premium that two auction houses instituted in '75 (M).)**

BORDERS, WILLIAM

New York Times, Col. 1, Pg. 21, Sec. 3

Thursday October 1 1981

**Art dealers and auctioneers in London (Eng) settle lawsuit out-of-court. Dealers had been suing Christie's and Sotheby Parke Bernet over disputed buyers' premium that two auction houses instituted in '75 (M).)**...

DESCRIPTORS: SUITS AND LITIGATION; ART; SETTLEMENT OF CLAIMS AND LITIGATION; **AUCTIONS** ; FINANCES; **PRICES**

**28/3,K/12 (Item 12 from file: 474)**  
DIALOG(R)File 474:New York Times Abs  
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01066539 NYT Sequence Number: 015683810414  
**Interest rates decline slightly, although credit market participants are still uneasy about possibility that short-term rates could increase later in Apr. New six-month Treasury bills auction at average rate of 13.65% and new three-month Treasury bills auction at average rate of 13.78%. Graph of six-month Treasury bills average discount rates (M).)**  
QUINT, MICHAEL  
New York Times, Col. 4, Pg. 7, Sec. 4  
Tuesday April 14 1981

**Interest rates decline slightly, although credit market participants are still uneasy about possibility that short-term rates could increase later in Apr. New six-month Treasury bills auction at average rate of 13.65% and new three-month Treasury bills auction at average rate of 13.78%. Graph of six-month Treasury bills average discount rates (M).)**...

DESCRIPTORS: BONDS (CORPORATE); BONDS (GOVERNMENT); INTEREST (MONEY); INVESTOR BEHAVIOR; GOVERNMENT BONDS (FEDERAL); **BOND PRICES** AND TRADING

**28/3,K/13 (Item 13 from file: 474)**  
DIALOG(R)File 474:New York Times Abs  
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01042016 NYT Sequence Number: 079957801113  
**All sectors of fixed-income securities market rally, with dealers and brokers reporting first significant retail interest in these issues in several weeks. Trading is light and sporadic. New 90-day Treasury bills auctioned at average discount rate of 13.51% fall to rate of 13.12%. Key rates chart (M).)**  
MAIDENBERG, H J  
New York Times, Col. 4, Pg. 12, Sec. 4  
Thursday November 13 1980

**All sectors of fixed-income securities market rally, with dealers and brokers reporting first significant retail interest in these issues in several weeks. Trading is light and sporadic. New 90-day Treasury bills auctioned at average discount rate of 13.51% fall to rate of 13.12%. Key rates chart (M).)**...

DESCRIPTORS: **BOND PRICES** AND TRADING; STOCKS AND BONDS (GENERAL); BONDS (GOVERNMENT); GOVERNMENT BONDS (FEDERAL); **PRICES**

**28/3,K/14 (Item 14 from file: 474)**

DIALOG(R)File 474:New York Times Abs  
(c) 2000 The New York Times. All rts. reserv.

01018273 NYT Sequence Number: 056214800729

Interest rates rise and prices drop on credit markets as traders perceive that Federal Reserve is allowing short-term rates to rise. Federal funds rate paces rise, trading above 9% and as high as 9 1/2%. 3-month Treasury bills are auctioned at effective yield of 8.221%, up from 7.880% a week ago. Yield on 6-month bills rises to 8.276% from previous week's 7.906%. Graph of average discount rate on 6-month bills, Mar-July (M).)

BENNETT, ROBERT A

New York Times, Col. 6, Pg. 1, Sec. 4

Tuesday July 29 1980

Interest rates rise and prices drop on credit markets as traders perceive that Federal Reserve is allowing short-term rates to rise. Federal funds rate paces rise, trading above 9% and as high as 9 1/2%. 3-month Treasury bills are auctioned at effective yield of 8.221%, up from 7.880% a week ago. Yield on 6-month bills rises to 8.276% from previous week's 7.906%. Graph of average discount rate on 6-month bills, Mar-July (M).)...

28/3,K/15 (Item 15 from file: 474)

DIALOG(R)File 474:New York Times Abs  
(c) 2000 The New York Times. All rts. reserv.

00987912 NYT Sequence Number: 025853800524

Short-term interest rates drop by as much as 30 basis points and bond prices rise as much as two points in first full day of trading since Federal Reserve's decision to ease credit restraints. Fed enters money market twice to drain banking reserves, indicating it may want to stem rapid rate of decline in interest rates. Treasury Dept, in light of improved interest picture, auctions three-month bills at discount rate of 7.675%, lowest since Sept 1 '78. Other factors contributing to decline in short-term interest rates are lower-than-expected increase in consumer price index, unusually large drop in prime rate charged by several major banks on corporate loans, and news of \$1.4 Billion reduction in M-1B money supply during week ending May 14. Graph of six-month Treasury bill rates. Table of latest Treasury bill auction (M).)

ALLAN, JOHN H

New York Times, Col. 3, Pg. 29

Saturday May 24 1980

Short-term interest rates drop by as much as 30 basis points and bond prices rise as much as two points in first full day of trading since Federal Reserve's decision to ease credit restraints. Fed enters money market...

...to drain banking reserves, indicating it may want to stem rapid rate of decline in interest rates. Treasury Dept, in light of improved interest picture, auctions three-month bills at discount rate of 7.675%, lowest since Sept 1 '78. Other factors contributing to decline in short-term interest rates are lower-than-expected increase in consumer price index, unusually large drop in prime rate charged by several major banks on corporate loans, and news of \$1.4 Billion reduction in M-1B money supply during week ending May 14. Graph of six-month Treasury bill

rates. Table of latest Treasury bill auction (M)...

DESCRIPTORS: INTEREST (MONEY); CREDIT (GENERAL); UNITED STATES ECONOMY;  
**DISCOUNT** RATE; MONEY SUPPLY; BANK RESERVES; BANKS AND BANKING;  
GOVERNMENT BONDS (FEDERAL); BOND **PRICES** AND TRADING VOLUME; **CONSUMER**  
CREDIT; STATISTICS; BOND OFFERINGS; BONDS (GOVERNMENT); PRIME RATE;  
**CONSUMER PRICE INDEX**

28/3,K/16 (Item 16 from file: 474)

DIALOG(R)File 474:New York Times Abs

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00937133 NYT Sequence Number: 054969790415

**SEC reptdly has started informal probe into whether major NY banks and brokerage houses conspired to price-rig \$3.34 billion auction of 1-yr Treas bills on Apr 4. All winning bidders submitted identical tender prices to Treas Dept, reptdly for 1st time ever. SEC reptdly reviews bids by Citibank, Morgan Guaranty Trust Co, Discount Corp of NY and Blyth Eastman Dillon & Co. 4 have denied collusion (M)..)**

MILLER, JUDITH

New York Times, Col. 1, Pg. 19

Sunday April 15 1979

**SEC reptdly has started informal probe into whether major NY banks and brokerage houses conspired to price-rig \$3.34 billion auction of 1-yr Treas bills on Apr 4. All winning bidders submitted identical tender prices to Treas Dept, reptdly for 1st time ever. SEC reptdly reviews bids by Citibank, Morgan Guaranty Trust Co, Discount Corp of NY and Blyth Eastman Dillon & Co. 4 have denied collusion (M)..)**

COMPANY NAMES: BLYTH EASTMAN DILLON & CO; CITIBANK (NYC); **DISCOUNT CORP** OF NY; MORGAN GUARANTY TRUST CO OF NY (NYC); SECURITIES AND EXCHANGE COMMISSION (S; E; C; ); TREASURY, DEPARTMENT OF THE

DESCRIPTORS: BANKS AND BANKING; BONDS (GOVERNMENT); **BROKERS** AND **BROKERAGE** FIRMS; FINANCES; INTEREST (MONEY); **PRICES**

28/3,K/17 (Item 17 from file: 474)

DIALOG(R)File 474:New York Times Abs

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00906520 NYT Sequence Number: 024356790823

**US Treasury auction of 750,000 troy ounces of gold causes price to reach record \$311.50 per ounce on European market. Rumors suggest Middle East oil producing firms are buying gold through West German banks to diversify their holdings away from dollar-based assets. West German Dresdner Bank purchase of nearly 96% of auctioned gold fuels speculation. Dresdner Bank officials discount rumor. Contend accelerated buying stems from conviction that gold prices will continue to rise. Traders tend to agree with Dresdner that primary demand for gold is from small investors. Auction is part of Carter Administration dollar-rescue program designed to reduce attractiveness of gold as alternative to dollar. Continued upward trend in gold prices will signal failure of program. Graph shows July '79 and Aug '79 gold prices (M)..)**

GEDDES, JOHN M

New York Times, Col. 6, Pg. 1, Sec. 4

Thursday August 23 1979

US Treasury auction of 750,000 troy ounces of gold causes price to reach record \$311.50 per ounce on European market. Rumors suggest Middle East oil producing firms are buying gold through West German banks to diversify their holdings away from dollar-based assets. West German Dresdner Bank purchase of nearly 96% of auctioned gold fuels speculation. Dresdner Bank officials discount rumor. Contend accelerated buying stems from conviction that gold prices will continue to rise. Traders tend to agree with Dresdner that primary demand for gold is from small investors. Auction is part of Carter Administration dollar-rescue program designed to reduce attractiveness of gold as alternative to dollar. Continued upward trend in gold prices will signal failure of program. Graph shows July '79 and Aug '79 gold prices (M)...)...

DESCRIPTORS: AUCTIONS ; CURRENCY; GOLD; INTERNATIONAL TRADE AND WORLD MARKET; INVESTOR BEHAVIOR; OIL (PETROLEUM) AND GASOLINE; PRICES ; STOCK AND ASSET OWNERSHIP

28/3,K/18 (Item 18 from file: 474)  
DIALOG(R)File 474:New York Times Abs  
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00627866 NYT Sequence Number: 090211750916

Controversy has erupted over decision by Sotheby's and Christie's to introduce 10% levy for all buyers. Move provoked walkout by dealers at recent Sotheby's auction, led to protests in art world and spurred angry accusations that London's supremacy in internatl art mkt is collapsing. Dealer Hugh Leggatt comments. George Levy, pres of Brit Antiques Dealers' Assn, criticizes buyer's premium. Salesrooms rept that key reasons for new fee are increased costs coupled with desire to thwart cutbacks in standard of service traditionally offered to buyers and sellers. 100 Brit art dealers are set to meet next wk to discuss possible stepped up pressure and demonstrations against auction houses in effort to reverse their decision. Andre Faulds, Labor Party Member of Parliament, has sent lr to Shirley Williams, Sec of State for Prices and Consumer Protection, urging investigation of new buying premium on grounds that it could be monopolistic action. Phillips, London's 3d largest auction house, says it is not introducing buyer's premium, move that could undercut Christie's and Sothebys. London Sunday Telegraph repts there are some grounds to fear that London may lose its pre-eminence on art mkt.)

WEINRAUB, BERNARD

New York Times, Col. 1, Pg. 51  
Tuesday September 16 1975

Controversy has erupted over decision by Sotheby's and Christie's to introduce 10% levy for all buyers. Move provoked walkout by dealers at recent Sotheby's auction, led to protests in art world and spurred angry accusations that London's supremacy in internatl art mkt is collapsing. Dealer Hugh Leggatt comments. George Levy, pres of Brit Antiques Dealers' Assn, criticizes buyer's premium. Salesrooms rept that key reasons for new fee are increased costs coupled with desire to thwart cutbacks in standard of service traditionally offered to buyers and sellers. 100 Brit art dealers are set to meet next wk to discuss possible stepped up pressure and demonstrations against auction houses in effort to reverse their decision. Andre Faulds, Labor Party Member of Parliament, has sent lr to Shirley Williams, Sec of State for Prices

and Consumer Protection, urging investigation of new buying premium on grounds that it could be monopolistic action. Phillips, London's 3d largest auction house, says it is not introducing buyer's premium, move that could undercut Christie's and Sothebys. London Sunday Telegraph repts there are some grounds to fear that London may lose its pre-eminence...

COMPANY NAMES: ANTIQUES DEALERS ASSN, BRITISH; CHRISTIE S OF LONDON; LONDON SUNDAY TELEGRAPH; PHILLIPS (LONDON AUCTION HOUSE); SOTHEBY S OF LONDON

28/3,K/19 (Item 19 from file: 474)  
DIALOG(R) File 474:New York Times Abs  
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00553680 NYT Sequence Number: 120710741224

Price of gold closes at record \$193 an ounce in London and \$193.25 in Zurich, reflecting continued speculative interest in anticipation of legalization of private ownership of gold by Amers on Dec 31. Dealers rept many buyers are putting in bids for Jan 6 auction in Washington of 2 million ounces of gold by US Treas. Dealers believe London price fixing will serve as base line for big field of buyers expected to compete in auction. Other factor in rise is denial by Shah of Iran that France's decision to revalue monetary gold would prompt retaliation. Some bullion dealers discount brief controversy over Shah's position as having little real impact on gold mkt. Note gold generally tends to move up, rather down, when monetary or econ upheavals are threatened. Major unknown in present mkt environment is likely impact of new US presence. Some analysts contend that US buying will force prices up even farther, while others hold that mkt has already discounted US entry and will decline early in Jan. Some dealers believe bulk of US demand may be absorbed by US Govt's auction of 2 million ounces of gold and that selling may move into Eur bullion mkts if no sudden upsurge is experienced when ownership by Amers becomes legal. Price of S African Krugerrands rises \$11.50 to equivalent of \$227 (M).)

New York Times, Col. 1, Pg. 25  
Tuesday December 24 1974

Price of gold closes at record \$193 an ounce in London and \$193.25 in Zurich, reflecting continued speculative interest in anticipation of legalization of private ownership of gold by Amers on Dec 31. Dealers rept many buyers are putting in bids for Jan 6 auction in Washington of 2 million ounces of gold by US Treas. Dealers believe London price fixing will serve as base line for big field of buyers expected to compete in auction. Other factor in rise is denial by Shah of Iran that France's decision to revalue monetary gold would prompt retaliation. Some bullion dealers discount brief controversy over Shah's position as having little real impact on gold mkt. Note gold generally tends to move up, rather down, when monetary...

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legal. Price of S African Krugerrands rises \$11.50 to equivalent of \$227 (M)...)...

DESCRIPTORS: AUCTIONS ; CURRENCY; GOLD OWNERSHIP BY UNITED STATES  
CITIZENS; GOLD; INTERNATIONAL MONETARY SYSTEM

28/3,K/20 (Item 20 from file: 474)  
DIALOG(R)File 474:New York Times Abs  
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00552791 NYT Sequence Number: 119821741228

Price of gold closes at \$195.75 an ounce on Dec 27 in London in moderate trading on Dec 27. Speculation is fueled by prospect of increase in demand due to Amer entry into mkt Dec 31. One theory concerning impact of Amer presence in mkt is that significant number of Amers who have traditionally invested in stock mkt will shift to gold because of disenchantment with performance of their stock holdings. Theory holds that they will enthusiastically jump into gold because its price trend has been sharply higher in '74, in contrast to downtrend in stock prices. One London dealer has pointed out that if only 10% of 30-million Amer stockholders each buys only 1 ounce of gold, major shift in supply-demand balance will occur. Less optimistic analysts cite previously announced intention of US Treas to auction 2-million ounces on Jan 6 as potentially depressing factor in mkt. Note impact of Amer entry may already have been discounted by rising prices. Cite news repts that Sen William Proxmire is planning to propose legis in next Cong to require Treas to sell 25-million ounces of gold during '75. Say this should further depress mkt at some point (M)...)...

ROBARDS, TERRY

New York Times, Col. 5, Pg. 31

Saturday December 28 1974

Price of gold closes at \$195.75 an ounce on Dec 27 in London in moderate trading on Dec 27. Speculation is fueled by prospect of...

...mkt will shift to gold because of disenchantment with performance of their stock holdings. Theory holds that they will enthusiastically jump into gold because its price trend has been sharply higher in '74, in contrast to downtrend in stock prices. One London dealer has pointed out that if only 10% of 30-million Amer stockholders each buys only 1 ounce of gold, major shift in supply-demand balance will occur. Less optimistic analysts cite previously announced intention of US Treas to auction 2-million ounces on Jan 6 as potentially depressing factor in mkt. Note impact of Amer entry may already have been discounted by rising prices. Cite news repts that Sen William Proxmire is planning to propose legis in next Cong to require Treas to sell 25-million ounces of gold...

DESCRIPTORS: AUCTIONS ; CURRENCY; GOLD; GOLD OWNERSHIP BY UNITED STATES  
CITIZENS; INTERNATIONAL MONETARY SYSTEM; PRICES

28/3,K/21 (Item 21 from file: 474)  
DIALOG(R)File 474:New York Times Abs  
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00020265 NYT Sequence Number: 020263690516

FNMA pres and chmn R H Lapin calls it 'shocking' that some home sellers are paying up to 8-point discount on Govt-backed mortgages; suggests

pub of wkly index of mortgage prices based on FNMA auctions to  
protect homeowners from 'gouging' by lenders, s, FNMA annual meeting, 1st  
annual meeting as privately owned corp; Lapin answers stockholders  
queries on corp plans)

New York Times, Col. 6, Pg. 67

Friday May 16 1969

FNMA pres and chmn R H Lapin calls it 'shocking' that some home sellers  
are paying up to 8-point discount on Govt-backed mortgages; suggests  
pub of wkly index of mortgage prices based on FNMA auctions to  
protect homeowners from 'gouging' by lenders, s, FNMA annual meeting, 1st  
annual meeting as privately owned corp; Lapin answers stockholders  
queries on corp plans)

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Report for Examiner Forest Thompson Jr 09/253057

December 1, 2000 11:32

File 350:Derwent WPIX 1963-2000/UD,UM &amp;UP=200061

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File 347:JAPIO Oct 1976-2000/Jul(UPDATED 001114)

(c) 2000 JPO &amp; JAPIO

Set	Items	Description
S1	1002	AUCTION? OR BID OR BIDS OR BIDDING OR TRADING(2N)(SYSTEM? ? OR SERVIC?)
S2	0	(WHOLESALE? OR TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERCHANT?) AND RETAILER?
S3	1	(RETAIL? AND WHOLESALE?)
S4	253	USER? OR PARTICIP? OR MEMBER? OR CUSTOMER? OR CLIENT? OR SUBSCRIB? OR PATRON?
S5	186	CONSUMER? OR BUYER? OR PURCHASER? OR SHOPPER? OR BIDDER?
S6	57	SELLER? OR RESELLER? OR SUPPLIER? OR VENDOR? OR MERCHANT?
S7	97	DEALER? OR BROKER? OR AGENT? OR MIDDLEMAN OR INTERMEDIAR?
S8	63	TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERCHANT?
S9	0	S3(3W) (S4 OR S5 OR S6 OR S7 OR S8)
S10	19	(TWO OR THREE OR FIRST OR SECOND OR DIFFERENT OR SEVERAL) - (2W)(TYPE? OR KIND? OR GROUP? OR TIER? OR CATEGORY? OR CLASS?)
S11	175	NEW OR OLDER OR FORMER OR EXISTING OR PREVIOUS? OR REGULAR? OR EARLIER OR ESTABLISH? OR PREFERRED? OR PRIMARY OR VALUED
S12	17	(S10 OR S11) (3W) (S4 OR S5 OR S6 OR S7 OR S8)
S13	0	(NONMEMBER? OR NON()MEMBER?)
S14	1	NONPARTICIPANT? OR NON()PARTICIPANT?
S15	16	SUBSCRIBER? OR NONSUBSCRIBER?
S16	17	S13 OR S14 OR S15
S17	33	S2 OR S9 OR S12 OR S16
S18	2	(DISCRIMINAT? OR DIFFEREN?) (2N)PRICE?
S19	414	PREFERENC? OR ADVANTAG? (NOT N)USE
S20	6	DISCOUNT? OR PREMIUM
S21	415	S18 OR S19 OR S20
S22	21	(S17 AND S21)
S23	21	S22 AND S1
S24	146	IC=G06F-017/60
S25	9	S23 AND S24
S26	457	S4 OR S5 OR S6 OR S7 OR S8 OR RETAILER? OR WHOLESALE?
S27	12	S26 AND (S18 OR S20 OR PREFERENC?)
S28	7	S27 AND S24 NOT S25
S29	3	S20 AND S1 NOT (S25 OR S28)

all considered

25/3,K/1 (Item 1 from file: 350)

DIALOG(R)File 350:Derwent WPIX

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013446665 \*\*Image available\*\*

WPI Acc No: 2000-618608/200059

XRPX Acc No: N00-458445

**Online trading method for buying/selling goods through internet, involves searching suitable seller located within required distance limit as specified by buyer, and displaying identified seller's information**

Patent Assignee: TOLZ D (TOLZ-I)

Inventor: TOLZ D

Number of Countries: 089 Number of Patents: 002

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200043933	A1	20000727	WO 2000US1852	A	20000126	200059 B
AU 200027369	A	20000807	AU 200027369	A	20000126	200059

Priority Applications (No Type Date): US 99117232 A 19990126

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

WO 200043933 A1 E 72 G06F-017/60

Designated States (National): AE AL AM AT AU AZ BA BB BG BR BY CA CH CN  
CR CU CZ DE DK DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP  
KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE  
SG SI SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR  
IE IT KE LS LU MC MW NL OA PT SD SE SL SZ TZ UG ZW

AU 200027369 A G06F-017/60 Based on patent WO 200043933

Abstract (Basic):

... b) computer program for **establishing** relationship between  
**sellers** and buyers in a geographic area...

...On-line trading method for local selling, **auctioning**, exchanging  
goods, service and information over world wide web. Also used in local  
grocery market, bakery etc., with provision for electronic billing...

...ADVANTAGE - ...

...and seller, so that desired items may be located by incrementing  
distance and initiating new searches. Allows creation of national  
website, operating locally by facilitating **auction** or classified ad  
site on internet to provide local **auction**, based on location of user  
and radius of choosing, which is currently not offered anywhere on  
internet. Enables creation of stronger bonds among people in

International Patent Class (Main): G06F-017/60

25/3,K/2 (Item 2 from file: 350)

DIALOG(R)File 350:Derwent WPIX

(c) 2000 Derwent Info Ltd. All rts. reserv.

013310611 \*\*Image available\*\*

WPI Acc No: 2000-482548/200042

XRPX Acc No: N00-358792

**Product selection assistance method for online shopping using Internet,  
involves comparing product description and customer expectation data to  
select optimum data relevant to customers need**

Patent Assignee: INT BUSINESS MACHINES CORP (IBMC )  
Inventor: FACCIORUSSO C; FIELD S; HOFFNER Y; ROBINSON M R  
Number of Countries: 021 Number of Patents: 001  
Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200038090	A1	20000629	WO 99IB1613	A	19991001	200042 B

Priority Applications (No Type Date): EP 98124191 A 19981221

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
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WO 200038090	A1	E	27	G06F-017/60	
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Designated States (National): JP KR US

Designated States (Regional): AT BE CH CY DE DK ES FI FR GB GR IE IT LU  
MC NL PT SE

Abstract (Basic):

... cost is computed based on the set parameters relevant to the product service conditions. The database relevant to customer and trader is executed using CORBA **trading** object **service** standard language. An INDEPENDENT CLAIM is also included for product selection assistance apparatus...

...ADVANTAGE - ...

...Enables **establishing** reliable communication between **customers** and product traders, thereby facilitates supply of product relevant to the customers needs

International Patent Class (Main): G06F-017/60

25/3,K/3 (Item 3 from file: 350)

DIALOG(R)File 350:Derwent WPIX

(c) 2000 Derwent Info Ltd. All rts. reserv.

013303289 \*\*Image available\*\*

WPI Acc No: 2000-475224/200041

Related WPI Acc No: 2000-422479; 2000-441577

XRPX Acc No: N00-354577

**Electronic auction performing method involves reading information in short message, to determine the purchase offer of potential buyer**

Patent Assignee: WCL WIRELESS COMMERCE LTD OY (WCLW-N)

Inventor: KIVIMAEKI B

Number of Countries: 090 Number of Patents: 002

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200022906	A2	20000427	WO 99FI1024	A	19991210	200041 B
AU 200016630	A	20000508	WO 99FI1024	A	19991210	200041
			AU 200016630	A	19991210	

Priority Applications (No Type Date): WO 99FI1024 A 19991210

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
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WO 200022906	A2	E	21	H04M-011/06	
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Designated States (National): AE AL AM AT AU AZ BA BB BG BR BY CA CH CN  
CR CU CZ DE DK DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP  
KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE  
SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR  
IE IT KE LS LU MC MW NL OA PT SD SE SL SZ TZ UG ZW

AU 200016630 A H04M-011/06 Based on patent WO 200022906

**Electronic auction performing method involves reading information in short message, to determine the purchase offer of potential buyer**

**Abstract (Basic):**

... A short message including information on new offer of the potential buyer is generated. Then, the short message is transferred from the buyer to the **auction** management system (290). The information in the short message is read, for determining the purchase offer of the potential buyer.

... is provided to at least one potential buyer. Then, purchase offer for product is obtained from the potential buyer, which is then transmitted to the **auction** management system. The information on the new offer of the potential buyer includes at least product identifier, offered monetary amount and buyer identifier. The products are new article, secondary market article, service or collectible. An INDEPENDENT CLAIM is also included for system for performing electronic **auctions**.

...

...For performing electronic **auctions** by providing communication between the user and **auction** management system using short message service (SMS) of mobile communication system...

**...ADVANTAGE - ...**

...Enables conveying payment of the bought products via mobile system operator. Since payment can be added in the **subscriber** 's telephone bill or **auction** service, provider can use the **subscriber** information for sending an invoice to the buyer, need for separate payment agreements between user, **auction** service provider and bank is avoided, and hence only agreement between the **auction** service provider and mobile system operator for transaction of payments, is required...

...The figure shows the schematic block diagram of exemplary electronic **auction** system...

...**Auction** management system (290)

...Title Terms: **AUCTION** ;

International Patent Class (Additional): **G06F-017/60**

**25/3,K/4 (Item 4 from file: 350)**

DIALOG(R)File 350:Derwent WPIX

(c) 2000 Derwent Info Ltd. All rts. reserv.

013239467 \*\*Image available\*\*

WPI Acc No: 2000-411341/200035

XRPX Acc No: N00-307531

**Interface for commercial transaction in Internet, has memory accessible by nodes, which is stored with machine readable specification including interpretation information providing definition of documents**

Patent Assignee: COMMERCE ONE INC (COON-N)

Inventor: ALLEN T; DAVIDSON A E; FUCHS M D; GLUSHKO R J; MALONEY M; MELTZER B A; PERSSON K; SCHWARZHOFF K L

Number of Countries: 090 Number of Patents: 004

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
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WO 200023925	A2	20000427	WO 99US23426	A	19991008	200035	B
AU 9964209	A	20000508	AU 9964209	A	19991008	200037	
EP 1038251	A2	20000927	EP 99951857	A	19991008	200048	
			WO 99US23426	A	19991008		
US 6125391	A	20000926	US 98173854	A	19981016	200051	

Priority Applications (No Type Date): US 98173858 A 19981016; US 98173847 A 19981016; US 98173854 A 19981016

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
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WO 200023925	A2	E	172	G06F-017/60	
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Designated States (National): AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG US UZ VN YU ZA ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW NL OA PT SD SE SL SZ TZ UG ZW

AU 9964209	A		G06F-017/60	Based on patent WO 200023925
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EP 1038251	A2	E	G06F-017/60	Based on patent WO 200023925
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Designated States (Regional): AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE

US 6125391	A		G06F-013/00	
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Abstract (Basic):

... b) apparatus for **establishing participant** interfaces for transactions executed on system...

...ADVANTAGE - ...

...and flexible way to access enterprise applications. Supplier is allowed to check availability by downloading and inventory status report compliant with document definition published in **BID** of business system managing inventory data

...International Patent Class (Main): **G06F-017/60**

**25/3,K/5 (Item 5 from file: 350)**

DIALOG(R)File 350:Derwent WPIX

(c) 2000 Derwent Info Ltd. All rts. reserv.

012944112 \*\*Image available\*\*

WPI Acc No: 2000-115965/200010

XRPX Acc No: N00-087814

**Electronic bidding method for selling or purchasing consumer products**

Patent Assignee: BARZILAI N (BARZ-I); DAVIDSON R (DAVI-I)

Inventor: BARZILAI N; DAVIDSON R

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 6012045	A	20000104	US 97886492	A	19970701	200010 B

Priority Applications (No Type Date): US 97886492 A 19970701

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
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US 6012045	A		27	G06F-017/60	
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**Electronic bidding method for selling or purchasing consumer products**

Abstract (Basic):

... Several customer computers connected with a telecommunication system, accesses electronically established virtual showroom providing information regarding product, selling price and minimum opening **bid** price. **Bids** from bidders are posted on the products and services during **bid** period and selects highest **bid** as accepted **bid**. The **bids** greater than lowest high **bid** from single bidder are excluded.

... consummate sale of one of several products to highest bidder and accepts electronic payment of funds from the bidder. The system displays electronically all winning **bids** to all customers with a predetermined number of non-winning **bids** proximate to winning **bids**.

...

...For how to **new** and non-registered **customers** how to carry out an electronic **bid**, **auction** and sales of consumer products...

...ADVANTAGE - ...

...A member can access his or her account activity file or screen from home page thereby **establishing** a **user** friendly **bid**, **auction** and sale system. Permits user to place a reasonable number of **bids** on a single product or service and provides entertainment to actual and potential customers

...Title Terms: **BID** ;

International Patent Class (Main): **G06F-017/60**

25/3,K/6 (Item 6 from file: 350)  
 DIALOG(R)File 350:Derwent WPIX  
 (c) 2000 Derwent Info Ltd. All rts. reserv.

012804267 \*\*Image available\*\*

WPI Acc No: 1999-610497/199952

XPX Acc No: N99-449813

#### Advertisement information access management method in internet

Patent Assignee: AT & T CORP (AMTT )

Inventor: HANSON B L; HUBER K M

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 5974398	A	19991026	US 97838863	A	19970411	199952 B

Priority Applications (No Type Date): US 97838863 A 19970411

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
US 5974398	A	25	G06F-017/60	

Abstract (Basic):

... The computed **bid** values related to retained advertiser, are transmitted from network to user. The selected **bid** value corresponding to selected advertiser is received from user and advertising message related to selected advertiser is transmitted from network to user, for display. A reward corresponding to selected **bid** value is offered to user, based on the selected advertiser.

... The advertiser's maximum and minimum **bids** for absentee **bidding**, are received at the network side. The user profile and two user characteristic specifications associated with respective advertiser, on the network are accessed. The user characteristics from the user profile is compared with that from advertiser specification.

If one of two selected advertisers has a maximum **bid** less than the first highest maximum **bid**, the corresponding advertiser is dropped from **bidding**. If the selected advertiser has a maximum **bid** greater than the second highest minimum **bid**, then the corresponding advertiser is retained in the **bidding**. An INDEPENDENT CLAIM is also included for advertisement information access management system in internet...

...ADVANTAGE - ...

...service, or automatically using conventional automated response platforms. Enables usage of conventional procedures for storing and retrieving from databases. The value of the offer or **bid** auctioned to the user can be **established** based on the **user**'s income or age  
International Patent Class (Main): G06F-017/60

25/3,K/7 (Item 7 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
(c) 2000 Derwent Info Ltd. All rts. reserv.

012642777 \*\*Image available\*\*  
WPI Acc No: 1999-448882/199938  
XRPX Acc No: N99-335368

**Commercial transaction support system for assessing used- car price - has reception processor for assessing and outpath assessment demand, and assessment processor for updating new seller**

Patent Assignee: JAKKU KK (JAKK-N)  
Number of Countries: 001 Number of Patents: 001  
Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
JP 11184910	A	19990709	JP 97350388	A	19971219	199938 B

Priority Applications (No Type Date): JP 97350388 A 19971219

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
JP 11184910	A	9	G06F-017/60	

... has reception processor for assessing and outpath assessment demand, and assessment processor for updating new seller

...Abstract (Basic): database (5) based on this assessment demand. A processor (2) which returns assessment result to reception processor, updates assessment database in response to information from new seller .  
...

...USE - For assessing used-car price by used car **auctioners** and by used-car dealers...

...ADVANTAGE - Since the system uses a computer, the system provides telemarketing to perform assessment based on information obtained from distant customer and easily assess the difficult  
International Patent Class (Main): G06F-017/60

25/3,K/8 (Item 8 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
(c) 2000 Derwent Info Ltd. All rts. reserv.

012531650 \*\*Image available\*\*

WPI Acc No: 1999-337756/199928

XRPX Acc No: N99-253117

**Matching, selecting, narrow-casting and/or classifying based on rights management**

Patent Assignee: INTERTRUST TECHNOLOGIES CORP (INTE-N)

Inventor: SHEAR V H; VAN WIE D M; WEBER R P

Number of Countries: 083 Number of Patents: 004

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 9924928	A2	19990520	WO 98US23648	A	19981106	199928 B
AU 9913119	A	19990531	AU 9913119	A	19981106	199941
EP 1027674	A2	20000816	EP 98956642	A	19981106	200040
			WO 98US23648	A	19981106	
US 6112181	A	20000829	US 97965185	A	19971106	200043

Priority Applications (No Type Date): US 97965185 A 19971106

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

WO 9924928 A2 E 321 G06F-017/60

Designated States (National): AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG UZ VN YU ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW NL OA PT SD SE SZ UG ZW

AU 9913119 A G06F-017/60 Based on patent WO 9924928

EP 1027674 A2 E G06F-017/60 Based on patent WO 9924928

Designated States (Regional): AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE

US 6112181 A G06F-017/60

Abstract (Basic):

... system, a system for assigning at least one thing or person to at least one class, an information processing method, a subject switch for matching **subscribers** and/or recipients desiring information in one or more classes with one or more sources of information, a digital narrow-casting arrangement, an information distribution system, a currency/debt **trading system**, a software distribution method, a classification technique, an automatic taxing authority computer, an information searching mechanism, an electronic ticket system...

...ADVANTAGE -

International Patent Class (Main): G06F-017/60

25/3,K/9 (Item 9 from file: 350)

DIALOG(R)File 350:Derwent WPIX

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012325494 \*\*Image available\*\*

WPI Acc No: 1999-131601/199911

XRPX Acc No: N99-095898

**Computer based transaction system for banks - has payment tracking unit which processes data representing premium payments from each subscriber and annuity payments made to each beneficiary**

Patent Assignee: HAGAN B P (HAGA-I)

Inventor: HAGAN B P



Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 5864685	A	19990126	US 94203214	A	19940228	199911 B

Priority Applications (No Type Date): US 94203214 A 19940228

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
US 5864685	A		24	G06F-017/60	

... has payment tracking unit which processes data representing premium payments from each subscriber and annuity payments made to each beneficiary

...Abstract (Basic): accounts insured by deposit insurance and ensures whether insurance requirements are met for all depository accounts and beneficiaries. A payment tracking unit processes data representing premium payments from each subscriber and annuity payments made to each beneficiary. The depository monitoring unit determines whether each ownership share of depository account, computed by payment tracking unit for...

...of share of investment account structure of each beneficiary. A reporting unit coupled to processor and storage, processes data and provides human readable reports on subscribers, beneficiaries, annuity contracts, participating financial institution and depository accounts. A bidding unit coupled to processor and storage, inputs and processes data representing depository accounts being offered by participating financial institution. Payment tracking unit also processes data...

...ADVANTAGE - Manages fixed or variable annuity contracts that provide guaranteed income that changes with respect to time. Updates depository storage area at block for use in

...Title Terms: PREMIUM ; SUBSCRIBER ;

International Patent Class (Main): G06F-017/60

?

**28/3,IC,K/1 (Item 1 from file: 350)**

DIALOG(R)File 350:Derwent WPIX

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013297781

WPI Acc No: 2000-469716/200041

XRPX Acc No: N00-350961

**Debenture dealing order executing procedure involves searching debenture dealing information based on intrinsic basic item of specific financial event and calculating relative price**

Patent Assignee: NEC CORP (NIDE )

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
JP 2000172760	A	20000623	JP 98351932	A	19981210	200041 B

Priority Applications (No Type Date): JP 98351932 A 19981210

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
JP 2000172760	A		4	G06F-017/60	

JP 2000172760 A 4 G06F-017/60

International Patent Class (Main): **G06F-017/60**

Abstract (Basic):

... information corresponding to information about item common to various financial events and intrinsic basic item of particular financial event, are searched, registered and managed. The **different prices** for debenture dealing corresponding to intrinsic basic item is calculated, registered and managed.

... The **different prices** for debenture dealing, includes **customer** profit and loss unit price, agreement price, passage interest, transaction tax, consignment commission and delivery price. An INDEPENDENT CLAIM is also included for the debenture...

International Patent Class (Main): **G06F-017/60****28/3,IC,K/2 (Item 2 from file: 350)**

DIALOG(R)File 350:Derwent WPIX

(c) 2000 Derwent Info Ltd. All rts. reserv.

013157131

WPI Acc No: 2000-329004/200028

XRPX Acc No: N00-247685

**Automatic auction matching system for electronic trading system uses credit expansion table, first trader specifies offer amount which exceeds credit line of other trader**

Patent Assignee: ISAAC J (ISAA-I); LUSTIG A (LUST-I)

Inventor: ISAAC J; LUSTIG A

Number of Countries: 084 Number of Patents: 002

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200021013	A1	20000413	WO 99US23260	A	19991006	200028 B
AU 9964168	A	20000426	AU 9964168	A	19991006	200036

Priority Applications (No Type Date): US 98103276 A 19981006

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
WO 200021013	A1	E	105	G06F-017/60	

WO 200021013 A1 E 105 G06F-017/60

Designated States (National): AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC

LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL  
TJ TM TR TT UA UG UZ VN YU ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR  
IE IT KE LS LU MC MW NL OA PT SD SE SL SZ TZ UG ZW

AU 9964168 A G06F-017/60 Based on patent WO 200021013  
International Patent Class (Main): G06F-017/60

**Automatic auction matching system for electronic trading system uses  
credit expansion table, first trader specifies offer amount which  
exceeds credit line of other trader**

Abstract (Basic):

... Using a credit roster, a first **trader** can set one credit line  
for another **trader**. Using a credit expansion table, the first **trader**  
specifies an offer amount which exceeds the credit line of the other  
**trader**. Using a risk parameter the first **trader** specifies an  
**discounting** amount corresponding to the offer done by the other  
**trader**.

... Allows **trader** to conduct commercial transactions with each  
other, efficiently. Allows the **traders** to create an expandable and  
unlimited number of accounts by which each **trader** organizes and  
controls the orders...

...The figure shows **trader** model interacting with electronic trading  
system...

International Patent Class (Main): G06F-017/60

**28/3,IC,K/3 (Item 3 from file: 350)**

DIALOG(R)File 350:Derwent WPIX

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013010408

WPI Acc No: 2000-182260/200016

Related WPI Acc No: 2000-099665

XRPX Acc No: N00-134513

**Electronic global trader for electronic trading system in e-commerce  
field**

Patent Assignee: BRITISH TELECOM PLC (BRTE )

Inventor: MILES A J M; STEWARD S; VIDELO I D E

Number of Countries: 086 Number of Patents: 002

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200003342	A1	20000120	WO 99GB2062	A	19990630	200016 B
AU 9945257	A	20000201	AU 9945257	A	19990630	200028

Priority Applications (No Type Date): GB 9814836 A 19980708; EP 98305421 A  
19980708

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

WO 200003342 A1 E 61 G06F-017/60

Designated States (National): AE AL AM AT AU AZ BA BB BG BR BY CA CH CN  
CU CZ DE DK EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ  
LC LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK  
SL TJ TM TR TT UA UG US UZ VN YU ZA ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR  
IE IT KE LS LU MC MW NL OA PT SD SE SL SZ UG ZW

AU 9945257 A G06F-017/60 Based on patent WO 200003342

International Patent Class (Main): G06F-017/60

International Patent Class (Additional): G06F-017/30

**Electronic global trader for electronic trading system in e-commerce field**

## Abstract (Basic):

... A specification on request (SOR) message from **buyers** via network interface (200) is stored. A requirement matching and routing module (210) compares **buyer** 's requirement with stored routing **preferences** (Rp) (215) and identifies **suppliers** destination. When matching (Rp) is found, SOR message is updated and forwarded to identified destination. Address of the **buyer** is extracted and the bill is sent.

... For electronic trading system in e-commerce field for matching requirements of originator to potential **supplier** .

... Avoids using different **user** interface for accessing different sites individually for the **buyer** 's to examine and satisfy the product requirements, since global **trader** is utilized...

...The figure shows the main components of electronic global **trader** .

...Stored routing **preferences** (215

International Patent Class (Main): **G06F-017/60**

**28/3,IC,K/4 (Item 4 from file: 350)**

DIALOG(R)File 350:Derwent WPIX

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012933325

WPI Acc No: 2000-105172/200009

SRPX Acc No: N00-080799

**Computer implemented resource and policies allocating method for digital processing system**

Patent Assignee: MEREL P A (MERE-I)

Inventor: MEREL P A

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 6006194	A	19991221	US 97942176	A	19971001	200009 B

Priority Applications (No Type Date): US 97942176 A 19971001

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
US 6006194	A	13	G06F-017/60	

US 6006194 A 13 G06F-017/60

International Patent Class (Main): **G06F-017/60**

## Abstract (Basic):

... Computer software identifies several **client** computers. Several tokens are issued to each **client** computer. The software transfers control of tokens from a served computer to a serving computer for performing services. The **client** computers bid their tokens for possible **preferences** of an auction to establish a winning. All tokens bid in the auction are recycled to the respective **client** computers.

... After the bidding term, the tokens are recycled back to the respective **client** computers, so the **client** computers are always assured of not permanently losing their franchises that are associated with their respective commissions...

International Patent Class (Main): **G06F-017/60**

**28/3,IC,K/5** (Item 5 from file: 350)  
 DIALOG(R)File 350:Derwent WPIX  
 (c) 2000 Derwent Info Ltd. All rts. reserv.

012773924

WPI Acc No: 1999-580151/199949

XRPX Acc No: N99-428331

**Computer program product with method and system for trading and settling interest rate swaps and contract having a price based on any preselected interest rate swap curve**

Patent Assignee: MCCAULEY W P (MCCA-I); MOSLER W B (MOSL-I); SHERMAN J M (SHER-I); MCCAULEY W (MCCA-I); MOSLER W (MOSL-I); SHERMANN J (SHER-I)  
 Inventor: MCCAULEY W; MOSLER W; SHERMANN J; MCCAULEY W P; MOSLER W B; SHERMAN J M

Number of Countries: 083 Number of Patents: 003

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 9941687	A2	19990819	WO 99US1872	A	19990212	199949 B
AU 9927594	A	19990830	AU 9927594	A	19990212	200003
DE 19980072	T	20000127	DE 1080072	A	19990212	200012
			WO 99US1872	A	19990212	

Priority Applications (No Type Date): US 98209746 A 19981211; US 9874588 A 19980213; US 98101419 A 19980922; US 98104400 A 19981015

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

WO 9941687 A2 E 87 G06F-017/60

Designated States (National): AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG UZ VN YU ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW NL OA PT SD SE SZ UG ZW

AU 9927594 A G06F-017/60 Based on patent WO 9941687

DE 19980072 T G06F-017/60 Based on patent WO 9941687

International Patent Class (Main): **G06F-017/60**

Abstract (Basic):

... Method uses contract obligating **buyer** and **seller** to settle contract based on contract price at 1st effective data, with contract traded via exchange guaranteeing payment to **buyer** of amount owed to him from **seller** as result of contract and likewise to **seller**. Contract price is determined based on preset notional cash flows **discounted** by interest rate swap curve from preset swap rate source (18).

... The drawing shows a block diagram of a conventional IRS transaction between the first **dealer**, 2, and the second **dealer**, 8

International Patent Class (Main): **G06F-017/60**

**28/3,IC,K/6** (Item 6 from file: 350)  
 DIALOG(R)File 350:Derwent WPIX  
 (c) 2000 Derwent Info Ltd. All rts. reserv.

012471660

WPI Acc No: 1999-277768/199923

XRPX Acc No: N99-208193

**Internet-protocol based anonymous trading system for derivative trading**

Patent Assignee: MAY R R (MAYR-I); DERIVATIVES NET INC (DERI-N)

Inventor: MAY R R

Number of Countries: 084 Number of Patents: 003

## Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 9919821	A1	19990422	WO 98US21518	A	19981013	199923 B
AU 9912703	A	19990503	AU 9912703	A	19981013	199937
EP 1023684	A1	20000802	EP 98956106	A	19981013	200038
			WO 98US21518	A	19981013	

Priority Applications (No Type Date): US 9762410 A 19971014

## Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

WO 9919821 A1 E 130 G06F-017/60

Designated States (National): AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GD GE GH GM HR HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG US UZ VN YU ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR IE IT KE LS LU MC MW NL OA PT SD SE SZ UG ZW

AU 9912703 A G06F-017/60 Based on patent WO 9919821

EP 1023684 A1 E G06F-017/60 Based on patent WO 9919821

Designated States (Regional): AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE

International Patent Class (Main): G06F-017/60

## Abstract (Basic):

... At the **user** 's workstation, the **user** may select from a number of different interfaces that enable the **user** to follow markets, enter and execute trades and monitor outstanding and historical orders and executions.

... **Traders** are able to identify bids and offers which they are eligible to trade, based upon a color coded methodology which gives the **trader** credit **preference** information about the potential counter-party while still maintaining anonymity of the counter-party. Each bid or offer is prescreened against all possible counter-parties credit information in the system, and each counter-party sees a unique color coded trading interface based upon their particular credit **preference** combinations and others in the system. The system shows all the prices in the system, and the colour-coding tells the **trader** which prices he is able to trade, and also shows him the full depth of the market, including those the **trader** is unable to trade.

INDEPENDENT CLAIMS are included for; a method for facilitating derivative trading between one party and a number of potential **traders** ; a method for conducting electronic trades of financial instruments over a computer system; a system for conducting electronic trading between **traders** ; a system for credit screening an electronic trade of a financial instrument; a method for screening order information proposing a trade of a financial instrument...

...Enables **traders** to identify bids and offers which they are eligible to trade based upon a color coded methodology, which gives the **trader** credit **preference** information about the potential counter-party while still maintaining anonymity of the counter-party

International Patent Class (Main): G06F-017/60

28/3,IC,K/7 (Item 7 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
(c) 2000 Derwent Info Ltd. All rts. reserv.

012089334

WPI Acc No: 1998-506245/199843

Related WPI Acc No: 1993-258886

XRPX Acc No: N98-394700

**Electronic trading system connected to communication network - announces  
pips amounts of bid and offer components separately with audible pitch  
bid component higher than that of offer component**

Patent Assignee: EBS DEALING RESOURCES INC (EBSD-N)

Inventor: HARTHEIMER R; HOWORKA E; KLEPKA C; SHINN P

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 5806050	A	19980908	US 92830408	A	19920203	199843 B
			US 9376317	A	19930611	
			US 93226511	A	19931112	

Priority Applications (No Type Date): US 93226511 A 19931112; US 92830408 A  
19920203; US 9376317 A 19930611

Patent Details:

Patent No	Kind	Lan	Pg	Main IPC	Filing Notes
US 5806050	A		17	G06F-017/60	CIP of application US 92830408
					CIP of application US 9376317
					CIP of patent US 5375055

International Patent Class (Main): **G06F-017/60**

...Abstract (Basic): The system has several **trader** terminals, to receive price and quantity data from **traders** . An audio terminal outputs the announced price to the **trader** . A final memory stores the last announced price. A time out unit determines the elapse of predetermined time, since announcement of last price. A price provision unit receives the current bid and offers components corresponding to current price. An alteration unit judges whether the current **price** is **different** from the last price...

...USE - For foreign exchange trading, **brokerage** .

...

...ADVANTAGE - Outputs working balance of transaction, when transaction is partially complete. Improves **traders** comfort by facilitating to choose price from currency type and announcement voice

International Patent Class (Main): **G06F-017/60**

?

29/3,IC,K/1 (Item 1 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
(c) 2000 Derwent Info Ltd. All rts. reserv.

013438590

WPI Acc No: 2000-610533/200058

XRPX Acc No: N00-452047

**Online auction method for cargo shipping commitment, involves sending negotiation completion message to both customer and carrier when bid rates are mutually accepted**

Patent Assignee: BARNI N A (BARN-I); MILLER D M (MILL-I)

Inventor: BARNI N A; MILLER D M

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 6064981	A	20000516	US 99335451	A	19990617	200058 B

Priority Applications (No Type Date): US 99335451 A 19990617

Patent Details:

Patent No	Kind	Lan Pg	Main IPC	Filing Notes
US 6064981	A	13	G06F-017/30	

International Patent Class (Main): G06F-017/30

**Online auction method for cargo shipping commitment, involves sending negotiation completion message to both customer and carrier when bid rates are mutually accepted**

Abstract (Basic):

... An anonymous customer or a carrier **bids** to an online **auction** block maintained at series. A cargo shipping lane and set of **bids** for that shipping lane is identified in response to request from customer or carrier to server. A message is sent to customer and carrier confirming a cargo shipping commitment, when the **bids** are mutually accepted by the customer and carrier along with identity of the companion.

... An INDEPENDENT CLAIM is also included for operative online **auction** system...

...inversive mechanism is implemented within one server, modifications to hardware or software is not required. Customers who desire to ship cargo obtain rate information and **discounts** available from several carriers. During negotiation identity is not revealed hence privacy is maintained when a **bid** is accepted, by a customer server...

...The figure shows the user server for obtaining published rates and **discounts** .

Title Terms: **AUCTION** ;

29/3,IC,K/2 (Item 2 from file: 350)  
DIALOG(R)File 350:Derwent WPIX  
(c) 2000 Derwent Info Ltd. All rts. reserv.

013123661

WPI Acc No: 2000-295532/200026

XRPX Acc No: N00-221793

**Multi-agent system, for selecting and providing multiple agent services, uses a bid manager to analyze the best bid from a set of competing bids, selecting the service which provides the best cost to quality of**



**service ratio**

Patent Assignee: MITEL CORP (MTLC )

Inventor: ESFANDIARI B; WEISS M

Number of Countries: 002 Number of Patents: 002

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
GB 2343583	A	20000510	GB 9824567	A	19981109	200026 B
CA 2288459	A1	20000509	CA 2288459	A	19991103	200040

Priority Applications (No Type Date): GB 9824567 A 19981109

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

GB 2343583 A 22 H04Q-003/00

CA 2288459 A1 E H04M-003/42

International Patent Class (Main): H04M-003/42; H04Q-003/00

International Patent Class (Additional): H04L-029/02

**Multi-agent system, for selecting and providing multiple agent services, uses a bid manager to analyze the best bid from a set of competing bids, selecting the service which provides the best cost to quality of service ratio**

Abstract (Basic):

... The multi-agent system selects services based on competing **bids** . A **bid** manager agent issues a call for **bids** , selecting the best **bid** from among the competing **bids** . One of the deciding factors is the quality of service available. Bidder agents issue these **bids** is response to the call, with the agent that is selected by the manager agent providing the service.

... During the transactions, the **bid** manager provides feedback to the **bid** agents, allowing them to **discount** their **bids** . All **bids** produced are stored on a database, for later reference...

...Title Terms: **BID** ;

29/3,IC,K/3 (Item 3 from file: 350)

DIALOG(R)File 350:Derwent WPIX

(c) 2000 Derwent Info Ltd. All rts. reserv.

008865426

WPI Acc No: 1991-369453/199150

XRPX Acc No: N91-282803

Trading discount system e.g. for petrol station - has token supplied to customer handled by device which records credits according to level of purchase

Patent Assignee: BILGREY SAMSON &amp; CO (BILG-N)

Inventor: STANLEY W J

Number of Countries: 033 Number of Patents: 002

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 9118373	A	19911128				199150 B
AU 9178810	A	19911210				199212

Priority Applications (No Type Date): GB 9011633 A 19900524

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

WO 9118373 A

Designated States (National): AT AU BB BG BR CA CH DE DK ES FI GB HU JP KP KR LK LU MC MG MW NL NO PL RO SD SE SU US

Designated States (Regional): AT BE CH DE DK ES FR GB GR IT LU NL SE  
International Patent Class (Additional): G07F-007/02; G07G-001/00

Trading discount system **e.g. for petrol station...**

...Abstract (Basic): USE/ADVANTAGE - Automatically dispenses trade  
**discounts** to customers at retail shop by recording credits on token  
supplied to customer. Spares customer tedium of stamp sticking, saves  
operator time, easy to spot...

...Title Terms: **DISCOUNT** ;

?

Sixty-five properties will be sold on an absolute basis, which means the RTC doesn't require a minimum bid and will accept the highest...

X 30/3,K/6 (Item 2 from file: 702)  
DIALOG(R) File 702: Miami Herald  
(c) 2000 The Miami Herald Publishing Co. All rts. reserv.

06088946

FAST-MOVING PACE IS NOT FOR THE SHY OR THE UNDECIDED  
MIAMI HERALD (MH) - SUN May 5, 1991  
By: BEATRICE GARCIA Herald Real Estate Writer  
Edition: FINAL Section: HOME & DESIGN Page: 4G  
Word Count: 739

...deposit 10 percent of the purchase price as a down payment.

\* Be ready to close quickly. Sales are usually closed in 45 days, but some auction companies offer a discount on the sale price if a bidder has pre-arranged financing and can close in less time.

Auctions can be a fun and exciting way to buy a house or condo. But the sales contracts are iron-clad and offer no outs if...

30/3,K/7 (Item 1 from file: 703)  
DIALOG(R) File 703: USA Today  
(c) 2000 USA Today. All rts. reserv.

06017281

STUDY IN HYPE AND GLAMOUR IN RECESSION, AUCTIONEERS WORK HARDER TO KEEP  
BIDDING BRISK  
USA Today (US) - TUESDAY April 30, 1991  
By: Michelle Osborn  
Edition: FINAL Section: MONEY Page: 04B  
Word Count: 569

... Then the audience grows deathly quiet. Bidding becomes muted and hesitant, even non-existent.

To avoid such a disaster, when the market turns down, the auction houses lower their estimates - just the way discount stores slice prices. They also talk sellers into dropping their minimum prices. The whole point is to stimulate fierce bidding - and the kind of euphoria that can take over.

Dealer Andre Emmerich says he once turned his paddle over to an associate so he could...

30/3,K/8 (Item 1 from file: 713)  
DIALOG(R) File 713: Atlanta J/Const.  
(c) 2000 Atlanta Newspapers. All rts. reserv.

08054375

Consumer Watch GRAPEVINE Limited edition, limited value?  
Atlanta Constitution (AC) - Thursday, February 23, 1995  
Section: BUSINESS Page: F/2

*prior discount*

06088946/9

DIALOG(R)File 702:Miami Herald

(c) 2000 The Miami Herald Publishing Co. All rts. reserv.

06088946

**FAST-MOVING PACE IS NOT FOR THE SHY OR THE UNDECIDED**

MIAMI HERALD (MH) - SUN May 5, 1991

By: BEATRICE GARCIA Herald Real Estate Writer

Edition: FINAL Section: HOME & DESIGN Page: 4G

Word Count: 739

**TEXT:**

The auction hall isn't a place for the unprepared or the meek.

From the opening bid to the last bang of the gavel, the action at a real estate auction lasts about five minutes. You can buy a single-family home or a waterfront mansion in less time than it takes to inspect the joint.

"Buyers have to do their homework and they have to be prepared to be decisive," said Steven Good, president of Sheldon Good & Co., based in Chicago. Also, don't be shy. "Ask questions until you understand everything," he said.

Here are a few guidelines to prepare potential bidders:

- \* Obtain a bidder's kit. Bidders need a complete description of the property, title report, survey, the sales contract and the rules and requirements for the auction. For instance, some auctions will be "absolute," which means the property will be sold to the highest bidder, regardless of price, or "with reserve," which allows the owner to reject the winning bid. Good tells bidders to have the documents reviewed by their attorneys and accountants.

- \* Compare values. Visit nearby homes or condos of similar design that also are on the market to get a sense of comparable values.

- \* Inspect the property. Open houses are usually scheduled in the four weeks preceding an auction. Ask for an appointment if you can't visit during the scheduled times. Ask to see actual units, not just the models.

If various apartments in the same complex or several subdivision lots are being offered, decide which unit, lot or home you want to bid on before the auction. Knowing what you want also is important if the auction is using the pooled-unit bidding method, where the highest bidder at the end of each round gets to pick the unit he or she wants.

- \* Arrange financing. Talk to a mortgage lender to see how large a mortgage you can afford. If your banker is willing, prequalify for a mortgage. Some auction companies will arrange financing, at times even below-market rates, with one or a few lenders. Often, mortgage lenders attend the open houses and auctions to help with financing.

- \* Forget contingencies. Property is usually sold "as is," which means what you see is what you get. There are no contingencies in auction sales contracts for repairs or for financing. So be sure you really want and can afford to buy the property you plan to bid on.

- \* Register for the auction if you decide to bid. Most auction companies require bidders to register at least 48 hours before an auction. Bidders must bring a cashier's check, usually \$5,000 to \$15,000, depending on the price of the property. The check must be shown to auction officials. If your bid is successful, this money is put in escrow as part of your down payment. In a reserve auction, the funds stay in your pocket if the bid is rejected.

- \* Practice. Some auction companies hold seminars to educate potential bidders. On auction day, there's usually a practice session just before bidding begins.

- \* Check in early on auction day. It's a good idea to get a seat up

front where the auctioneer can see you. Listen for announcements, such as changes in the terms of the auction or in the property itself.

\* Jump into the bidding early. Inexperienced auction-goers often let others bid ahead of them or intentionally delay bidding, thinking that will hold down the price. Donald Hannah, president of Stamford, Conn.-based USAuction, says he tells potential buyers to know the value of what they're bidding for and "make prompt bids to force competitor bidders to higher levels or to stop bidding."

\* Sign on the dotted line if you win. You will be expected to sign a contract immediately after the auction or as soon as your bid is accepted by the seller. Buyers usually have 48 hours to deposit 10 percent of the purchase price as a down payment.

\* Be ready to close quickly. Sales are usually closed in 45 days, but some auction companies offer a discount on the sale price if a bidder has pre-arranged financing and can close in less time.

Auctions can be a fun and exciting way to buy a house or condo. But the sales contracts are iron-clad and offer no outs if you change your mind. Heed the advice from one auctioneer: "If you're uncomfortable with any part of the auction process, please don't bid."

DESCRIPTORS: guideline

30/3,K/1 (Item 1 from file: 630)  
DIALOG(R)File 630:Los Angeles Times  
(c) 2000 Los Angeles Times. All rts. reserv.

00675288 (USE FORMAT 7 FOR FULLTEXT)

**VENTURA COUNTY REVIEW**

**Summerside Condo Auction Gives Seller, Buyers Chance for Good Deals**

LEO SMITH, SPECIAL TO THE TIMES

Los Angeles Times , Valley Edition ed, cold, p7C

Tuesday October 31, 1995

DOCUMENT TYPE: Column; Brief

SECTION HEADING: Zones Desk

WORD COUNT: 560

... a period of time, they decided to sell all 16 at once," said Bill Stevenson, vice chairman of Kennedy-Wilson. "The typical result from an auction is an opportunity for both buyer and seller to get a good deal." Stevenson said that at real estate auctions buyers tend to get a 10% to 15% discount off market price , and sellers save on marketing expenses, taxes and other costs that would have accrued by holding on to the property and selling the project gradually.

Though real...

30/3,K/2 (Item 2 from file: 630)  
DIALOG(R)File 630:Los Angeles Times  
(c) 2000 Los Angeles Times. All rts. reserv.

00361009 (USE FORMAT 7 FOR FULLTEXT)

**ORANGE COUNTY IN BANKRUPTCY**

**Selling Off Another Chunk**

**Salomon Bros. Unloads \$1 Billion From Bankrupt Fund**

TOM PETRUNO, TIMES STAFF WRITER

Los Angeles Times , Home Edition ed, cold 2, pl

Saturday December 17, 1994

DOCUMENT TYPE: Infobox; Infographic

SECTION HEADING: Financial Desk

WORD COUNT: 636

... sold Thursday. Salomon said the bonds sold Friday were issued more recently and thus paid interest rates closer to market yields--reducing the need to discount their prices .

Buyers of Friday's batch of bonds garnered yields between 7.7% and 8.7%.

With Friday's auction , the bulk of the county fund's conventional bonds are gone, leaving mostly derivative bonds. The latter will be much tougher to sell.

The fund...

30/3,K/3 (Item 3 from file: 630)  
DIALOG(R)File 630:Los Angeles Times  
(c) 2000 Los Angeles Times. All rts. reserv.

00293383 (USE FORMAT 7 FOR FULLTEXT)

**Go for the Green**

**Country Club Members Scrambling to Buy PGA West, Mission Hills Courses at Auction**

DAVID W. MYERS, TIMES STAFF WRITER  
Los Angeles Times , Home Edition ed, colD 1, p3  
Sunday June 20, 1993  
DOCUMENT TYPE: Infobox; List  
SECTION HEADING: Financial Desk  
WORD COUNT: 937

... a  
first step toward expanding on the West Coast.

The homeowner groups at both PGA West and Mission Hills are also teed-off at the **bidding** guidelines established by the RTC. For one, they don't like the fact that **bidders** paying cash will be given a 15% **price discount**.

In addition, the RTC is essentially holding two rounds of **bidding** --one on individual properties and a second for "pools" of two or more resorts. Even if PGA West and Mission Hills members submit top bids...

30/3,K/4 (Item 1 from file: 632)  
DIALOG(R)File 632:Chicago Tribune  
(c) 2000 Chicago Tribune. All rts. reserv.

02056723

**Michigan townhomes to be auctioned**  
Chicago Tribune (CT) - SUNDAY February 14, 1993  
By: Steve Kerch  
Edition: FINAL EDITION Section: REAL ESTATE Page: 2B  
Word Count: 644

...there is still a market for waterfront units. Last weekend, more than 150 potential buyers reportedly attended the first open house in advance of the auction.

Steven Good, president of Sheldon Good & Co., said the three-story townhouses will offer **buyers** the chance to purchase at a substantial **discount** to original **prices**.

Six of the 18 townhouses will be sold absolute, regardless of price. All of the townhouses up for auction are in the Cottages of the...

30/3,K/5 (Item 1 from file: 702)  
DIALOG(R)File 702:Miami Herald  
(c) 2000 The Miami Herald Publishing Co. All rts. reserv.

06539837

**RTC SET TO AUCTION LAST FLORIDA HOLDINGS**  
Miami Herald (MH) - THU June 18, 1992  
By: MARILYN ADAMS and BEATRICE E. GARCIA Herald Business Writers  
Edition: FINAL Section: BUSINESS Page: 3C  
Word Count: 455

...auction.

The RTC will provide up to 95 percent of the financing needed to purchase one these properties. But the agency offers a 10 percent **discount** on the sale **price** to **buyers** who can pay cash and complete their purchase within 30 days of the auction.

15% discount

00293383/9

DIALOG(R)File 630:Los Angeles Times

(c) 2000 Los Angeles Times. All rts. reserv.

00293383 (THIS IS THE FULLTEXT)

**Go for the Green**

**Country Club Members Scrambling to Buy PGA West, Mission Hills Courses at Auction**

DAVID W. MYERS, TIMES STAFF WRITER

Los Angeles Times , Home Edition ed, colD 1, p3

Sunday June 20, 1993

DOCUMENT TYPE: Infobox; List

JOURNAL CODE: LT

SECTION HEADING: Financial Desk

STATE: CA COUNTRY: WEST

WORD COUNT: 937

**TEXT:**

At first glance, the palm-lined fairways and blue-green lakes scattered across the fabled PGA West and Mission Hills golf courses near Palm Springs appear to be tranquil oases far removed from financial turbulence or uncertainty.

In fact, the future of the two country clubs is very much up for grabs these days as nearly 2,000 of their well-heeled members scramble to buy the resorts and keep them out of the hands of several big-name suitors--including Ross Perot Jr., Marriott Hotels, and Wall Street's Morgan Stanley.

The ownership issue is scheduled to come to a head next month when the Resolution Trust Corp. puts the courses on the auction block at a foreclosure sale in Texas. They had been owned by a now-failed savings and loan.

"With all those 'big guys' involved, we feel a little like it's David versus Goliath all over again," said Dick Selberg, a member of the Mission Hills Country Club, which the government estimates is worth nearly \$40 million.

At PGA West, about three-quarters of the 1,600 members have already pledged \$24 million toward a down payment on the resort, which has four courses and an estimated value of \$127 million.

Nevertheless, "We feel like we're a little outgunned, because none of us have tried to pull something like this off before, and those Wall Street guys are old pros at it," says member Jim Gilstrap, who is leading the fund-raising effort.

PGA West and Mission Hills are among a group of six golf resorts that the RTC is planning to sell at the auction, scheduled for July 14 in Dallas.

Perot, a Dallas-based developer and son of the former presidential candidate, has said that he is considering the purchase of all six resorts--currently valued at a combined \$385 million--instead of just one or two.

Officials at Morgan Stanley, which agreed to purchase \$1-billion worth of real estate from Bank of America earlier this year, have attended all of the RTC-sponsored bidders' conferences but remain mum about their plans.

And Roger Maxwell, chairman of Marriott's golf resorts division, said his company might make offers on some of the properties itself or sign joint-venture agreements with other bidders.

A third Palm Springs-area facility, La Quinta Golf & Tennis Resort, is also up for sale. Its 550 members cannot afford to buy the sprawling complex, valued at more than \$100 million, but member spokesman James Lloyd said the group may bid with a partner.

The Mission Hills complex in Rancho Mirage has drawn a lot of attention from outside bidders, partly because its smaller size makes it more affordable. Its \$39.9-million price tag includes three 18-hole courses, 24 tennis courts, 73 rental villas and a small amount of developable land.

Members of the Mission Hills Country Club include baseball



Hall-of-Famer Johnny Bench, who has played a key role in the membership efforts to purchase the property. A recent mailer to members urged them to join "Johnny's team" by pledging \$25,000 each; about 700 of the club's 1,100 members have already signed up.

Yet it is the four-course PGA West facility in nearby La Quinta that is the crown jewel of the RTC auction.

Its famed TPC Stadium Course--one of two open to the public--is rated the most difficult course in America by the United States Golf Assn. Its two private courses were designed by Jack Nicklaus and Arnold Palmer.

PGA West has hosted some of the world's most-prestigious golf tournaments, including the Bob Hope Chrysler Classic and the Grand Slam of Golf. The facility includes 19 tennis courts and nearly 1,500 acres zoned for expensive homes, a 1,000-room hotel and four more golf courses.

Club members recently enlisted NationsBank of South Carolina to help them. While details of the agreement remain secret, fund-raiser Gilstrap said club members "will definitely be in a position to make a good, solid bid."

Part of the association's efforts are motivated by fear, according to Gilstrap, a retired investment banker.

Most of the members paid a one-time, \$30,000 fee for lifetime rights to enjoy the facility's well-kept greens. Now, they worry that a new owner might skimp on maintenance or open more courses to the public to boost cash flow.

Members also worry that a sale might harm the value of their homes, most of which overlook the fairways. Prices at the gated complex are already down between 20% and 30% from their peak of a few years ago.

Potential bidders, however, dismiss those worries.

"There are almost 1,500 acres left at PGA for a 1,000-room hotel and more than a thousand houses," said Frank Zaccanelli, vice president of Perot-owned Hillwood Development Co. in Dallas. "If we buy this property and run it into the ground, how many of those rooms could we rent or homes could we sell? Not very many."

Hillwood already owns and operates similar golf resorts in Texas and elsewhere. The company opened a satellite office in Irvine last fall as a first step toward expanding on the West Coast.

The homeowner groups at both PGA West and Mission Hills are also teed-off at the bidding guidelines established by the RTC. For one, they don't like the fact that bidders paying cash will be given a 15% price discount.

In addition, the RTC is essentially holding two rounds of bidding--one on individual properties and a second for "pools" of two or more resorts.

Even if PGA West and Mission Hills members submit top bids for individual properties, Gilstrap and Selberg both say that it is virtually impossible for them to participate in the pool bidding.

"We might offer the best price for PGA West but lose out because somebody with more money agrees to a package deal," Gilstrap said.

The RTC defends the bidding process, however.

"We're giving discounts to all-cash bidders because we can close those deals quickly and we don't have to provide any (cut-rate) financing," said RTC spokesperson Felisa Neuringer. "The pools are being offered because we might get more money for a package of properties than we would get if we sold them off individually."

"I feel sorry for what happened to the members at those resorts, but our job is to sell these properties for as much as we can," Neuringer said. "We have to serve the greater interest of all taxpayers, not just the members of those country clubs."

#### Golf Values Sinking

Investor interest is heating up in the premier golf courses once owned by a savings and loan association that later failed. Values on most of the courses have plunged. In millions of dollars:

Club	Book Value	Investment Value	Decline/Increase
PGA West	\$278.0	\$127.0	-54%
La Quinta	261.0	105.0	-60
Mission Hills	44.2	39.9	-10
Carmel Valley Ranch	45.4	22.8	-50
Palm Beach Polo & Country Club	54.5	25.0	-54
Kiawah Island	55.8	64.7	+16

Note: Figures are as of Sept. 30, 1992.

Source: Resolution Trust Corp.

CAPTIONS: PHOTO: PGA West members Jim Gilstrap, left, and Paul Chasey. Gilstrap leads members' effort to buy golf resort.; PHOTOGRAPHER: NELSON TIFFANY / For The Times; PHOTO: COLOR, Homeowners at the fabled PGA West and Mission Hills golf resorts near Palm Springs hope to outbid competitors when their facilities are auctioned off in July.; GRAPHIC-TABLE: Golf Values Sinking, Los Angeles Times

Copyright 1993/ The Times Mirror Company

SPECIAL FEATURES: PHOTO; TABLE

COMPANY NAMES (DIALOG GENERATED): Bank of America ; Hillwood Development Co ; La Quinta Golf & Tennis Resort ; Marriott Hotels ; NationsBank of South Carolina ; Resolution Trust Corp ; Ross Perot Jr

DESCRIPTORS: GOLF COURSES; COUNTRY CLUBS; ACQUISITIONS; INVESTORS

DIAL OG

Report for Examiner Forest Thompson Jr 09/253057

December 1, 2000 09:35

File 146: Washington Post Online 1983-2000/Dec 01  
(c) 2000 Washington Post  
File 387: The Denver Post 1994-2000/Nov 30  
(c) 2000 Denver Post  
File 471: New York Times Fulltext-90 Day 2000/Dec 01  
(c) 2000 The New York Times  
File 492: Arizona Repub/Phoenix Gaz 1986-2000/Nov 09  
(c) 2000 Phoenix Newspapers  
File 494: St Louis Post-Dispatch 1988-2000/Nov 30  
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File 498: Detroit Free Press 1987-2000/Nov 30  
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File 630: Los Angeles Times 1993-2000/Nov 30  
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File 631: Boston Globe 1980-2000/Nov 30  
(c) 2000 Boston Globe  
File 632: Chicago Tribune 1985-2000/Dec 01  
(c) 2000 Chicago Tribune  
File 633: Phil. Inquirer 1983-2000/Nov 30  
(c) 2000 Philadelphia Newspapers Inc  
File 638: Newsday/New York Newsday 1987-2000/Nov 29  
(c) 2000 Newsday Inc.  
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(c) 2000 Chronicle Publ. Co.  
File 641: Denver Rky Mtn News Jun 1989-2000/Nov 30  
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File 702: Miami Herald 1983-2000/Nov 30  
(c) 2000 The Miami Herald Publishing Co.  
File 703: USA Today 1989-2000/Nov 29  
(c) 2000 USA Today  
File 704: (Portland) The Oregonian 1989-2000/Nov 30  
(c) 2000 The Oregonian  
File 713: Atlanta J/Const. 1989-2000/Dec 01  
(c) 2000 Atlanta Newspapers  
File 714: (Baltimore) The Sun 1990-2000/Nov 29  
(c) 2000 Baltimore Sun  
File 715: Christian Sci. Mon. 1989-2000/Dec 01  
(c) 2000 Christian Science Monitor  
File 725: (Cleveland) Plain Dealer Aug 1991-2000/Nov 19  
(c) 2000 The Plain Dealer  
File 735: St. Petersburg Times 1989-2000/Nov 01  
(c) 2000 St. Petersburg Times  
File 477: Irish Times 1999-2000/Nov 30  
(c) 2000 Irish Times  
File 710: Times/Sun. Times (London) Jun 1988-2000/Nov 30  
(c) 2000 Times Newspapers  
File 711: Independent (London) Sep 1988-2000/Nov 30  
(c) 2000 Newspaper Publ. PLC

Set Items Description

S1 298481 AUCTION? OR BIDDING OR TRADING(2N)(SYSTEM? ? OR SERVIC?)  
S2 394 (WHOLESALE? OR TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERC-  
HAN?) (5N) RETAILER?  
S3 567 (RETAIL? (5N) WHOLESALE?)  
S4 116958 USER? OR PARTICIP? OR MEMBER? OR CUSTOMER? OR CLIENT? OR S-  
UBSCRIB?  
S5 74082 CONSUMER? OR BUYER? OR PURCHASER? OR SHOPPER? OR BIDDER?

S6 28651 SELLER? OR RESELLER? OR SUPPLIER? OR VENDOR? OR MERCHANT?  
S7 62994 DEALER? OR BROKER? OR AGENT? OR MIDDLEMAN? OR INTERMEDIAR?  
S8 30174 TRADER? OR DISTRIBUTOR? OR VENDOR? OR MERCHANT?  
S9 37 S3(3W) (S4 OR S5 OR S6 OR S7 OR S8)  
S10 12845 (TWO OR THREE OR FIRST OR SECOND OR DIFFERENT OR SEVERAL) -  
(2W)(TYPE? OR KIND? OR GROUP? OR TIER? OR CATEGORY? OR CLASS?)  
S11 8044 NEW (10W)(OLDER OR FORMER OR EXISTING OR PREVIOUS? OR REGU-  
LAR? OR EARLIER OR ESTABLISH? OR PREFERRED? OR PRIMARY)  
S12 528 (S10 OR S11) (3W) (S4 OR S5 OR S6 OR S7 OR S8)  
S13 1465 MEMBER? (10W) (NONMEMBER? OR NON()MEMBER?)  
S14 0 PARTICIPANT? (10W) (NONPARTICIPANT? OR NON()PARTICIPANT?)  
S15 5 SUBSCRIBER? (10W) (NONSUBSCRIBER? OR NON()SUBSCRIBER?)  
S16 1469 S13 OR S14 OR S15  
S17 2412 S2 OR S9 OR S12 OR S16  
S18 1230 (DISCRIMINATE? OR DIFFERENT?) (2N)PRICE?  
S19 16697 PREFERENCE? OR ADVANTAGE?  
S20 15505 DISCOUNT  
S21 32252 S18 OR S19 OR S20  
S22 28 (S17(10N)S21)  
S23 3 S22(20N)S1  
S24 192955 S4 OR S5 OR S6 OR S7 OR S8 OR RETAILER? OR WHOLESALE?  
S25 3903 S24(10N)S21  
S26 616 S25(20N)S1  
S27 26 S26(5N)S18  
S28 18 S27 NOT (PY>1998 OR S23)  
S29 16 S26(5N)(S20(5N)PRICE?)  
S30 9 S29 NOT (PY>1997 OR S23 OR S28)  
S31 147 S13(20N)S1  
S32 92 S31 NOT PY>1997  
S33 2 S32(10N) PRICE?  
?

*all considered*

23/3,K/1 (Item 1 from file: 631)  
DIALOG(R)File 631:Boston Globe  
(c) 2000 Boston Globe. All rts. reserv.

07801020

**REAPPRAISING THE MARKET**

Boston Globe (BG) - THURSDAY, October 27, 1994  
By: Carol Stocker, Globe Staff  
Edition: THIRD Section: AT HOME Page: A1  
Word Count: 1,258

... to make money on antiques if you are not prepared to hold them for a long period of time. That's because collectors usually buy **retail** from **dealers** and sell **wholesale** back to **dealers**, with a 20 to 50 percent **discount**. Even sales through **auctions** take 25 percent of the gross when all costs are added up, according to Pennington.

During the speculative 1980s, there was a bull in the...

23/3,K/2 (Item 1 from file: 703)  
DIALOG(R)File 703:USA Today  
(c) 2000 USA Today. All rts. reserv.

08634586

**Going, going, gone in cyberspace**

USA TODAY (US) - TUESDAY June 16, 1998  
By: Mike Tucker  
Edition: FINAL Section: BONUS Page: 05E  
Word Count: 1085

...50% are not unheard of, depending on the merchandise. But be warned: New or anxious bidders can drive the price above retail.

There are generally **two types** of Web sites. **Vendor**-based **auctions** buy new and refurbished merchandise from suppliers at **discount** and sell them at a markup to consumer and corporate bidders. Bid.Com, Surplus **Auction** and publicly traded Onsale are examples.

Person-to-person auctions don't handle merchandise: They connect sellers and buyers for a listing fee and commission...

23/3,K/3 (Item 1 from file: 711)  
DIALOG(R)File 711:Independent(London)  
(c) 2000 Newspaper Publ. PLC. All rts. reserv.

10786051

**THE INFORMATION HIGHWAY IS A DEAD END FOR BARGAIN HUNTERS**

Independent (IN) - Thursday, October 12, 2000  
By: Diane Coyle  
Edition: FOREIGN Section: Business Page: 19  
Word Count: 1,056

... right now, and are not stuck with an expensive print-run of catalogues with particular styles and prices. Selling online makes it easy to set **different prices** for **different groups** of **customers**, different versions of products or even individual customers.

\* Why every economist should learn some **auction** theory, Paul Klemperer,  
Centre for Economic Policy Research discussion paper no. 2572, [www.cepr.org](http://www.cepr.org)

d.coyle@independent.co.uk

?

28/3,K/1 (Item 1 from file: 146)  
DIALOG(R)File 146:Washington Post Online  
(c) 2000 Washington Post. All rts. reserv.

2093818

**Treasury Opens Bond Experiment Goal Is to Cut Rates, Curb Manipulation**  
The Washington Post, September 23, 1992, FINAL Edition  
By: David S. Hilzenrath, Washington Post Staff Writer  
Section: FINANCIAL, p. c01  
Line Count: 54 Word Count: 602

...highest price at which there are enough bids to sell all the bonds.

The traditional, more complicated system that has been in place allows different **bidders** to pay **different prices** in the same **auction**. The Treasury begins by filling the order of the highest bidder and moves to lower bidders, awarding the securities to each buyer at the price...

28/3,K/2 (Item 2 from file: 146)  
DIALOG(R)File 146:Washington Post Online  
(c) 2000 Washington Post. All rts. reserv.

2054812

**Bond Rules Hit Role Of Dealer**  
The Washington Post, January 23, 1992, FINAL Edition  
By: Stan Hinden, Washington Post Staff Writer  
Section: FINANCIAL, p. c13  
Line Count: 57 Word Count: 628

... new auction system for Treasury securities. Jerome H. Powell, Treasury assistant secretary of domestic finance, said the Treasury intends to proceed with a single-price **auction** sometime in early 1993, when a new computer system is developed. Currently, **bidders** on Treasury securities pay **different prices**, depending on the amount of their bid.

The Fed said it hopes to attract additional dealers to its system by dropping a rule that required...

28/3,K/3 (Item 3 from file: 146)  
DIALOG(R)File 146:Washington Post Online  
(c) 2000 Washington Post. All rts. reserv.

2054644

**Regulators Back Tighter Bond Auction Safeguards Sale Changes, Increased Monitoring Proposed**  
The Washington Post, January 22, 1992, FINAL Edition  
By: Stan Hinden, Washington Post Staff Writer  
Section: FINANCIAL, p. f01  
Line Count: 99 Word Count: 1094

... consider selling all the securities in a given issue at a single price. Under the current system, which has been in use for many years, **bidders** pay **different prices**. The change, it was said, would have to await computerization of the **auction** market, which may take a year.

The change, if implemented, would seriously weaken the power of 38 brokerage houses and banks called "primary dealers." The...

28/3,K/4 (Item 1 from file: 387)  
DIALOG(R)File 387:The Denver Post  
(c) 2000 Denver Post. All rts. reserv.

00661625 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**Internet's global reach can be big plus for company**  
PRO FORMA  
Denver Post, MON1 ED, P E-04  
Monday, November 18, 1996  
DOCUMENT TYPE: NEWSPAPER LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT  
SECTION HEADING: BUSINESS  
Word Count: 1,012

(USE FORMAT 7 OR 9 FOR FULLTEXT)

...even exist today.  
Focus on new buyers, not delivering data. Global can educate buyers about every aspect of a product. You could conduct an electronic **auction** in which pools of motivated **buyers** post wish lists and **sellers** bid or post a selling **price** .  
This is **different** from pushing a product.

Focused **auctioning** builds legitimate, value-added distribution on the Internet. Hire talent that doesn't know it can't be done. Look for fresh perspective, not people...

28/3,K/5 (Item 1 from file: 492)  
DIALOG(R)File 492:Arizona Repub/Phoenix Gaz  
(c) 2000 Phoenix Newspapers. All rts. reserv.

05705195  
**SHORT TAKES TOM CRUISE TO GET NEW SET OF KEYS**  
ARIZONA REPUBLIC (AR) - TUESDAY July 24, 1990  
By: Compiled from reports by The Associated Press, San Francisco Chronicle, The New York Times, Knight-Ridder and the Short Takes staff.  
Edition: FINAL CHASER Section: LIFE AND LEISURE Page: B6  
Word Count: 616

...For the barber

with everything

From the just-plain-weird department: A lock of George Washington's hair sold for \$2,750 at a Detroit **auction** recently.

A pair of silk boxer shorts once owned by Jack Nicholson fetched \$700, the same **price** that a **differently** minded **bidder** paid for a collection of letters from the likes of mass murderers John Wayne Gacy and Charles Manson. Sylvester Stallone's robe from Rocky III...

28/3,K/6 (Item 1 from file: 494)  
DIALOG(R)File 494:St LouisPost-Dispatch  
(c) 2000 St Louis Post-Dispatch. All rts. reserv.

09256173



**STUDIO IS SEEKING FOUNDER'S WORK FOR AN EXHIBIT**

St. Louis Post Dispatch (SL) - Saturday, September 13, 1997

By: Ralph and Terry Kovel

Edition: FIVE STAR LIFT Section: LIFESTYLE Page: 06

Word Count: 707

...in your area, check with dealers at doll shows or antiques shows.

**Current Prices**

Current prices are recorded from antiques shows, flea markets, sales and **auctions** throughout the United States. **Prices** vary in **different** locations because of local economic conditions.

Political button, "**Member** Willkie for President Club, Regardless of Party," red, white and blue, white ground: \$40.

Pan Pacific Exposition postcard, San Francisco, 1915, unused: \$90.

The Beatles...

**28/3,K/7 (Item 1 from file: 630)**

DIALOG(R)File 630:Los Angeles Times

(c) 2000 Los Angeles Times. All rts. reserv.

00679962 (USE FORMAT 7 FOR FULLTEXT)

**Nasdaq Dealer Fined \$15,000 for Late Reporting**

**Markets: Sherwood Securities says the delay was unintentional. NASD also imposes fines on two small N.J. companies.**

SCOT J. PALTROW, TIMES STAFF WRITER

Los Angeles Times , Home Edition ed, cold, p1

Tuesday October 17, 1995

SECTION HEADING: Financial Desk

WORD COUNT: 438

... A short sale occurs when a dealer sells stock it doesn't own in anticipation of buying it back at a lower price, pocketing the **price difference** .

**Dealers**

are allowed to sell short through other Nasdaq **trading systems** .

The two companies had vigorously fought the charges and asserted that the NASD was applying a new interpretation of the rule after the fact, partly...

**28/3,K/8 (Item 2 from file: 630)**

DIALOG(R)File 630:Los Angeles Times

(c) 2000 Los Angeles Times. All rts. reserv.

00245790 (USE FORMAT 7 FOR FULLTEXT)

**FINANCIAL MARKETS**

**Dow Inches Up as 30-Year Bond Yield Stabilizes**

**Market Overview**

, From Times Staff and Wire Reports

Los Angeles Times , Southland Edition ed, cold 3, p2

Tuesday November 9, 1993

DOCUMENT TYPE: Infobox

SECTION HEADING: Financial Desk

WORD COUNT: 611

... them on the hope that prices would decline. On Monday, these traders bought bonds at the cheaper prices, paid off their loans and pocketed the **price difference** in a process called short selling.

**Traders** do not want to be in risky trading strategies amid the uncertainty of the Treasury's **auction** of three-year notes today and 10-year notes on Wednesday, analysts said.

The federal funds rate, the interest on overnight loans between banks, was...

28/3,K/9 (Item 1 from file: 631)  
DIALOG(R)File 631:Boston Globe  
(c) 2000 Boston Globe. All rts. reserv.

06813369

**INSTITUTIONAL INVESTING, COMPUTER TRADING LEAVE THE BOSTON STOCK EXCHANGE  
FIGHTING FOR ITS LIFE**

Boston Globe (BG) - SUNDAY, November 8, 1992

By: Kimberly Blanton, Globe Staff

Edition: THIRD Section: BUSINESS Page: 75

Word Count: 1,211

... a fierce competitor against its satellites, the Boston, Pacific, Midwest, and Philadelphia stock exchanges.

At the heart of New York's and the regionals' floor **auction** systems are middlemen, or specialists, who match "Aunt Millie's" sell order with a **buyer** on the exchange floor, making a small profit on the **difference** in stock **prices**.

Madoff doesn't employ specialists. He has angered the old-line exchanges with a controversial practice of paying a penny a share to high-volume...

28/3,K/10 (Item 1 from file: 632)  
DIALOG(R)File 632:Chicago Tribune  
(c) 2000 Chicago Tribune. All rts. reserv.

09156005

**BY THE BOOKS BLUE, RED OR BLACK, VALUATION GUIDES CAN GET YOU MORE GREEN**

Chicago Tribune (CT) - THURSDAY, June 5, 1997

By: Jim Sulski. Special to the Tribune.

Edition: NORTH SPORTS FINAL Section: CARS Page: 1

Word Count: 1,265

...and for a good reason: Let's say there are two Ford Tauruses that are seemingly alike on paper, and they both go through an **auction**. One sells for \$12,000 and the other for \$8,000. We need to know why there is a **difference**."

Car **prices** also are gleaned from **dealers**, the wholesale trade and financial institutions.

"The expertise comes in as to what's the markup from those figures," says Heffinger. "After we collect the..."

28/3,K/11 (Item 2 from file: 632)  
DIALOG(R)File 632:Chicago Tribune  
(c) 2000 Chicago Tribune. All rts. reserv.

01406833

**A Sunday Special Report - MAY 17, 1988**

**WORLDWIDE TRADING**

**THE SUN NEVER SETS ON THE ELECTRONIC STOCK MARKET**

Chicago Tribune (CT) - SUNDAY May 1, 1988

By: Reported by Ronald Yates in Tokyo, Ray Moseley in London, Pat Widder in New York (Tribune correspondents) and Bill Barnhart (a Tribune associate business editor) in Chicago. Written by Pat Widder

Edition: FINAL EDITION Section: SUNDAY MAGAZINE Page: 10

Word Count: 2,774

...pushing a button that activates a computer program of sell orders, which is automatically fed into the New York Stock Exchange's computerized order-entry **system** .

Program **trading** has existed in wheat for decades as **traders** try to play off the **difference** in **price** between wheat traded at the Chicago Board of Trade, for example, and the Kansas City Board of Trade.

The fact that there is no program...

28/3,K/12 (Item 1 from file: 633)  
DIALOG(R)File 633:Phil.Inquirer  
(c) 2000 Philadelphia Newspapers Inc. All rts. reserv.

04532889

**BLAME FOLLY, NOT PROGRAMS**

PHILADELPHIA INQUIRER (PI) - WEDNESDAY May 18, 1988

By: J.A. Livingston

Edition: FINAL Section: BUSINESS Page: F01

Word Count: 700

... raises the bid to 20 3/4, another broker might cry, 'Sold.' The trade takes place in the open.

"In program trading, stocks aren't **auctioned** ." As Kahn explains, "The program **trader** , through computers, calculates the **difference** in **price** between the market value of the 500 stocks in the Standard & Poor's average and what the index can be bought or sold for.

PROGRAM...

28/3,K/13 (Item 1 from file: 713)  
DIALOG(R)File 713:Atlanta J/Const.  
(c) 2000 Atlanta Newspapers. All rts. reserv.

07648057

**IN THE NEWS STUDY SUGGESTS NASDAQ MARKET MAKERS WORKING TOGETHER AT INVESTORS' EXPENSE**

Atlanta Constitution (AC) - Friday May 27, 1994

By: DOW JONES NEWS SERVICE

Section: BUSINESS Page: G/5

Word Count: 269

... system, most notably the New York Stock Exchange, have long contended that Nasdaq dealers have a great incentive to maintain wide spreads, which are the **difference** between the **price** **dealers** are **bidding** for a stock and the price at which they would sell the same stock. This spread yields most of brokerage firms' over-the-counter trading...

28/3,K/14 (Item 2 from file: 713)  
DIALOG(R)File 713:Atlanta J/Const.  
(c) 2000 Atlanta Newspapers. All rts. reserv.

07295375

**COBB VOICES READERS' LETTERS TELL US WHAT YOU THINK SICK OF GUN CONTROL**  
Atlanta Constitution (AC) - Thursday October 21, 1993  
By: Michael Phalen Woodstock  
Section: EXTRA Page: G/6  
Word Count: 1,148

...seen nothing yet.

SOURCE: Gregory Barna Marietta

#### TIERED PRICING WOES

Does the Clinton health care plan stop tiered pricing policies by pharmaceutical companies to all **buyers** of their products?

Some pharmaceutical companies are giving **different** **prices** for their products in **bidding** wars to get their products covered on formularies in HMOs. These prices vary according to **bidding** practices. This creates what has become known as tiered pricing. Problem: Companies must make back what they have lost so prices outside the bidding war...

28/3,K/15 (Item 1 from file: 714)  
DIALOG(R)File 714:(Baltimore) The Sun  
(c) 2000 Baltimore Sun. All rts. reserv.

06520158

**REFORM PROPOSALS FOR TREASURY BONDS EXPECTED THIS WEEK**  
BALTIMORE MORNING SUN (BS) - Monday, January 20, 1992  
By: ASSOCIATED PRESS  
Edition: Final Section: Financial (Maryland Business Week) Page: 2  
Word Count: 487

...governing the government debt market more like those for corporate debt, which have better disclosure and pricing information.

The proposals may include:

- \* Automating the antiquated **auction** process in which handwritten **auction** bids are submitted in person to the Fed and **bidders** pay **different** **prices** rather than a single overall **auction** price.
- \* Unifying the existing haphazard rules, which have been issued informally over the years.

\* Giving regulators a better way to monitor market problems by requiring  
...

28/3,K/16 (Item 1 from file: 735)  
DIALOG(R)File 735:St. Petersburg Times  
(c) 2000 St. Petersburg Times. All rts. reserv.

07289243

**DRUGSTORES TEST STATE HEALTH CARE LAW**

St. Petersburg Times (PE) - FRIDAY October 15, 1993

By: MARK ALBRIGHT

Edition: CITY Section: BUSINESS Page: 1D

Word Count: 453

...deals the big chains could negotiate.

In effect, a small independent drugstore chain could pick and choose which health plans it wants to honor without **bidding** on them. Conceivably, a **customer** could even shop for the lowest **prices** offered by **different** plans at independent drug stores.

The big **retailers** want a court order to stop state agencies now setting up the rules from enforcing the exemption granted their smaller competitors.

By propping up one...

28/3,K/17 (Item 1 from file: 710)  
DIALOG(R)File 710:Times/Sun.Times(London)  
(c) 2000 Times Newspapers. All rts. reserv.

05687814

**IN THE MARKET; RESIDENTIAL PROPERTY**

Times of London (TL) - Wednesday, May 2, 1990

By: Christopher Warman

Section: Features

Word Count: 209

**TEXT:**

The Firs, a Queen Anne farmhouse in Fen Drayton, Cambridgeshire, was sold by **auction** through Bidwells, of Cambridge, for Pounds 500 exactly 100 years ago. It is on the market again through the same **agents**, with a rather **different price** Pounds 300,000. The four-bedroom house has five acres and in the grounds there is an early 18th-century timber-framed barn, which, with...

28/3,K/18 (Item 1 from file: 711)  
DIALOG(R)File 711:Independent(London)  
(c) 2000 Newspaper Publ. PLC. All rts. reserv.

09113138

**Alliance shares retreat but auction price rises**

Independent (IN) - Wednesday, April 23, 1997

By: Clifford German

Edition: 3 Section: Business Page: 22

Word Count: 305

... will give the new bank a clearer idea of whether any significant stakes have been accumulated, which could indicate the early presence of a potential **bidder** .

Although institutions were free to make multiple bids at **different prices** at **auction** , there is no clear evidence that the shares sold so far have fallen into the hands of a single determined buyer.

Excluding the 52 million...

?

**30/3,K/1 (Item 1 from file: 630)**  
DIALOG(R)File 630:Los Angeles Times  
(c) 2000 Los Angeles Times. All rts. reserv.

00675288 (USE FORMAT 7 FOR FULLTEXT)

**VENTURA COUNTY REVIEW**

**Summerside Condo Auction Gives Seller, Buyers Chance for Good Deals**

LEO SMITH, SPECIAL TO THE TIMES

Los Angeles Times , Valley Edition ed, colD, p7C

Tuesday October 31, 1995

DOCUMENT TYPE: Column; Brief

SECTION HEADING: Zones Desk

WORD COUNT: 560

... a period of time, they decided to sell all 16 at once," said Bill Stevenson, vice chairman of Kennedy-Wilson. "The typical result from an **auction** is an opportunity for both buyer and seller to get a good deal." Stevenson said that at real estate **auctions** **buyers** tend to get a 10% to 15% **discount** off market **price** , and **sellers** save on marketing expenses, taxes and other costs that would have accrued by holding on to the property and selling the project gradually.

Though real...

**30/3,K/2 (Item 2 from file: 630)**  
DIALOG(R)File 630:Los Angeles Times  
(c) 2000 Los Angeles Times. All rts. reserv.

00361009 (USE FORMAT 7 FOR FULLTEXT)

**ORANGE COUNTY IN BANKRUPTCY**

**Selling Off Another Chunk**

**Salomon Bros. Unloads \$1 Billion From Bankrupt Fund**

TOM PETRUNO, TIMES STAFF WRITER

Los Angeles Times , Home Edition ed, colD 2, p1

Saturday December 17, 1994

DOCUMENT TYPE: Infobox; Infographic

SECTION HEADING: Financial Desk

WORD COUNT: 656

... sold Thursday. Salomon said the bonds sold Friday were issued more recently and thus paid interest rates closer to market yields--reducing the need to **discount** their **prices** .

**Buyers** of Friday's batch of bonds garnered yields between 7.7% and 8.7%.

With Friday's **auction** , the bulk of the county fund's conventional bonds are gone, leaving mostly derivative bonds. The latter will be much tougher to sell.

The fund...

**30/3,K/3 (Item 3 from file: 630)**  
DIALOG(R)File 630:Los Angeles Times  
(c) 2000 Los Angeles Times. All rts. reserv.

00293383 (USE FORMAT 7 FOR FULLTEXT)

**Go for the Green**

**Country Club Members Scrambling to Buy PGA West, Mission Hills Courses at Auction**

DAVID W. MYERS, TIMES STAFF WRITER  
Los Angeles Times , Home Edition ed, colD 1, p3  
Sunday June 20, 1993  
DOCUMENT TYPE: Infobox; List  
SECTION HEADING: Financial Desk  
WORD COUNT: 937

... a  
first step toward expanding on the West Coast.

The homeowner groups at both PGA West and Mission Hills are also teed-off at the **bidding** guidelines established by the RTC. For one, they don't like the fact that **bidders** paying cash will be given a 15% **price discount** .

In addition, the RTC is essentially holding two rounds of **bidding** --one on individual properties and a second for "pools" of two or more resorts. Even if PGA West and Mission Hills members submit top bids...

**30/3,K/4 (Item 1 from file: 632)**  
DIALOG(R)File 632:Chicago Tribune  
(c) 2000 Chicago Tribune. All rts. reserv.

02056723

**Michigan townhomes to be auctioned**  
Chicago Tribune (CT) - SUNDAY February 14, 1993  
By: Steve Kerch  
Edition: FINAL EDITION Section: REAL ESTATE Page: 2B  
Word Count: 644

...there is still a market for waterfront units. Last weekend, more than 150 potential buyers reportedly attended the first open house in advance of the **auction** .

Steven Good, president of Sheldon Good & Co., said the three-story townhouses will offer **buyers** the chance to purchase at a substantial **discount** to original **prices** .

Six of the 18 townhouses will be sold absolute, regardless of price. All of the townhouses up for auction are in the Cottages of the...

**30/3,K/5 (Item 1 from file: 702)**  
DIALOG(R)File 702:Miami Herald  
(c) 2000 The Miami Herald Publishing Co. All rts. reserv.

06539837

**RTC SET TO AUCTION LAST FLORIDA HOLDINGS**  
Miami Herald (MH) - THU June 18, 1992  
By: MARILYN ADAMS and BEATRICE E. GARCIA Herald Business Writers  
Edition: FINAL Section: BUSINESS Page: 3C  
Word Count: 455

...auction.

The RTC will provide up to 95 percent of the financing needed to purchase one these properties. But the agency offers a 10 percent **discount** on the sale **price** to **buyers** who can pay cash and complete their purchase within 30 days of the **auction** .



Sixty-five properties will be sold on an absolute basis, which means the RTC doesn't require a minimum bid and will accept the highest...

**30/3,K/6 (Item 2 from file: 702)**

DIALOG(R)File 702:Miami Herald

(c) 2000 The Miami Herald Publishing Co. All rts. reserv.

06088946

**FAST-MOVING PACE IS NOT FOR THE SHY OR THE UNDECIDED**

MIAMI HERALD (MH) - SUN May 5, 1991

By: BEATRICE GARCIA Herald Real Estate Writer

Edition: FINAL Section: HOME & DESIGN Page: 4G

Word Count: 739

...deposit 10 percent of the purchase price as a down payment.

\* Be ready to close quickly. Sales are usually closed in 45 days, but some **auction** companies offer a **discount** on the sale **price** if a **bidder** has pre-arranged financing and can close in less time.

**Auctions** can be a fun and exciting way to buy a house or condo. But the sales contracts are iron-clad and offer no outs if...

**30/3,K/7 (Item 1 from file: 703)**

DIALOG(R)File 703:USA Today

(c) 2000 USA Today. All rts. reserv.

06017281

**STUDY IN HYPE AND GLAMOUR IN RECESSION, AUCTIONEERS WORK HARDER TO KEEP BIDDING BRISK**

USA Today (US) - TUESDAY April 30, 1991

By: Michelle Osborn

Edition: FINAL Section: MONEY Page: 04B

Word Count: 569

... Then the audience grows deathly quiet. Bidding becomes muted and hesitant, even non-existent.

To avoid such a disaster, when the market turns down, the **auction** houses lower their estimates - just the way **discount** stores slice **prices**. They also talk **sellers** into dropping their minimum prices. The whole point is to stimulate fierce **bidding** - and the kind of euphoria that can take over.

Dealer Andre Emmerich says he once turned his paddle over to an associate so he could...

**30/3,K/8 (Item 1 from file: 713)**

DIALOG(R)File 713:Atlanta J/Const.

(c) 2000 Atlanta Newspapers. All rts. reserv.

08054375

**Consumer Watch GRAPEVINE Limited edition, limited value?**

Atlanta Constitution (AC) - Thursday, February 23, 1995

Section: BUSINESS Page: F/2

Word Count: 84

TEXT:

...limited" to 5 million copies; how valuable could they be?

If you are interested in collectibles as an investment, the best way to get good **prices** is through **auctions** or **discount dealers**. Two good sources of information for coins are Benham Certified Metals, (800) 447-4653, and the Silver and Gold Report, (800) 289-9222. Find out...

**30/3,K/9 (Item 1 from file: 711)**  
DIALOG(R) File 711:Independent(London)  
(c) 2000 Newspaper Publ. PLC. All rts. reserv.

08120131

**It's a risky old game**

Independent (IN) - Sunday, April 30, 1995

By: John Andrew

Edition: 3 Section: Your Money Page: 10

Word Count: 874

...shops can yield bargains, grime and tarnish does hide damage.

q A buyer's premium of around 15 per cent is payable on purchases at **auction**.

q When buying from a **dealer**, try to negotiate a **discount** on the asking **price**.

q If you have a specific collecting interest, or are looking for a particular item, it is worth asking dealers/auctioneers to let you know...

**33/3,K/1 (Item 1 from file: 494)**

DIALOG(R)File 494:St LouisPost-Dispatch

(c) 2000 St Louis Post-Dispatch. All rts. reserv.

08605015

**PILLOW AUCTION FOR HOMELESS**

St. Louis Post Dispatch (SL) - Sunday, April 14, 1996

Edition: FIVE STAR LIFT Section: STYLE PLUS Page: 02

Word Count: 284

... Cocktails from a cash bar begin at 6:15, and guests may bid on miniatures donated by miniaturists, dealers and local shops at the silent auction .

The price is \$25 for a member and \$28 for non-members and includes admission to Saturday and Sunday sales and exhibits, which will run from 10 a.m.-5 p.m. Saturday and 11 a.m...

**33/3,K/2 (Item 1 from file: 641)**

DIALOG(R)File 641:Denver Rky Mtn News

(c) 2000 Scripps Howard News. All rts. reserv.

07182170

**GEORGETOWN GALA**

Rocky Mountain News (RM) - WEDNESDAY JUNE 30, 1993

Edition: FINAL Section: ENTERTAINMENT/WEEKEND/SPOTLIGHT Page: 15C

Word Count: 286

TEXT:

... The gala will be on the lawn of the Hamill House Museum, 305 Argentine St., from noon to 6 p.m. Tickets are \$50 for members of Historic Georgetown; \$65 for non-members . Price includes cocktails and hors d'oeuvres, silent auction , oral auction and dinner. Information: 569-2840.

Artists wanted

?